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Experiences in Visual Thinking

by: Robert H. McKim

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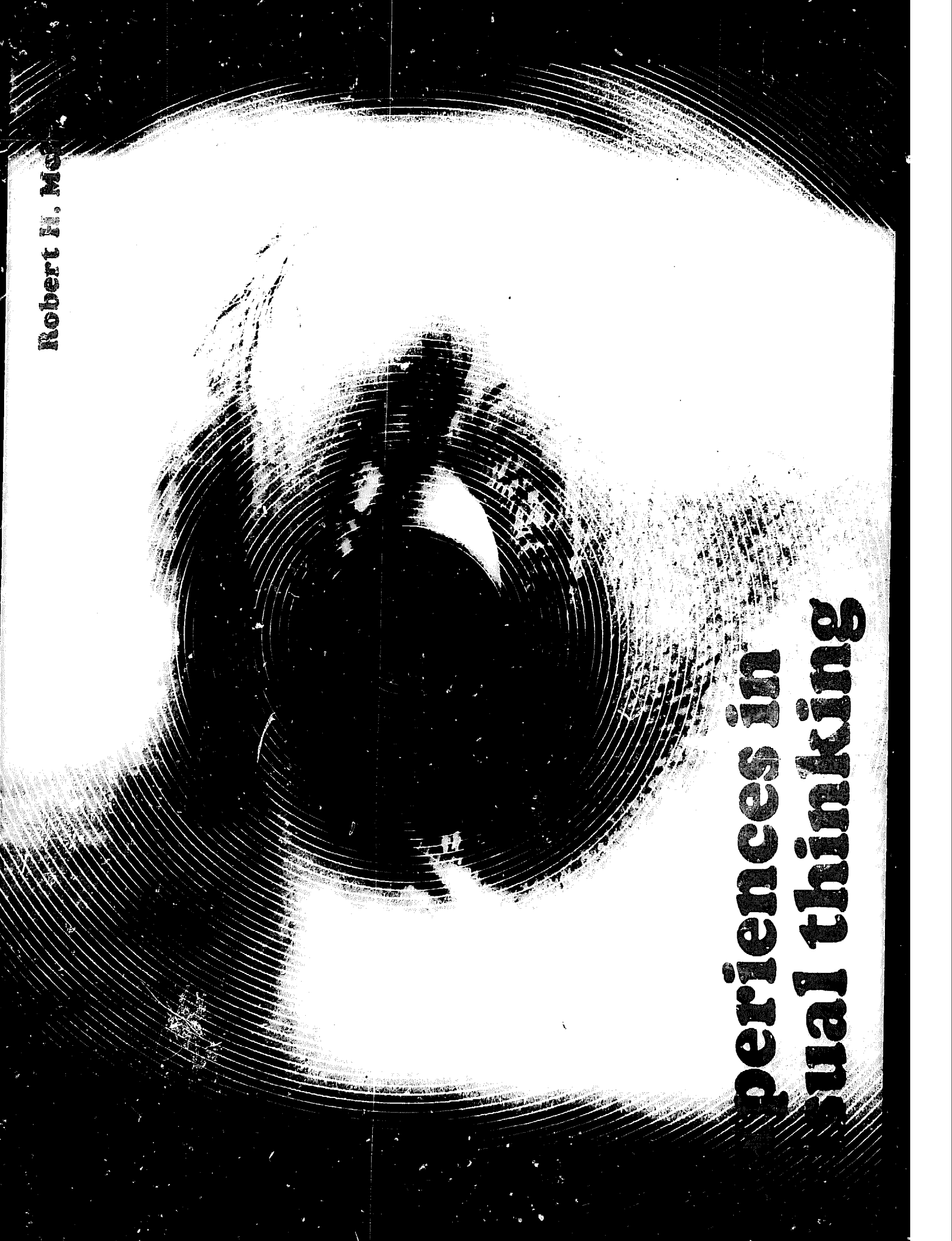
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Robert H. Mohr

# Experiences in Qualitative Thinking



# **experiences in visual thinking**

**Robert H. McKim**

*Stanford University*

**brooks/cole publishing company  
monterey, california**

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**to julie**



## acknowledgments

Although I originally intended this to be a small picture book of idea-sketches, on the way to the publisher I was intercepted by a number of persuasive people. What follows is my expression of thanks to those who influenced me to evolve my initial objective to its present form.

My greatest debt is to the late Professor John E. Arnold, who not only suggested that I develop a visual-thinking course at Stanford (a course that has been a major testing ground for this book) but also influenced me by his pioneering efforts to educate productive thinking. I also owe much to my students, whose difficulties and suggestions have been crucial to my education. My students taught me, for example, that their problems in sketching were often due to undeveloped powers of visual perception. This lesson convinced me that I should add concern with seeing to my original interest in idea-sketching.

Students also drew my attention to the fundamental relationship between idea-sketching and imagination. Some students claimed that they "had no imagination" and therefore no ideas to sketch, others revealed difficulty in departing from stereotyped ideas, and still others were frequently blocked in their generation of ideas. As I pondered how to deal educationally with these sorts of problems, a research colleague, psychologist Robert Mogar, pointed out the frequency of introspective accounts of "mind's-eye" imagery in the literature on creativity. Soon after, a number of other psychologists, referred to later in the section on *imagining*, taught me ways to invigorate and direct the inner sensory imagery that is the active model for idea-sketching.

Having added seeing and imagining to my initial concern with idea-sketching, I now searched for ways to integrate these three related visual activities. Professor Rudolf Arnheim, in an essay now expanded into an excellent book, suggested the term *visual thinking* to describe the interaction of seeing, imagining, and idea-sketching. Other authors, referenced later, gave me additional confidence that visual thinking had some basis in psychological knowledge, and also suggested ideas that I could translate into experiential exercises. To those authors, and especially to those who have influenced me in unacknowledged conversations and letters, I extend my deepest appreciation. I also thank the following reviewers for reading the manuscript and giving many helpful suggestions: Vaughn P. Adams, Department of Design, Arizona State University; J. L. Alford, Department of Engineering, Harvey Mudd College; Jose Arguelles, Department of Art, Evergreen State College; William Bowman, Department of Art, Stanford University; Peter Z. Bulkeley, Department of Mechanical Engineering, Stanford University; Jack Crist, Department of Industrial Design, San Jose State College; Jay Doblin, Senior Vice President, Unimark International; W. Lambert Gardiner, Department of Psychology, Sir George Williams University; William Katavolos, Department of Design Correlations, Parsons School of Design; Dean Myers, Department of Industrial Design, Long Beach State College; and Edward L. Walker, Psychological Laboratories, University of Michigan.

Thanking people who influenced me by personal contact is more difficult. How does one acknowledge a crucial conver-

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# **experiences in visual thinking**

# introduction

Many words link vision with thinking. *Insight, foresight, hindsight, and oversight. Visionary and seer.* The word "idea" derives from the Greek "idein": to see. A sound thinker is *sens-ible*, or possesses common *sense*; a creative thinker is *imag-inative* or *farsighted*, a productive *dreamer*. Common phrases also connect thinking to the visual sense: *See what I mean? Look at the idea from another viewpoint. Before focusing in, examine the big picture.* Indeed, before entering further into a discussion about visual thinking, let's step back, take a larger *perspective*, and consider thinking generally.

## what is thinking?

The word "thinking" is much used but little understood. When do you think? Do you think rarely, or most of the time? Do you think when you are asleep? Does thinking occur only in your brain, or does it extend out into your nervous system, sense organs, even into your muscles? Is the raw material of thinking a kind of inner speech, sensory imagery, or is it an "imageless" process that occurs below the threshold of your consciousness?

Look at the photograph of Rodin's statue "The Thinker." For all we know, Rodin's model is daydreaming, suffering a hangover, or taking a nap; he may even be in a psychotic state of catatonic withdrawal. The most obvious and at the same time most important observation about thinking is that thinking is extremely difficult to observe. Clearly, thinking cannot be observed in the same way that a scientist observes external physical phenomena. Only the thinker can know what he is thinking, and not even he can fully know.

Despite its elusiveness, thinking is extremely pervasive. Measurements of the brain's electrical activity, for example, show almost constant mental activity, even when the subject is asleep. You can experience this incessant mentation for yourself by attempting to stop it. Close your eyes and try to silence all inner speech and to blank out all inner imagery. For five minutes, sustain a quiet mind that does not think. You will likely find this instruction much easier read than done.

Thinking not only occupies most of your waking and sleeping time, it also utilizes most of your being. Psychic (mental) functions cannot be readily separated from somatic (bodily) ones. Physiologists have



shown that muscle tonus plays a part in mental functioning (see Chapter 6), neurologists will tell you that the entire nervous system (not just the brain) is involved in thinking, and you know by experience that the vitality of your thinking is intimately related to the state of your physical health. As the mind-body dichotomy is false, so is the one that separates thinking from feeling. The character of your thinking is always colored and directed by your emotions and motivations.

So far, I've suggested that thinking, while not easily observed, is a constant fact of life. Irrational or sane, habit-ridden or brilliantly incisive, logical or illogical, awake or dreaming, we think with our entire being almost all of the time. By this broad definition, most thinking is *not* "productive." We need not assume, as some writers do, that mental activity which merits being called "thinking" is necessarily good thinking. Indeed, most thinking that is *eventually productive* is preceded by frustrating, cyclic, abortive, ill-informed, illogical, habit-plagued thinking that produces (at the time) very little of value.

What conditions foster the kind of thinking that can be called productive or creative? I will put forth three. The first is *challenge*: we think at our best when posed with a situation that we deeply desire to change. The second is *information*: since thinking is essentially information-processing, we cannot expect productive thinking when information is incorrect, inadequate, or tucked away in an unavailable crevice of memory. Each reader must seek these first two conditions without much aid from this book: challenge is a personal equation,

and information requirements vary with each problem.

A major purpose of this book is to encourage a third universal condition that fosters productive thinking: *flexibility*. The productive thinker can be flexible in his thinking in three ways:

1. He can have easy access to subconscious as well as conscious *levels* of thinking.
2. He can be proficient at a variety of *mental operations* and able to move freely from one operation to another.
3. He can utilize several *vehicles* of thought, and readily transfer his thinking from one vehicle to another.

What is meant by flexibility in levels, operations, and vehicles of thinking?

### **flexibility in levels**

Stekel' observes that "we never have single thoughts but always many, an entire polyphony . . . I picture thinking as a stream of which only the surface is visible, orchestral music of which only the melody is audible." Thinking is also often compared to an iceberg: the small, visible portion of the iceberg is comparable to the mental processes of which we are consciously aware; the much larger, submerged portion to the unconscious mental activity that we cannot, by definition, consciously observe.

Flexibility in levels of thinking is demonstrated by thinkers who know that it is sometimes advisable to stop thinking consciously about a problem, to relax, to take a walk, to sleep on it—in short, to allow thinking to proceed unconsciously. Productive thinkers are also alert to recognize ideas that emerge from unconscious lev-

els. In Chapter 16, for example, I discuss a number of creative insights obtained from dreams.

Education rarely encourages flexibility in levels of thinking. When thinking is taught in the classroom, conscious modes of thinking are stressed and subconscious modes are rarely even mentioned, much less encouraged. One purpose of this book is to point to this educational oversight, and to suggest ways in which you can become aware of and utilize thinking that occurs below the threshold of your normal waking consciousness.

### **flexibility in operations**

The active nature of thinking can be described in terms of a number of mental operations. An example of a thinking operation is *analysis*: by analysis, you actively dissect the object of your thinking into parts (for example, the a, b, c of an outline). A distinctly different operation is *synthesis*: by synthesis, you actively combine two or more unlike ideas into a new entity (as in an invention). Still another operation is *induction*: by induction, you move from particular observations to a generalized concept. *Deductive* thinking operates in the opposite direction, from the general to the particular.

Most thinkers are disposed to use a limited set of favorite thinking operations. The logical thinker likes to operate his thinking by rules of logic, step-by-step, in a single direction. The intuitive thinker, by contrast, appears to take "mental leaps," often in surprising directions. While acknowledging a genetic influence in this personal bias



toward certain mental operations, we can also see that education that rewards certain thinking operations, ignores others, and even penalizes a few, is also bias-inducing. Education in which creative synthesis is ignored or penalized, for example, clearly does not encourage bias toward this important thinking operation.

Mental operations are comparable to special-purpose tools, just as a productive thinker can be compared to a skillful carpenter who easily moves from one tool to another, according to need. An important purpose of this book is to encourage the reader to enlarge his working repertoire of thinking operations and to learn the value of moving from one operation to another.

### **Flexibility in vehicles**

While thinking *operations* are the form of action that thinking takes, thinking *vehicles* are the means by which this action is represented to consciousness. A well-known thinking vehicle is language. As Vygotsky<sup>2</sup> puts it, "thought is born through words." Other vehicles of thinking are non-verbal languages (such as mathematics), sensory imagery, and feelings. Visual thinking is of course primarily characterized by the vehicle of visual imagery.

As we are disposed by heredity and education to use certain thinking operations, we are also inclined to favor certain thinking vehicles. Mozart, for example, reported that his musical thinking was represented to him by the vehicle of vivid auditory imagery. Many people, on the other hand, report that inner speech (talking to oneself) is the primary vehicle of their thinking. Mozart's

ear for music, evident at an early age, was likely a genetic gift; the common tendency to think in relation to language is more readily attributed to education.

The Würzburg school of psychologists some years ago performed experiments leading them to believe that much thinking is "imageless"—that is, occurs without a vehicle. Another way to interpret this finding is to say that much thinking occurs below the *level* of our awareness.

Education that develops skill in thinking by the vehicle of sensory imagery has several advantages. First, it vitalizes the sensory and imaginative abilities that are often allowed to atrophy by contemporary education. Second, it provides vehicles that are frequently more appropriate to the thinker's needs than are language symbols. Words are clearly not adequate to the thinking of a painter; as you will soon learn, words and numbers are also often inadequate to mathematical, scientific, and other non-artistic modes of thinking. Third, education in sensory thinking encourages flexibility in thinking levels: the importance of dreaming, for example, becomes more evident to the thinker who attends this form of inner visual imagery. And finally, thinking by sensory imagery encourages the thinker to utilize thinking operations that are not within the realm of language thinking. More of this in Chapter 3.

### **an experiential approach**

As its title suggests, this book approaches the subject of visual thinking by the avenue

of experience. "Experiences in visual thinking" are not anecdotes about the experiences of successful visual thinkers, however. They are *your experiences*. Sample the value of this experiential approach to learning by working on the following puzzle while also trying to observe your own thinking-at-work.

### **Introspection**

Observe your mental processes as you attempt to solve this problem: "A man and a girl, walking together, step out with their left feet first. The man walks three paces while the girl walks two. When will both lift their right feet from the ground simultaneously?"

Were you able to attend to both aspects of this assignment, the task of introspection and the problem-solving, equally well? Likely not. Perhaps you can now better appreciate why psychologist Charles Osgood<sup>3</sup> concluded "Only the effects of thought, not the process itself, can be observed. A man cannot lift himself up by his own bootstraps: neither can he observe that which is doing the observing."

In addition to giving you first-hand knowledge about the limitations of introspection, the previous experience probably gave you additional insight into levels, operations, and vehicles of thinking. Did you, for example, talk to yourself about the problem subvocally? If so, a vehicle of your thinking was language. Or did you walk two fingers of each hand, or see walking imagery in your mind's eye, or draw a diagram, or feel vague walking sensations in your muscles? If so, your thinking vehicle was sensory imagery.

From this introspective experience, you can also likely infer one or more thinking operations. For example, as I worked on the previous problem, I saw a mental picture of two sets of footprints seen from above. Although I was not directly aware of the operations that resulted in this image, I can easily infer two of them. One is abstraction: I didn't see a complete image of a man and girl walking, but distilled out only the visual information (the foot work) that I needed to solve the problem. Another is rotation of viewpoint: I mentally moved my orientation to a bird's eye view. Since these operations happened without my being able to watch them directly, I can also infer that some of my thinking occurred below the level of my conscious awareness. (The answer to the puzzle: Never.)

An experiential approach is especially relevant to the study of thinking because the student always has the subject of his study, in the form of his own thinking, immediately available. However, this is not a book about thinking; it is primarily a challenge to learn new thinking skills. An experiential approach is nothing less than mandatory here: no skill, whether it be in basketball, basketweaving, or thinking, can be acquired by passive reading. Skills can be acquired only by active and informed experience.

### it's up to you

Since thinking is inherently difficult to investigate, knowledge about thinking is long on provocative hypotheses and short on scientifically verified facts. Consequently, I suggest that you treat each of the follow-

ing experiences in visual thinking as experimental hypotheses to be tested in the laboratory of your own thinking. Be both skeptical and rigorous: give each hypothesis a thorough test, incorporate it if it is valid for your own thinking, reject it if it is not. Then go on to invent and test your own hypotheses.

Although I believe that skill in visual thinking is available and vitally important to virtually everyone, I cannot validate this claim for you. Only you can. Anything so fundamental to your being, so powerful in its capacity to change your world of ideas and things, cannot come off the printed page and into your productive reality without your active effort and participation. These are to be your unique experiences. No two people ever see, imagine, or draw the same; no two people ever think the same thoughts. I have tried only to provide an initial stimulus. The rest is up to you.

### references

1. Stekel, W. Quoted by McKellar, P., in *Imagination and Thinking*. Basic Books.
2. Vygotsky, L. *Thought and Language*. The M.I.T. Press.
3. Osgood, C. *Method and Theory in Experimental Psychology*. Oxford University Press.

### additional bibliography

For a thorough and readable treatment of thinking in general, I suggest *A Study of Thinking* by Jerome S. Bruner, Jaqueline J. Goodnow, and George A. Austin (Wiley). For a discussion of subconscious levels of thinking, I recommend Erich Fromm's *The Forgotten Language* (Grove Press), P. W. Martin's *Experiment in Depth* (Pantheon), Carl Gustav Jung's *Man and His Symbols* (Dell), and Lawrence Kubie's *Neurotic Distortion of the Creative*

*Process* (Farrar, Straus & Giroux—Noonday Press). Thinking operations are thoroughly treated by J. P. Guilford in *The Nature of Human Intelligence* (McGraw-Hill). Vehicles of thinking are discussed in the books by McKellar and Vygotsky listed above and, from the standpoint of general semantics, by S. I. Hayakawa in *Language in Thought and Action* (Harcourt Brace Jovanovich).

The topic of thinking can be approached by many other avenues. For a treatment of how thinking ability is gradually developed, I recommend Jean Piaget's and Bärbel Inhelder's *The Child's Conception of Space* (Norton). Thinking is fascinatingly treated as a neurophysiological function by W. Grey Walter in *The Living Brain* (Norton). George Miller, Eugene Galanter, and Karl Pribram, in *Plans and the Structure of Behavior* (Holt, Rinehart & Winston), combine insights into thinking from such fields as cybernetics, neurology, computer science, and psychology. All of these books are understandable by the lay reader. For an excellent and much larger bibliography, look in the back of Bruner, Goodnow, and Austin's *A Study of Thinking* (Wiley).

# I

## background

The following four chapters provide background about the nature of visual thinking. The first chapter describes three kinds of visual imagery that are the primary vehicles of visual thinking. The second chapter shows how visual thinking involves many kinds of *active* mental operations. The third chapter discusses ways in which visual thinking provides an important and creative complement to modes of thinking such as verbal thinking. Finally, the fourth chapter treats the question "Can visual thinking be learned?"

1. Thinking by Visual Images
2. Images in Action
3. Ambidextrous Thinking
4. Learning to Think Visually

# I

## thinking by visual images

### visual thinking is pervasive

Visual thinking pervades all human activity, from the abstract and theoretical to the down-to-earth and everyday. An astronomer ponders a mysterious cosmic event; a football coach considers a new strategy; a motorist maneuvers his car along an unfamiliar freeway: all are thinking visually. You are in the midst of a dream; you are planning what to wear today; you are making order out of the disarray on your desk: *you* are thinking visually.

Surgeons think visually to perform an operation; chemists to construct molecular models; mathematicians to consider abstract space-time relationships; engineers to design circuits, structures, and mechanisms; businessmen to organize and schedule work; architects to coordinate function with beauty; carpenters and mechanics to translate plans into things.

Visual thinking, then, is not the exclusive reserve of artists. As Arnheim<sup>1</sup> observes, "Visual thinking is constantly used by everybody. It directs figures on a chessboard and designs global politics on the geographical map. Two dexterous movingmen steering a piano along a winding staircase think visually in an intricate sequence of lifting, shifting, and turning. . . . An inventive housewife transforms an uninviting living room into a room for living by judiciously placing lamps and rearranging couches and chairs."

### see / imagine / draw

Visual thinking is carried on by three kinds of visual imagery:

- (1) the kind that we see: "People see images, not things."<sup>2</sup>
- (2) the kind that we *imagine* in our mind's eye, as when we dream.
- (3) the kind that we *draw*, doodle, or paint.

Although visual thinking can occur primarily in the context of seeing, or only in imagination, or largely with pencil and paper, the expert visual thinker flexibly utilizes all three kinds of imagery. He finds that seeing, imagining, and drawing are interactive.

### interactive imagery

The interactive nature of seeing, imagining, and drawing is shown diagrammatically in Figure 1-1. The overlapping circles can be taken to represent a wide variety of interactions. Where seeing and drawing overlap, seeing facilitates drawing, while drawing invigorates seeing. Where drawing and

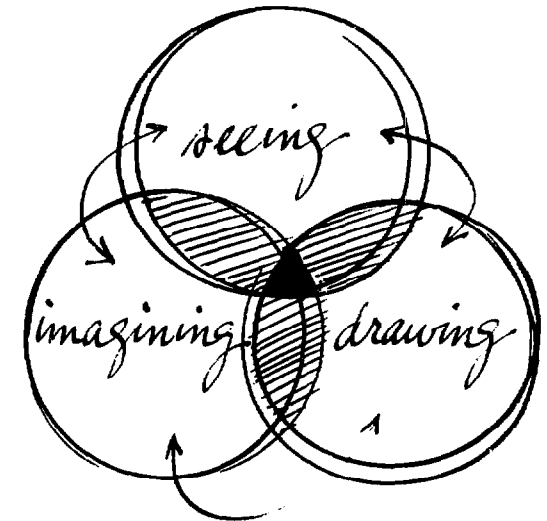


Figure 1-1.

imagining overlap, drawing stimulates and expresses imagining, while imagining provides impetus and material for drawing. Where imagining and seeing overlap, imagination directs and filters seeing, while seeing, in turn, provides raw material for imagining. The three overlapping circles symbolize the idea that visual thinking is experienced to the fullest when seeing, imagining, and drawing merge into active interplay.

The visual thinker utilizes seeing, imagining, and drawing in a fluid and dynamic way, moving from one kind of imagery to another. For example, he sees a problem from several angles and perhaps even chooses to solve it in the direct context of seeing. Now prepared with a visual understanding of the problem, he *imagines* alternative solutions. Rather than trust to memory, he *draws* a few quick sketches, which he can later evaluate and compare. Cycling between perceptual, inner, and graphic images, he continues until the problem is solved.

Experience this interplay between perceptual, inner, and graphic images for yourself, as you solve this challenging and somewhat difficult classic puzzle:

### 1-1 / pierced block

Figure 1-2 shows a solid block that has been pierced with circular, triangular, and square holes. The circle's diameter, the triangle's altitude and base, and the square's sides all have the same dimension. The walls of the three holes are perpendicular to the flat front face

of the block. Visualize a single, solid object that will pass *all the way through* each hole and, en route, entirely block the passage of light.

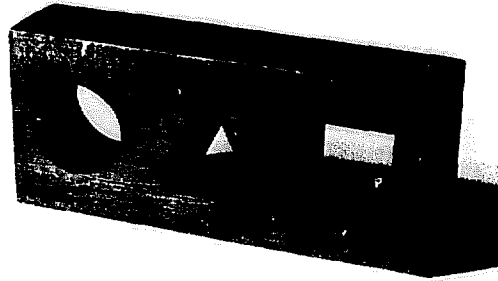


Figure 1-2.

Use seeing, imagining, and drawing to solve this problem, as follows:

1. Simulate the pierced block with a cardboard cut-out. With scissors and cardboard, seek to see a solution by actual "cut-and-try" methods.
2. Close your eyes and seek a solution in your imagination.
3. Make sketches; seek a graphic solution.
4. Consciously alternate between steps 1, 2, and 3.

(An answer to this puzzle is illustrated at the end of this chapter.)

Visual thinking is obviously central to the practice of architecture, design, and the visual arts. Less obvious is the importance of visual thinking to other disciplines, such as science and technology. In the next few pages I will present a few brief accounts of seeing, imagining, and drawing in the thinking of scientists and technologists. Interspersed with these, I have placed related problems that will help you to re-

late these accounts of others to your own experience.

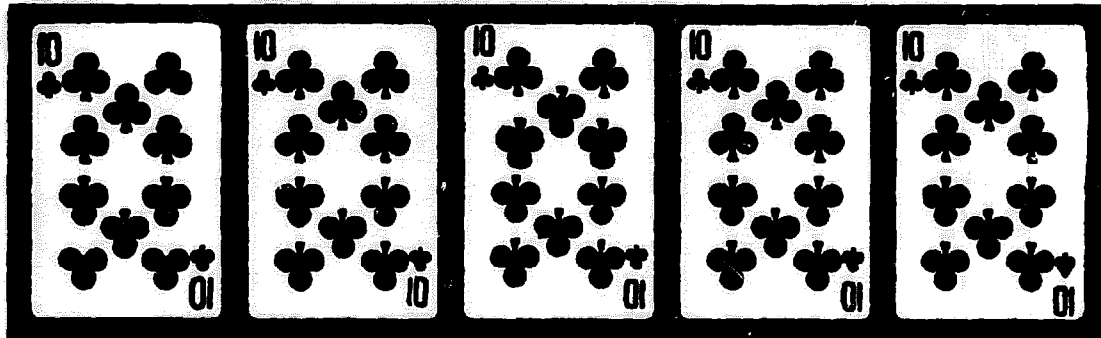
### seeing and thinking

Discoveries in the direct context of seeing are common in the history of science. For example, Sir Alexander Fleming "was working with some plate cultures of staphylococci which he had occasion to open several times and, as often happens in such circumstances, they became contaminated. He noticed that the colonies of staphylococci around one particular colony had died. Many bacteriologists would not have thought this particularly remarkable for it has long been known that some bacteria interfere with growth of others. Fleming, however, saw the possible significance of the observation and followed it up to discover penicillin."<sup>3</sup>

Why did Fleming discover penicillin when another scientist saw it and considered it only a nuisance? Because habits of seeing and thinking are intimately related. Fleming, like most creative observers, possessed a habit of mind that permitted him to see things afresh, from new angles. Also he was not burdened by that "inveterate tradition according to which thinking takes place remote from perceptual experience."<sup>4</sup> He didn't look and *then* sit down to think, he used his active eyes and mind *together*.

### 1-2 / cards and discards<sup>4</sup>

Experience using your eyes and mind together in the following puzzle: "In [the] row of five cards shown below, there is only one card correctly printed, there being some mistake in each of the other four. How quickly can you find the mistakes?"



From *The Book of Modern Puzzles* by Gerald L. Kaufman, Dover Publications, Inc., New York, 1954. Reprinted through permission of the publisher.

Figure 1-3.

Another form of thinking in the context of seeing is described by Nobel Laureate James D. Watson, in *The Double Helix*,<sup>5</sup> a fascinating account of the discovery of the structure of the DNA molecule. Watson and his colleagues visualized this complex structure by interacting directly with a large three-dimensional model. He writes: "Only a little encouragement was needed to get the final soldering accomplished in the next couple of hours. The brightly shining metal plates were then immediately used to make a model in which for the first time all the DNA components were present. In about an hour I had arranged the atoms in positions which satisfied both the x-ray data and the laws of stereochemistry. The resulting helix was right-handed with the two chains running in opposite directions.

... Another fifteen minutes' fiddling by Francis [Crick] failed to find anything wrong, though for brief intervals my stomach felt uneasy when I saw him frowning."

Although a complex structure such as the DNA molecule is difficult to visualize in

imagination or on paper, one of Watson's colleagues scorned the model shown in Figure 1-4. However, Watson observed that his Nobel prize-winning success by this method of visual thinking convinced the doubter "that our past hooting about model-building represented a serious approach to science, not the easy resort of slackers who wanted to avoid the hard work necessitated by an honest scientific career."

Watson's account in *The Double Helix* also gives the reader excellent insight into the competitive excitement of science. Ideally, the next problem (an experience in thinking in the direct context of seeing) is given in the spirit of a competition.

### 1-3 / spaghetti cantilever

With 18 sticks of spaghetti and 24 inches of Scotch tape, construct the longest cantilever structure that you can. Here are three additional constraints:

1. Tape-fasten the base of the structure within a 6-inch-square horizontal area.
2. Don't make drawings. Think directly with the materials.
3. Design and build the structure in 30 minutes.

(Measure length of cantilever from the point on the base nearest to the overhanging end of the cantilever.)

The relation between seeing and thinking will be treated further in Section III, "Seeing."

### imagining and thinking

Inner imagery of the mind's eye has played a central role in the thought processes of many creative individuals. In rare thinkers, this inner imagery is extremely clear. For example, Nikola Tesla, the technological genius whose list of inventions includes the fluorescent light and the A-C generator, "could project before his eyes a picture, complete in every detail, of every part of the machine. These pictures were more vivid than any blueprint."<sup>6</sup> Tesla's inner imagery was so like perceptual imagery that he was able to build his complex inventions without drawings. Further, he claimed to be able to test his devices in his mind's eye "by having them run for weeks—after which time he would examine them thoroughly for signs of wear."

Although labels lead us to think of the various sensory modes of imagination as

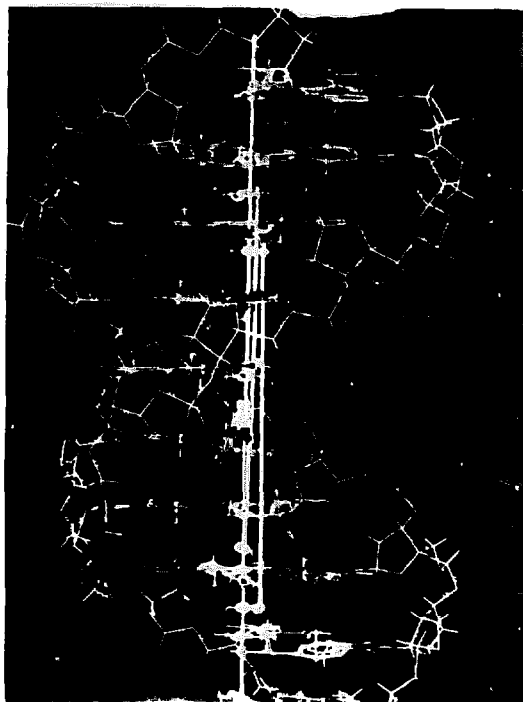


Figure 1-4.

though they occur separately, in actuality imagination is polysensory. Albert Einstein,<sup>7</sup> in a famous letter to Jacques Hadamard, described the important role of polysensory (visual and kinesthetic) imagination in his own extremely abstract thinking: "The words or the language, as they are written and spoken, do not seem to play any role in my mechanism of thought. The physical entities which seem to serve as elements in thought are certain signs and more or less clear images which can be voluntarily reproduced and combined. . . . The above mentioned elements are, in my case, of visual and some of muscular type. Conventional words or other signs have to be sought for laboriously in a secondary stage, when the above mentioned

associative play is sufficiently established and can be reproduced at will."

Although Einstein observed that his polysensory imagination could be directed "at will," many important thinkers have obtained imaginative insights more or less spontaneously. For example, the chemist Kekulé<sup>8</sup> came upon one of the most important discoveries of organic chemistry, the structure of the benzene ring, in a dream. Having pondered the problem for some time, he turned his chair to the fire and fell asleep: "Again the atoms were gamboling before my eyes. . . . My mental eye . . . could now distinguish larger structures . . . all twining and twisting in snake-like motion. But look! What was that? One of the snakes had seized hold of its own tail, and the form whirled mockingly before my eyes. As if by a flash of lightning I awoke." The spontaneous inner image of the snake biting its own tail suggested to Kekulé that organic compounds, such as benzene, are not open structures but closed rings.

Those of you who identify high intellectual endeavor exclusively with verbal and mathematical symbols should consider the introspections of Tesla, Einstein, and Kekulé with special care. Has something been overlooked in your education? The following problem, for example, is best solved by inner imagery. Has your education prepared you for this kind of problem-solving?

### 1-4 / painted cube

Shut your eyes. Think of a wooden cube such as a child's block. It is painted. Now imagine that you take two parallel and vertical cuts through the cube, dividing it into equal thirds.

Now take two additional vertical cuts, at 90° to the first ones, dividing the cube into equal ninths. Finally, take two parallel and horizontal cuts through the cube, dividing it into 27 cubes. Now, how many of these small cubes are painted on three sides? On two sides? On one side? How many cubes are unpainted?

Don't be disappointed if you did poorly on this problem. Mental manipulation of mind's-eye imagery improves with practice. Experiential exercises for the mind's eye, involving many different kinds of inner imagery, are presented in Section IV, "Imagining."

### drawing and thinking

Very few people possess the acuity of mind's eye that enabled Tesla to design and build complex machinery without drawings. Most visual thinkers clarify and develop their thinking with sketches. Watson, recollecting the thinking that preceded his discovery of the DNA structure, writes that one important idea "came while I was drawing the fused rings of adenine on paper."<sup>5</sup> An example of a chemical diagram drawn by Watson is shown in Figure 1-5.

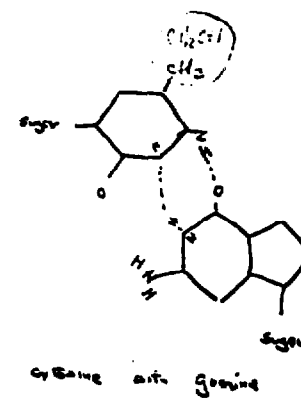


Figure 1-5.

As in Watson's experience, drawing and thinking are frequently so simultaneous that the graphic image appears almost an organic extension of mental processes. Thus Edward Hill, in *Language of Drawing*,<sup>9</sup> likens drawing to a mirror: "A drawing acts as the reflection of the visual mind. On its surface we can probe, test, and develop the workings of our peculiar vision."

Drawing not only helps to bring vague inner images into focus, it also provides a record of the advancing thought stream. Further, drawing provides a function that

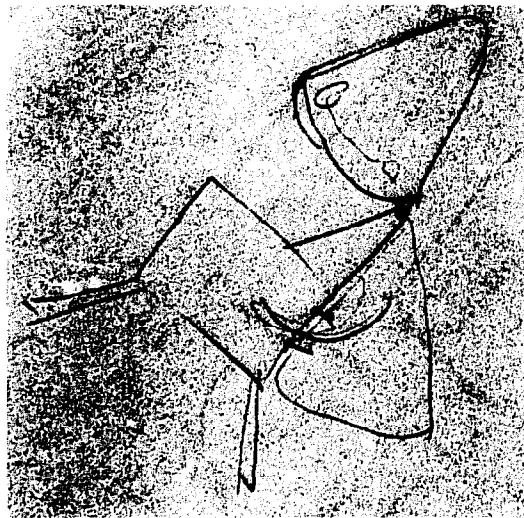
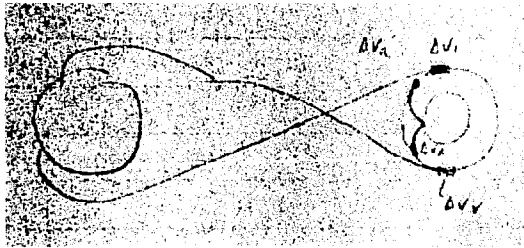


Figure 1-6.

memory cannot: the most brilliant imager cannot compare a number of images, side by side in memory, as one can compare a wall of tacked-up idea-sketches.

Two idea-sketches from the notebook of John Houbolt, the engineer who conceived the Lunar Landing Module, are reproduced in Figure 1-6. Houbolt's drawings show two important attributes of graphic ideation. First, the sketches are relatively "rough." They are not intended to impress or even to communicate; instead, they are a kind of graphic "talking to oneself." Second, one sketch is an abstract schematic of the voyage from earth to moon and back; the other is a relatively more concrete sideview of the landing module. Idea-sketching, like thinking itself, moves fluidly from the abstract to the concrete.

Drawing to extend one's thinking is frequently confused with drawing to communicate a well-formed idea. *Graphic ideation precedes graphic communication*; graphic ideation helps to develop visual ideas *worth communicating*. Because thinking flows quickly, graphic ideation is usually freehand, impressionistic, and rapid. Because communication to others demands clarity, graphic communication is necessarily more formal, explicit, and time-consuming. Education that stresses graphic communication and fails to consider graphic ideation can, unwittingly hamper visual thinking. The relation of drawing to productive thinking will be treated in Section V, "Idea-Sketching."

Some problems are most easily solved by graphic means—for example, this one:

### 1-5 / with one line

With one continuous line that does not retrace itself, draw the pattern shown in Figure 1-7.

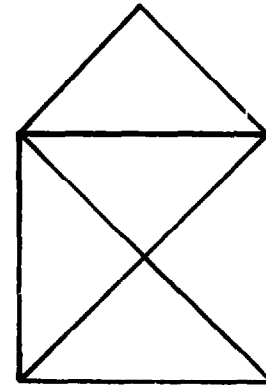


Figure 1-7.

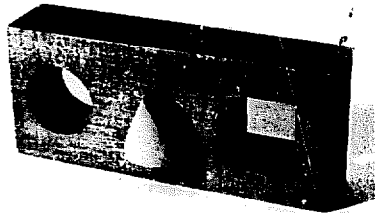
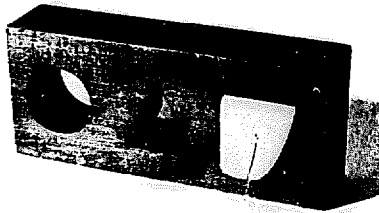
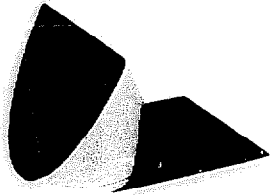
### from puzzles to psychological tests

The puzzles used to illustrate various modes of visual thinking in this chapter bear a striking resemblance to visual problems posed in psychological tests of intelligence. In the next chapter, I will briefly review some of these test forms; they will help to shed light on the *operations* of visual thinking.



## answers

*Problem 1-1:* Here's one of the infinite number of answers to this problem. Can you generate some of the others? For example, what is the "minimum-volume" solution?



*Problem 1-2:* The fourth card is the correct one.

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Rudolf Arnheim's *Visual Thinking* (University of California Press) is a readable and erudite treatment of visual thinking, surely destined to be a classic. If you want to delve deeper into the theory of visual thinking, or if you want to be convinced that visual thinking is *the* most important kind of thinking, I highly recommend this book. It is now available in paperback.

# 2

## images in action

### the operations of visual thinking

This chapter describes some of the basic mental operations of visual thinking—that is, some of the active ways that visual images are formed and manipulated spatially. Psychologists who study and test mental ability have discovered that visual thinking involves a number of visual-spatial operations. L. L. Thurstone,<sup>1</sup> a pioneer in the development of psychological tests, writes: "As a result of factorial studies during the last two decades, we no longer speak of visualizing as a single trait. We know some seven or eight primary factors that are quite distinct and which are all related to visual thinking."<sup>\*</sup>

The following examples from psychological tests suggest, in a manner that can be directly experienced, certain active operations that occur in visual thinking. The sampling is not exhaustive. Operations that occur unconsciously, such as the fantastic symbolic transformations that occur in dreams, are not represented, nor are the subtler operations of visual synthesis. These and other mental operations will be treated in subsequent chapters. Further, some of the test materials are not "factorially pure"—that is, they exercise several visual-spatial operations at once. In this chapter, you will not be tested for visual-thinking ability, nor will you be treated to a discussion of psychological testing. Instead, experience the following problems as an introductory exploration of the kinds

<sup>\*</sup>J. P. Guilford and others have shown that many other discrete visual-thinking operations can be identified and tested.

of mental operations that do the active work of visual thinking.

### pattern-seeking

Most of us experience seeing as a passive, "taking-in" process. In fact, perception is an active, pattern-seeking process that is closely allied to the act of thinking. The active and constructive nature of visual perception is well-illustrated by psychological tests that require the operation of *closure*. Experience closure in two ways: (1) by "filling in" an incomplete pattern and (2) by "finding" a desired pattern embedded in distracting surroundings. As you visually seek both patterns, notice that your perception is indeed active.

### 2-1 / filling in<sup>2</sup>

Look at the picture on the left. It is a picture of a violin. What is the figure in the picture on the right?



Figure 2-1.

The violin and camel in Figure 2-1 are incomplete graphic images. So active is the pattern-seeking nature of perception that these partial images are "closed" into meaningful patterns. In the next illustration, perception actively seeks pattern in another way. Once again, experience how actively you visually "close in" on the hidden figure.

### 2-2 / finding<sup>3</sup>

The left-hand design in the group below is the figure. You are to decide whether or not the figure is concealed in any of the four drawings to the right.

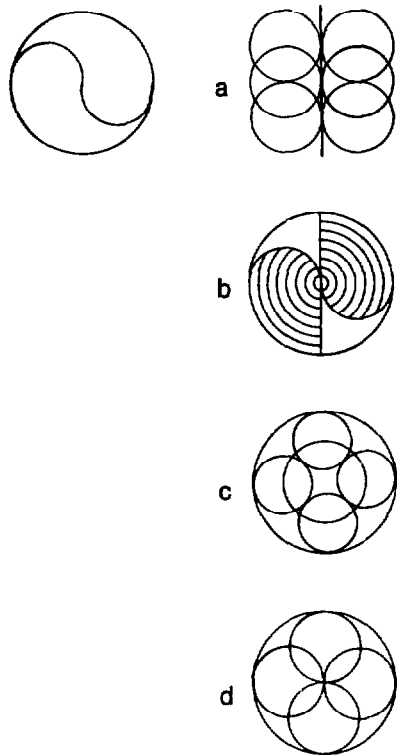


Figure 2-2.

You have "closed" correctly in Figure 2-2 if you found the desired figure embedded in drawings b and d. Now try another kind of visual operation involving pattern perception. This operation is extremely common and is fundamental to all thinking.

### 2-3 / matching<sup>4</sup>

The first figure in each line below is duplicated in one of the five figures that follow. Check the duplicate figure.

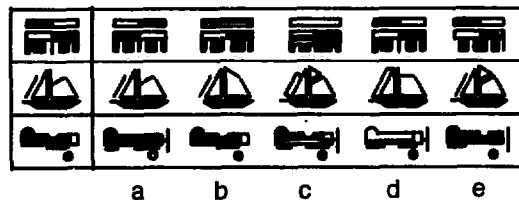


Figure 2-3.

You recognized the desired patterns correctly if you checked d, a, and b, respectively. When this problem is given in a psychological test, it is called "perceptual speed" and the score is the number of correct matchings completed in a given time. Why does the test emphasize speed? Did you notice that there is a "quick" and a "long" way to perform the matching? The long way involves detail-by-detail comparison and, perhaps, even a bit of verbal talking to oneself. The quick way involves seeing the desired pattern as a whole and matching it without hesitation. The desired operation is the quick way—thus the emphasis on speed in the test.

Computers programmed to do "pattern recognition" perform the matching operation in the previous test laboriously, "the long way." Indeed, the computer is a floundering visual thinker that squanders great effort on operations that humans perform almost effortlessly. The following more difficult operation, essential to the invention of categories, is relatively routine for humans but a tour de force for a well-programmed computer.

### 2-4 / categorizing<sup>5</sup>

In each of the rows below, two figures are exactly alike. Check these figures.

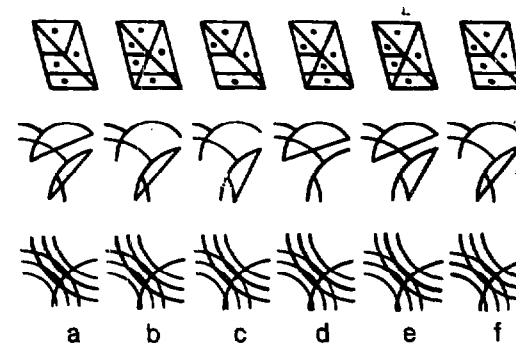


Figure 2-4.

You may rate yourself effective in visual categorization if, in Figure 2-4, you checked b and e, b and f, b and e. We literally invent our world by this visual-spatial operation. On a rudimentary level, we discover all of the objects in our environment by recognizing common features; ask a child how he distinguishes cats from dogs. On a more rigorous level, visual categorizing is at the core of much scientific discovery; a skilled eye peering into a microscope operates much as did yours in the previous example.

The active nature of pattern-seeking is experientially dramatized by tests that involve drawing. As you work the following problem, realize that your pencil, as it constructs the required image, reflects the constructive activity of eye and mind.

**2-5 / pattern completion<sup>6</sup>**

Complete the patterns in the spaces below.

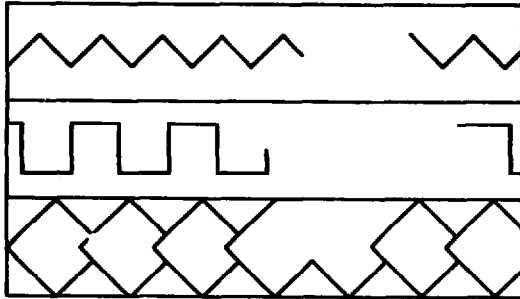


Figure 2-5.

**visual memory**

Ability to retain visual imagery is difficult to measure. One can never be sure that a low test score is the result of poor memory; it could as well be the result of inaccurate perception. Indeed, vigorous perception and faithful re-memering are closely allied. The more actively you perceive the following designs, the more likely you will be able to reproduce them from memory.

**2-6 / memory for designs**

Inspect the designs in Figure 2-6 for two minutes. Close the book; on separate paper, reproduce them in any order.

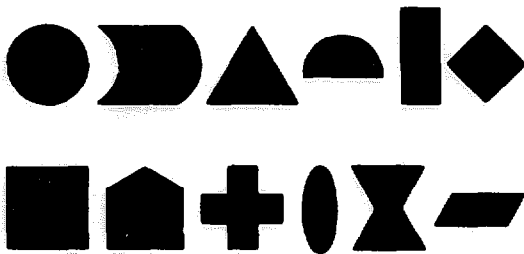


Figure 2-6.

**rotations**

So far, you have been actively filling in, finding, matching, categorizing, completing, and remembering fixed images. In the next two test problems, experience the operation of mentally rotating images in space. First, experience rotating a flat image through 180°.

**2-7 / inverse drawing<sup>6</sup>**

In Figure 2-7, the top drawing on the left is the top right drawing seen reversed. In the remaining squares, draw the inverse of the drawings on the right.

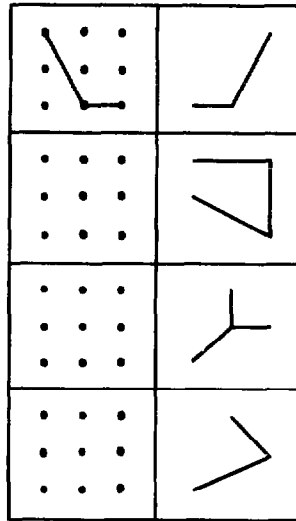


Figure 2-7.

Now mentally rotate the image of a three-dimensional object in space.

**2-8 / rotating dice<sup>5</sup>**

Examine each pair of dice in Figure 2-8. If, insofar as the dots indicate, the first die of the pair can be turned into the position of the second one, place a check next to the pair.

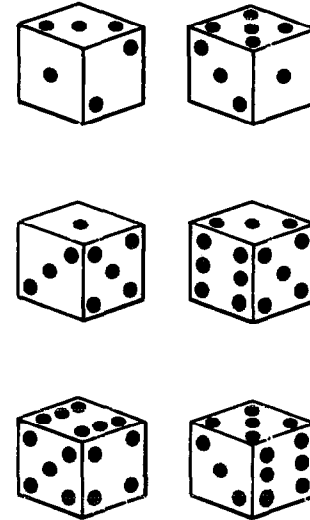


Figure 2-8.

If you checked the second pair of dice, you correctly performed the operation of rotation.

**orthographic imagination**

The rotating-dice problem requires an operation similar to orthographic imagination, which is the ability to imagine how a solid object looks from several directions. This is an alternative operation: either the object is mentally rotated, or the viewpoint is rotated in relation to the object. Orthographic imagination also includes cutting through a solid object and viewing the resulting cross-section (see Chapter 13).

### 2-9 / from another viewpoint

In Figure 2-9, the left-hand drawing represents a solid object. One of the drawings in the right-hand column shows the same object in a different position. Circle the number of that drawing.

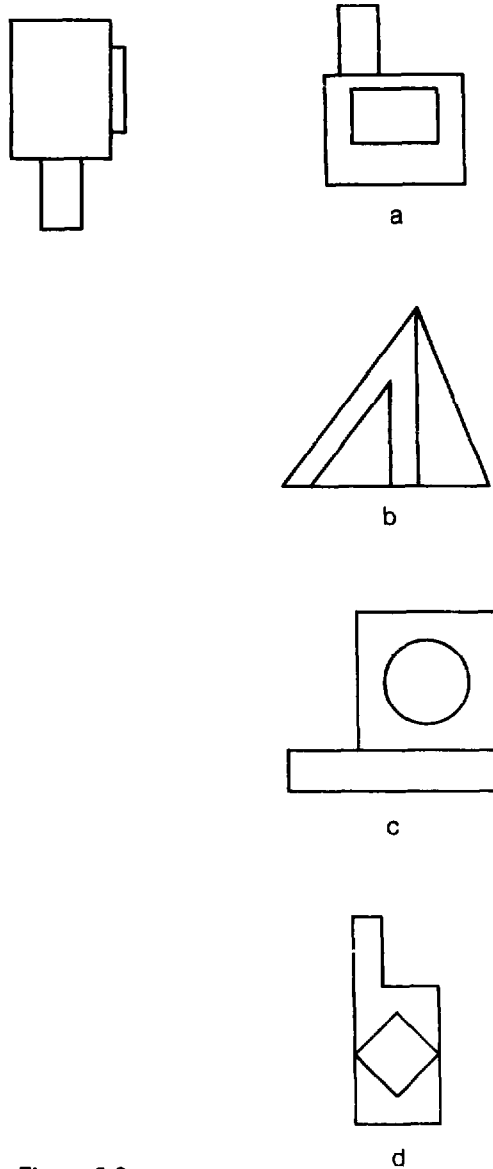


Figure 2-9.

Orthographic imagination is related to, but is not quite the same as, visual-spatial operations that require a strong sense of body orientation. If you were able to see that the correct drawing in Figure 2-9 is c, can you also orient yourself to the following situation? "My house faces the street. If a boy passes by my house walking toward the rising sun, with my house at his right, which direction does my house face?"

### dynamic structures

In the following visual-spatial operations, the structure of a three-dimensional object is manipulated in some way. In Problem 2-10, the object is folded.

### 2-10 / folded pattern<sup>7</sup>

The pattern on the left in Figure 2-10 can be folded to form a three-dimensional object, with the grey showing outside. Which of the four objects on the right is the result?

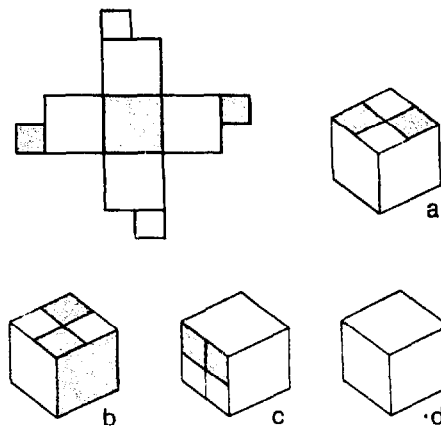


Figure 2-10.

You folded the pattern correctly if you selected object a. Now try *unfolding*.

### 2-11 / knots<sup>8</sup>

Which of the drawings of a piece of string in Figure 2-11 would form a knot if the ends were pulled tight?

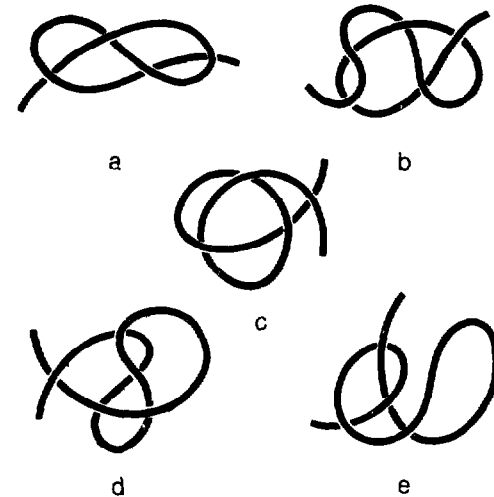


Figure 2-11.

You correctly performed the required operation if you visualized the loops of string marked b, c, and e as knots.

The last two operations required moving a single configuration in space. Now move several objects in relation to each other. Motion in visual-spatial operations is likely effected by kinesthetic (muscle) imagery. Although the following problem is mechanical in nature, imagery in three-dimensional motion is important to visual thinking in many fields.

### 2-12 / pulleys<sup>7</sup>

In Figure 2-12, which way (a or b) will pulley "X" turn?

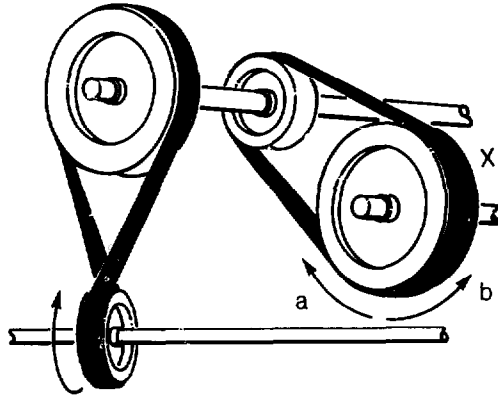


Figure 2-12.

Did you trace the motions of the pulleys with your finger, or feel some sort of inner muscular involvement, as you came to the correct conclusion that pulley "X" goes in direction b? If so, you were experiencing the importance of kinesthetic imagery to active thinking operations.

### visual reasoning

An alternative way to solve the previous problem of the pulleys, without rotating the pulleys together in imagination, is by visual logic: "This one turns this way, therefore . . ." A similar kind of visual reasoning underlies the next problem.

### 2-13 / spatial analogy<sup>6</sup>

The first three designs on the left in Figure 2-13 are part of an analogy. Which of the designs on the right completes the analogy?

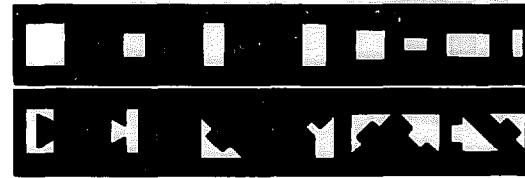


Figure 2-13.

Logical reasoning, on the concrete level of the previous examples, works much the same way in visual thinking as it does in verbal and mathematical thinking. Deductive reasoning, by which the thinker goes from an abstract to a concrete idea, also occurs in all kinds of thinking. But visual deduction, especially of the more profound sort, is difficult to illustrate or describe. The painter, for example, who realizes an abstract idea in a particular composition thinks deductively. "But," as Edward Hill

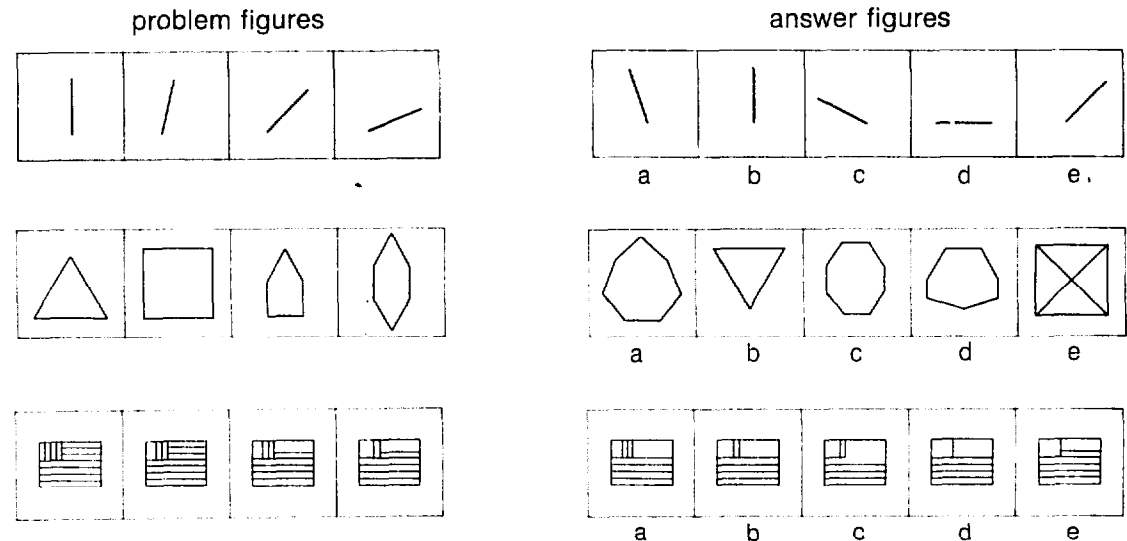


Figure 2-14.

writes in *The Language of Drawing*,<sup>9</sup> "attempting to unravel the workings of this intelligence will baffle the mind entirely. The processes are nearly inscrutable."

Inductive visual reasoning, because it begins with concrete imagery, is easier to illustrate. In the next problem, you are asked to induce the abstract principle that relates four sequential images to a fifth image.

### 2-14 / visual induction I<sup>7</sup>

On the left of Figure 2-14 are four "problem figures" that are a related series. On the right are five "answer figures," one of which is the fifth figure of this series. For example, the answer figure in the first line is d; we induce that the relating principle is an incremental "falling" of the line to the right. Can you induce the remaining answer figures?

If you solved the previous problem (the answers are a and b) rather easily, and are beginning to believe that the operations of visual thinking are necessarily simple, then try the next exercise. Or better yet, try chess.

### 2-15 / visual induction II<sup>10</sup>

The two designs in the first row of Figure 2-15 are related to each other and also to one of the designs in the second row. Which of the four lettered designs below fits into the empty space?

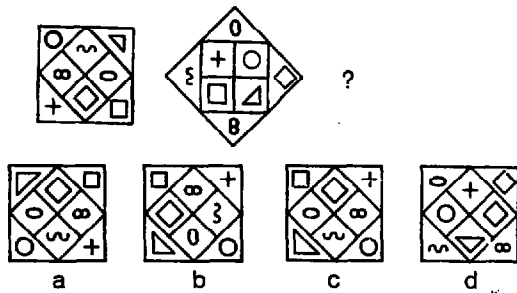


Figure 2-15.

The principle that relates the three designs in sequence: (1) the entire figure rotates 45 degrees; (2) the two sets of symbols move as sets, 90 degrees clockwise and 90 degrees counterclockwise; and (3) inner and outer sets of symbols exchange positions. The related design is therefore c.

### visual synthesis

As we come to the complex and manifold operations involved in the creative act of visual synthesis, we reach the limits of examples from psychological tests. In one well-known test, shape fragments are put

together into wholes—but this is spatial addition, not synthesis; in creative synthesis, the whole is a new identity that is more than the sum of its parts. Another test presents a set of simple geometric shapes that are to be combined, by drawing, into such images as a clown, a microphone, and an ice cream cone. This test, although it provides more latitude, is nevertheless rudimentary. To suggest the complex nature of visual synthesis, we must probe deeper. All of the visual-spatial operations discussed in this chapter, and some additional operations to be introduced later, are involved in the act of creation. But such operations as occur in dreams are closer to the heart of creative visual thinking.

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\*If you enjoy performing visual-thinking tests, you will find more of them in books such as these. (Eysenck's paperback is an especially rich source.) Although intended to test inherited ability, visual tests are useful as exercises to awaken and develop resources that education commonly ignores.

# 3

## ambidextrous thinking

### the symbolism of the right and left hands

In *On Knowing: Essays for the Left Hand*, Jerome Bruner<sup>1</sup> writes about the ancient symbolism of the right and left hands, "the one the doer, the other the dreamer. The right is order and lawfulness, *le droit*. Its beauties are those of geometry and taut implication. Reaching for knowledge with the right hand is science." About the symbolic left hand, Bruner observes that "though the heart is virtually at the center of the thoracic cavity, we listen for it on the left. Sentiment, intuition . . . should we say that reaching for knowledge with the left hand is art?" Developing the symbolism further, the left hand represents openness, receptivity, subjectivity, playfulness, feeling, motivation, and sensory and imaginative processes; the right hand represents discipline, logic, objectivity, reason, judgment, knowledge, skill, and language. The symbolic left hand is open to fresh impressions, hunches, and subconscious levels of thinking; the symbolic right hand holds the tools necessary to develop, express, and realize ideas, to bring them into the world of action.

Although the symbolic left and right hands should not be confused with actual left- or right-handedness, the symbolism nevertheless bears some relation to neurological fact. The right hemisphere of the brain (which controls the left side of the body) is a neurological center for such symbolically left-handed processes as sensing and imagining. And the left hemisphere (which crosses over to control the right side) is a center for such symbolically right-handed

processes as verbal reasoning and mathematical description.\*

The symbolism of left and right hands is sometimes used to classify people into opposing camps, or cultures, much as C. P. Snow separated scientists from literary and artistic humanists in *Two Cultures and the Scientific Revolution*. One can certainly identify individuals who are symbolically left- or right-handed. But can entire professions be classified in this way? Bruner believes that Snow's conceptual division of scientists and humanists into opposing camps is both inaccurate and misleading. "I find myself a little out of patience with the alleged split between 'the two cultures,' for the two are not simply external ways of life, one pursued by humanists, the other by scientists. They are ways of living with one's own experience." What we need, declares Bruner, is "not an institutionalized cultural bridge outside, but an *internal transfer* from left to right" (italics added).

### bridges within

Bruner's call for an internal transfer from left to right hands implies a need to integrate the artist and scientist within each one of us. To build bridges between divided cultures, he suggests, we must first build bridges within.

\*Recent electroencephalographic research by Robert Ornstein and David Galin at Langley-Porter Neuropsychiatric Institute reveals a measurable increase in right-hemisphere activity when the subject is performing a visual-thinking task, and a similar increase in left-hemisphere activity when the subject is performing a verbal-thinking task.



The individual who is able to bridge the inner messages of his left hand over to his form-giving, outward-oriented right hand, is, to carry the left-right symbolism one step further, ambidextrous in his thinking. Truly creative people in every field are ambidextrous—that is, capable of receiving with the left and transferring to and expressing with the right. In Chapter 1, scientists Fleming, Watson, Kekulé, and Einstein and engineers Tesla and Houbolt are revealed as eminently ambidextrous. Kekulé's dream of a snake biting its tail, for example, is left-handed, while his verification of this insight in his laboratory and within the theoretical framework of chemistry is the work of his disciplined right hand. A novelist who has a "sharp ear for dialogue," or who vividly imagines his characters in their settings, is left-handed; if he is further able to translate his perceptions and imaginings skillfully into words, he is also symbolically right-handed—and therefore ambidextrous. In the following experience, exercise your ability to use your symbolic right and left hands, and to obtain an internal transfer between the two.

### **3-1 / internal transfer**

1. In a pleasant setting, spend at least ten minutes attending your sensations and feelings, and avoiding such symbolically right-handed activities as inner speech and self-evaluation. Begin by closing your eyes and listening to the sounds of your environment. Be aware only of sounds; let all else retreat into the background of your awareness. Also try not to label the sounds (don't think "that's an airplane, that's the wind in the trees"). Labeling is right-handed and restricts full sensory experience. After listening for several minutes to sounds, take a slow stroll, first touching things, then smelling, then seeing. Next, attend your

body sensations. Close your eyes and locate various parts of your body: your right big toe, the end of your nose. Be aware of your breathing, without trying to control it (controlling is right-handed). And so on. Finally, attend your emotions. What is your mood right now: tranquil? anxious? euphoric? annoyed? mixed emotions?

2. Transfer some part of the previous experience to your symbolic right hand. As evocatively as possible, verbally describe something of what you have just sensed or felt to a friend or write about it or draw it. A measure of your ambidexterity in this exercise is your ability to convey your experience to someone else.

The notion of internal transfer from left to right hands is so important to healthy thinking generally that I will underline it further, going now to the writings of psychologist Abraham Maslow. Dr. Maslow was a pioneer of the "humanistic" school of psychology, which departed from psychology's traditional concern with psychic malfunctioning in order to study how healthy, creative, self-actualizing individuals manage to function so well.

### **primary and secondary creativeness**

In a paper entitled "Emotional Blocks to Creativity,"<sup>2</sup> Maslow describes two kinds of creative people. In his studies, he observed that "many good scientists are what the psychopathologist or therapist would call rather rigid people, rather constricted people, people who are afraid of their unconscious . . ." These effective scientists who make their contributions "by working along with a lot of other people, by standing upon the shoulders of people who have come before them, by being cautious and careful . . ." are only capable of what Maslow calls

"secondary creativeness." The individual whose creativeness is "secondary" deals with his outer world logically, objectively, and in orderly fashion, but has lost intimate contact with senses, feelings, and his inner fantasy life.

Maslow, in describing "primary creativeness," agrees with Bruner's statement that "the great hypotheses of science are gifts carried by the left hand."<sup>1</sup> According to Maslow, primary creativeness "comes out of the unconscious." It is the result of ability "to fantasy, to let loose, to be crazy, privately." Primary creativeness "is very probably a heritage of every human being and is found in all healthy children." We all nightly experience primary creativeness in our dreams: "In our dreams, we can be . . . more clever, and wittier, and bolder, and more original . . . with the lid taken off, with the controls taken off, the repressions and defenses taken off, we find generally more creativeness than appears to the naked eye." Conscious primary creativeness, according to Maslow, is "lost by most people as they grow up." Most people, that is, whose society demands reality-adjusted thinking only, and whose education has been almost exclusively "right-handed."

Like Bruner, Maslow points to the value of psychological integration. He writes: "A truly integrated person can be both secondary and primary; both mature and childish. He can regress and then come back to reality, becoming then more controlled and critical in his responses." The visual thinker in every field will be creative only to the extent that he is able to develop this flexibility and integration.

## sinister?

The symbolic left hand has a sinister connotation: Latin for "left" is *sinistra*. Radicals and revolutionaries, for example, are "leftist," while society's righteous law-and-order contingent is on the political right. This meaning undoubtedly derives from the symbolic left hand's association with the impulsive, unconscious, "dark side" of human nature. The ambidextrous thinker is, of course, actively left-handed; is he consequently open to sinister impulses? Why is the symbolically right-handed individual, the person whom Maslow describes as capable of "secondary creativity," afraid of his unconscious? Is it because he has good reason to be fearful? Does evil lurk within, held in check only by the law-abiding discipline of the symbolic right hand?

Opinion on this question is mixed. Freud, whose insights were derived largely from his observations of mentally ill patients, arrived at a pessimistic view of human nature. He concluded, along with many Western theologians and philosophers, that human nature is inherently faulted by instinctual drives which can be held in check only by the civilized, law-abiding right hand. Maslow, by contrast, obtained a more optimistic view by studying extraordinarily healthy people. He maintains that: "Certainly it is now obsolete to stigmatize this unconscious side of human nature as sick rather than healthy. That's the way that Freud thought of it originally; but we are learning otherwise now. We are learning that complete health means being available to yourself at all levels. We can no longer call this side 'evil' rather than 'good,' lower rather than higher, selfish rather than unselfish, beastly rather than human...

No longer can we dichotomize ourselves into a devil and a saint. We can now see this as an illegitimate dichotomy."<sup>2</sup>

How can we reconcile Freud's and Maslow's opposing views? Certainly we cannot use hard facts; neither the Freudian nor the Maslowian theory has been verified scientifically. We must wait for more knowledge. In the meantime, no one who has visited a mental institution, or who can recall Germany under the disastrous and pervasive influence of Adolf Hitler, can firmly refute Freud's pessimism. Nor can anyone who has known a fully functioning, creative person, or who is aware of a culture that does not know violence or war (such as the Malaysian Senoi tribe—see Chapter 16) take a strong stand against the optimism of Maslow.

A good deal hinges on what we consider to be "sinister." Is craziness sinister? According to R. D. Laing, psychosis is, for many individuals, a necessary step toward mental health, and should be treated that way. Is creativity sinister? Profoundly creative men are frequently treated as dangerous lunatics: the Romans deemed Christ sinister. Is pain sinister? Individuals who have full access to their left hand (who are aware of their senses, open to their feelings, in touch with their unconscious) inevitably experience more of everything—more pleasure, and also more pain. If the left hand is sinister, then how should we characterize an overly repressive right hand? In the cartoon by Jules Feiffer (Figure 3-1), notice which hand is holding the gun.

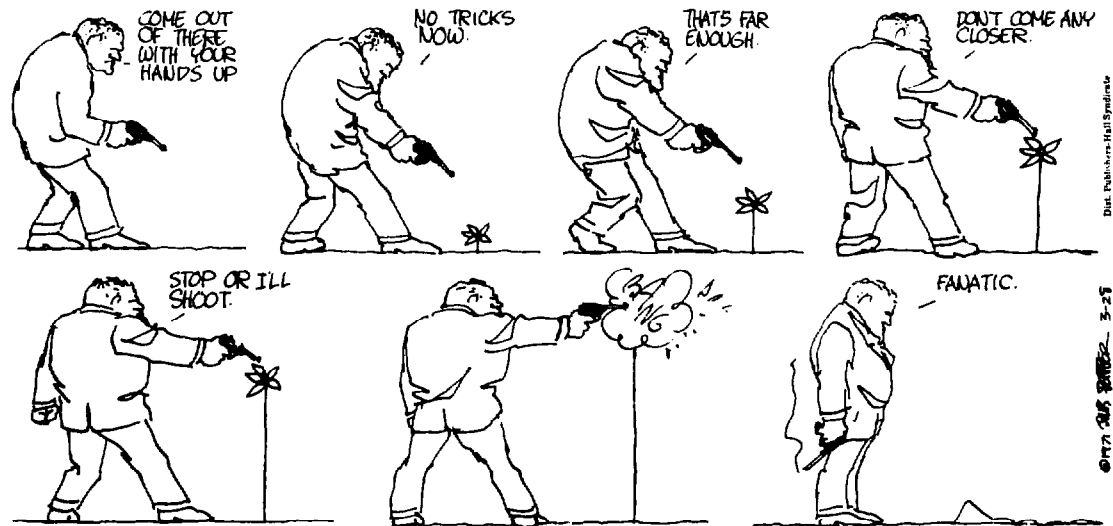


Figure 3-1.

Nietzsche<sup>3</sup> wrote, "Every extension of knowledge arises from making conscious the unconscious." The ambidextrous thinker who is capable of the contributions of "primary creativity" necessarily makes his unconscious conscious. By contrast, the individual who is afraid of his unconscious has limited access to this vital source of his being. He is, in other words, blocked from full functioning: fearing himself, he can utilize only that safe, enculturated, law-abiding side of himself which we have called right-handed. A major purpose of experiences in visual thinking is to gently take the symbolic left hand out of the cast in which society and education has immobilized it, to give it some exercise, and to put it to work in unity with the right.

### **complementary modes of thought**

Ulric Neisser, in *Cognitive Psychology*,<sup>4</sup> agrees with Maslow that "the primary and secondary processes are by no means as antagonistic as Freud believed." But Neisser goes Maslow one further: he relates primary and secondary processes not only to creativity but to "all directed thinking." He describes thinking as two-stage: "First, the so-called primary processes make an array of crudely defined 'objects' or 'ideas' . . . Then, in alert and waking subjects, the secondary processes of directed thought select among those objects and develop them further . . . Rational thought is 'secondary' in the sense that it works with objects already formed by a 'primary' process. If these objects receive no secondary elaboration, as in some dreams and disorganized mental states, we experience them

in a fleeting and imprecise way . . ." Tom Cornsweet,<sup>5</sup> in an article about human information processing, elaborates on Neisser's theory: "The quality of our thinking may have to do with the amount of information that is lost between primary and secondary processes."

The quality of our thinking, by the same token, must also be related to the nature of the primary material available for secondary elaboration. As Maslow suggests, the individual who is capable only of "secondary creativity" has little access to primary processes. He stands on other people's shoulders, thinking about the written thoughts of someone who, in turn, was writing about an idea that he had read—and so on. The verbal thinker, especially, tends to think in this second-hand way: he skillfully manipulates symbols but rarely makes full contact with his own primary resources. Visual thinking is a marvelous antidote for this sterile, one-sided kind of thinking. Or more correctly, visual thinking, with its symbolically left-handed, primary-process origins, is a vital *complement* to symbolically right-handed, secondary-process thinking-by-words-and-numbers.

Take perception, for example. What sort of thinking may we expect from the thinker who verbally labels his experience before he has time to perceive its richness? Since perception provides vital raw material for thinking, we may expect stereotyped thinking, thinking in which conventionalized labels play a central role. Visual thinking, with its emphasis on fresh and unlabeled perception (see Chapter 8), should have a salutary influence on the thinker who has become over-involved in verbal (or math-

ematical) labeling. I am not suggesting that we abandon words: most of the experiences in this book on visual thinking would be extremely difficult to present nonverbally. My point is that visual and language thinking are *complementary*.

The same case can be made in connection with sensory imagination. The importance of this primary kind of imagination to thinking in every field cannot be overstressed. Do you suppose, for example, that mathematicians think only in relation to mathematical symbols? Jacques Hadamard,<sup>6</sup> who made an extensive study of creative mathematicians, found that "Practically all of them . . . use vague images [that] are most frequently visual, but they may also be of another kind, for instance, kinetic." Again, I am not attempting to discourage symbolic thinking. By attempting to solve the following problem by *sensory imagination only*, you will see why mathematicians also think in mathematical symbols.

### **3-2 / fifty times**


Picture a large piece of paper, the thickness of this page. In your imagination, fold it once (now having two layers), fold it once more (now having four layers), and continue . . . folding it over upon itself fifty times.

How thick is the fifty-times-folded paper?

Most people cannot sustain this imaginative feat beyond several foldings. Even if they could, the image would be impossible to scan: by mathematical calculation, you will find the fifty-times-folded thickness is in the order of the distance from here to the sun! As Hadamard has shown, most creative mathematicians are visual thinkers; the previous problem suggests why math-

ematicians also use abstract mathematical language. Again, it is not a question of one or the other: sensory imagination and symbolic thinking are complementary, each performing mental functions that the other cannot.

In a similar vein, visual thinking complements abstract-language thinking by its power to concretize. The graphic image

 is clearly more concrete in meaning than the word "square" or the numerical expression " $\frac{1}{4} \times \frac{1}{4}$ ." Which is not to say that visual imagery cannot be abstract: visual imagery was central to the extremely abstract thinking of Einstein. Rather, visual imagery can be more concrete than words or numbers; it is in this way that "a picture is worth a thousand words." The need to balance abstract, symbolic thought with concrete image-thinking is also expressed by Bartlett:<sup>7</sup> "In proportion as we lose touch with the image method, we run greater and greater risk of being caught up in generalities that may have little to do with actual concrete experience." In the same vein, Goethe admonished his fellow philosophers, "We talk too much; we should talk less and draw more."

Visual and verbal (or mathematical) thinking are also complementary by virtue of differences in structure. Verbal and mathematical symbols are strung together linearly in conventional patterns such as those afforded by grammar. Mentally tracking these linear structures automatically enforces certain thinking operations. Like fingering beads, the thinker follows the verbal or mathematical pattern piece by piece, toward a single end. Visual imagery, by

contrast, is wholistic, spatial, and instantly capable of all sorts of unconventional transformations and juxtapositions. Thus, Bartlett concludes that "the image method remains the method of brilliant discovery . . . and the [verbal-mathematical] thought method . . . the way of rationalization and inference."

Computers cannot see or dream, nor can they create: computers are language-bound. Similarly, thinkers who cannot escape the structure of language, who are unaware that thinking can occur in ways having little to do with language, are often utilizing only that small part of their brain that is indeed like a computer. "Language," writes Arthur Koestler in *The Act of Creation*,<sup>8</sup> "can become a screen which stands between the thinker and reality. This is the reason that true creativity often starts where language ends." The creative thinker does what the computer cannot. He abandons language when occasion demands, and enters into other modes of thought. Specifically, the creative thinker is ambidextrous: he uses his symbolic left hand as well as his right, his right brain as well as his left. Learning to think visually is vital to this integrated kind of mental activity.

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# 4

## learning to think visually

### can thinking be taught?

In *Neurotic Distortion of the Creative Process*, psychiatrist Lawrence Kubie<sup>1</sup> writes that "we do not need to be taught to think; indeed . . . this is something that cannot be taught. Thinking processes actually are automatic, swift, and spontaneous when allowed to proceed undisturbed by other influences. Therefore, what we need is to be educated in how not to interfere with the inherent capacity of the human mind to think."

In this statement, Kubie is not suggesting a *laissez faire* approach to the education of thinking. Instead, he is advocating the most difficult educational condition of all: a healthy psychological climate. Education that does not interfere with thinking, according to Kubie, must be free of neurosis-inducing educational practices, such as repetitive memory drill. It must also be expertly responsive to neurotic blocks in the thinking of individual students.

Given a new generation of neurosis-free, psychologically expert educators who nurture the natural flow of thought (and that is asking quite a bit!), is there nothing else to teach about thinking? Psychologists Robert Olton and Richard Crutchfield, in a paper entitled "Developing the Skills of Productive Thinking,"<sup>2</sup> do not agree with Kubie that thinking itself "cannot be taught." Observing that "there exists for most individuals a pronounced gap between productive thinking potential and productive thinking performance," Olton and Crutchfield report educational research confirming "that direct training in thinking can produce significant incre-

ments in thinking skills." As a result of their research, they conclude: "Systematic programs for teaching the student how to think should be one of the central concerns of education at all levels and for all types of pupils. For an education without such instruction will produce adults who are destined eventually to become crippled by their own obsolete patterns of thought and by knowledge that is no longer relevant, to become confused and then overwhelmed by a vastly changed future society in which they will no longer know how to participate."

### the discovery and strategy methods

The two most successful approaches to the education of thinking are the "discovery method" and what I will call the "strategy method." In the discovery method, the student is not required to memorize concepts but is stimulated to discover them for himself: the student learns to think independently because he is challenged to do so. In the strategy method, the student is not only challenged to think, he is also taught how to apply a number of thinking strategies. He is taught, for example, that the thinker who fluently produces a number of possible solutions to a problem is usually more successful than the thinker who settles for one solution. Learning this, the student then utilizes fluent ideation as a strategy for improving the quality of his thinking. A number of thinking strategies (also called *heuristics*) have been identified and can be taught in conjunction with the discovery method.

Although teachers of the discovery and strategy methods have affirmatively answered the question "Can thinking be taught?" much more needs to be done. As Kubie suggests, neurotic blocks to thinking are extremely common. Few educators, however, have the knowledge, skill, or time for a psychotherapeutic approach to the education of thinking. Also, outside of art and design education, few educators are aware that thinking can occur in other than verbal and mathematical modes. Yet sensory modes of thought, especially the visual mode, are at the very heart of thinking.

What can be done to teach visual thinking?

### **the 3 R's and visual atrophy**

The first step toward teaching visual thinking is to stop *unteaching* it. Writes Rhoda Kellogg, in a paper entitled *The Esthetic Eye of the Preschool Child*,<sup>3</sup> "Adults create mild brain storms in children when they view art as a frill and language as a must in school. Both are essential for wholesome mental development. Art allows for harmless individual self-expression of thought which every person needs. Learning the 3 R's does not allow for such freedom, but instead gives the essential training in conforming mental activity which children need in order to become communicative and cooperative social beings . . . A few children are able to continue blossoming both in art and in language, but too many never bloom in either kind of abstract thinking. Some choose to bloom only in art and may end up in classes for the mentally retarded; others avoid art,

pursue the 3 R's avidly, and become adults who help to make this world a practical but unbeautiful place to live."

Indeed, most students pursue the 3 R's because there is no other alternative. Opportunity for visual expression usually ceases early in the primary grades. There are many causes for this, including our utilitarian society's generally negative attitude toward the arts. Rudolf Arnheim<sup>4</sup> points to an even more important cause, the common educational notion that "man thinks in words alone, and that without words no thinking can take place." He elaborates: "In our schools, reading, writing, and arithmetic are practiced as skills that detach the child from sensory experience . . . Only in kindergarten and first grade is education based on the cooperation of all the essential powers of the mind; thereafter this natural and sensible procedure is dismissed as an obstacle to training in the proper kind of abstraction."

One-sided education in the 3 R's, with few exceptions, results in massive visual atrophy. Any mental ability that is not exercised decays, and visual ability is no exception. Consider the result of this atrophy in the simple act of seeing. Taught always to name what they see, many students learn to label the visual stimulus too quickly, before they see it fully. For example, the word-dependent individual rarely sees trees in all their many shades of green and trunk-bark-limb-twig-leaf complexity. Instead, he sees trees as abstract visual concepts, vague green blobs on a stick. This profound perceptual loss is also reflected in lost imagination. Asked to recall

the image of a specific tree, the word-dependent individual sees either no inner image or the image of a vague, stereotyped tree. Asked to draw a tree, the individual whose visual ability has atrophied can only draw a primitive green lollipop. Capacity for visual fantasy and creativeness is also greatly diminished by schooling that focuses the student's attention almost entirely on second-hand reality encoded in words and numbers.

To be sure, the 3 R's are not the sole cause of atrophy; there are also psychological causes. Perceptual loss is often caused by self-consciousness: the individual who always tries to see how he appears to others rarely has eyes to see much else. Imaginative loss is also caused by parents who scold "Stop imagining things!" And drawing ability is stunted in a specialized society in which drawing is only what "talented artists" do. The obstacles to visual education are many. For year-to-year power to deny visual development, however, we must give first prize to traditional education in the 3 R's.

### **unrealized potential**

Although visual abilities are not democratically endowed, differences in inherited aptitude do not afford a rationale to deny visual education. Whatever the inheritance, the unrealized potential for visual development is great. Even the visually apt have room for improvement; writes psychologist I. MacFarlane Smith,<sup>5</sup> "Our current system of education actively discriminates against the student who is competent in spatial ability." Given a one-sided education in

the 3 R's, most people possess a large unrealized potential for visual thinking. Almost everyone can learn to see more fully, to imagine more productively, and to express their visual ideas by drawing.

### **three obstacles**

Three obstacles must frequently be overcome before the study of visual thinking can be undertaken. The first is the notion that, given 20/20 or corrected vision, we all see equally well; the second is the apprehension that "I don't have any imagination"; the third is the belief that drawing requires rare, artistic talent.

Consider seeing: is it the mutually shared avenue to truth that we'd all like to believe it is? Courtroom lawyers know by experience, and psychologists by experiment, that seeing is enormously influenced by personal factors such as emotion, knowledge, and viewpoint. Look at this page, for example. Have you noticed the kind, size, and density of the type? A typesetter surely would have. Or the composition of the paper? Or its coloration? Contrary to common belief, seeing is an *active art* to be developed, not a passive experience to be taken for granted.

Now consider the disclaimer "I don't have any imagination." No one lacks imagination. The major difficulty most people confront is their inability to contact their imagination consciously and to direct it productively. Like seeing, directed imagination is an art that can be developed. Once the visual thinker learns to use his imagination (and to prevent it from "using

him" in unproductive worry and day-dreaming) he is more comfortable with this natural mental process, and has freer access to it. No one who dreams lacks imagination—and everyone dreams.

Finally, if the misconception about "drawing talent" were applied to verbal expression, we'd be a nation of illiterates. To be sure, there are visually talented people, just as there are verbally apt poets, novelists, and speakers. More to the point, the impulse to draw is *universal* in young children, despite the common scarcity of parents who draw. Were education to nurture this natural drawing impulse, as it does reading and writing, virtually everyone would draw.

### **identify a challenge**

Once these "obstacles" are recognized, the next step toward learning to think visually is to identify a challenge. Intensity and quality of thinking bears a direct relationship to provocation. The individual confronted with an unresolved situation that he finds fascinating and worthwhile to resolve stands a far better chance to develop his thinking abilities than a person presented with a puzzle he deems uninteresting. Of course, a meaningful challenge to one person may very well prove to be a bore to another. An architect and an engineer will not likely be challenged by the same problem; indeed, two people in the same discipline will not be equally challenged by the same problem. Only *you* can identify the kind of challenge that will stimulate you to think deeply.

### **4-1 /challenge list**

Keep a list of problems that you find to be challenging. If you read a magazine article about a problem that interests you, put it on the list. Add a "bug list" (things that bug you). As you proceed in this book, try to apply visual thinking strategies toward the solution of these problems that personally interest you.

This book does not pretend to present you *and* your neighbor with problems that challenge you both to think at your best. It cannot. Seek your own challenges and you will find that visual thinking applies to every sort of problem.

### **an overlook**

Experiences in visual thinking are organized in this book in the following four sections: Preparations, Seeing, Imagining, and Idea-Sketching. In the section on preparations, you are introduced to the tools, materials, and the environmental conditions that are conducive to visual thinking. After considering these "externals," you then learn an important internal preparation: the art of relaxed attention. Visual thinking, whether it be in the context of seeing, imagining, or drawing, is best performed in the paradoxical state of relaxed attentiveness.

The section on seeing is devoted to vitalizing vision and to integrating the visual sense with thinking. You begin by experiencing "externalized thinking," thinking in the direct context of seeing. Then the importance of recentering one's viewpoint is stressed; creative vision accompanies the ability to see from new vantage points. Experiences in drawing afford another way

to invigorate vision. By drawing, you will experience the pattern-seeking as well as the analytical nature of seeing, and you will explore perceptual cues that make the visual world three-dimensional.

In the section on imagining, your attention is turned inward. Here you experience various kinds of inner imagery, from autonomous dream and hypnogogic imagery through directed fantasy to logical, abstract, and structural imagery. The thrust of these experiences is to open up inner imaginative resources essential to creative visual thinking.

Your attention is directed outward again in the section on idea-sketching. "Ex-press" means to press out; in this section, you will learn to express your visual ideas on paper, using the graphic language that is most appropriate to the idea's level of abstractness. Other strategies for thinking with your pencil, such as visual brainstorming and development-by-overlay, are also presented.

### **no single way**

There is no single way to experience the material presented in this book. Indeed, a step-by-step procedure is not recommended. Visual thinking in relation to a challenging problem does not proceed in cookbook fashion (for example, (1) recenter your viewpoint, (2) add a dab of inner imagery, (3) now make six sketches, (4) cook in the brain overnight.) Visual thinking that is equipped with a rich repertoire of visual responses moves far more flex-

ibly. Consequently, learning to think visually should occur in a flexible way.

Individual needs must be recognized. In a classroom, for example, a few students will reveal themselves to be fluent, broad-brush thinkers at the start. Far more will be constricted and myopic. To treat such variety as a single "class" can be constricting to all. Expansive thinkers quite frequently need to learn to converge their ideas, to take care with details. Tight thinkers, by contrast, need to loosen up, to release their thinking from details.

One should also be careful not to confuse drawing skill with overall visual-thinking ability. The individual whose drawings show a flair for concrete realism, for example, frequently finds abstract thinking difficult. To increase skill in abstraction, this individual should use graphic languages that embody abstract relationships (see Chapter 21) and draw images that are highly abstract, such as thumbnail sketches (see Chapter 10).

It is also important to recognize that visual-thinking styles vary. Some visual thinkers are most at home working three-dimensionally; others (like Tesla) operate very well with inner images; and still others think best with pencil and paper. The teacher of visual thinking naturally favors his own style; he should be careful not to impose it on an entire class.

Initial learning experiences in visual thinking should be short and well-related to present knowledge. Many short problems

encourage thinking flexibility; problems that do not require information-gathering allow more time for developing thinking skill. My design students, for example, enjoy fanciful mind-twisters such as this: "A small ball goes in 'here,' passes through five distinctively different operations, and comes out 'there' *exactly one minute later*. Design and build a device that does this." Such a problem exercises visual-thinking skill, can be solved in a relatively short time, and requires little or no information-gathering. Once skill and confidence are developed with short problems such as this, the visual thinker is ready to turn to longer, information-dependent problems in his field of professional interest.

Here are three other suggestions to speed the learning process:

1. The more eyes, the better. Compare observations; what your neighbor sees and what you see of the same stimulus can be quite different, and educationally so. The "visual rumor" experience in Chapter 13 demonstrates this idea.
2. Discuss your dreams and experiences with inner imagery with other people. Such discussions help lift the social taboo on imagination that is a major block to fluent and flexible visual thinking.
3. Cover your walls with drawings and idea-sketches, including examples and reproductions of drawings by other people. Also watch accomplished sketchers at work. Much visual learning occurs by direct example.



You will get a feel for how to use this book by using it. Spend several days simply experiencing the experiences, making notes of experiences that you believe you should repeat. In the last chapter, I will suggest how to use the book in relation to future problems that challenge you. Learning to think visually is, of course, a lifetime pursuit; mastery is achieved not by performing exercises but by solving real problems.

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# II

## preparations

The following two chapters are concerned with two important, though commonly neglected, preparations for visual thinking. The first describes materials and environmental conditions that are especially conducive to visual thinking. The second introduces the value of an inner state of relaxed attention.

- 5. Materials and Environment
- 6. Relaxed Attention

# 5

## materials and environment

### visual-thinking materials

Although visual ideas can be captured with whatever is at hand—a stubby pencil on the back of an old envelope, a finger in the moist surface of a sandy beach—a discussion of visualization materials may nevertheless be helpful. Given a choice, the visual thinker should be choosy: not all visualization materials are well-suited to visual thinking. Materials that involve the visualizer in difficult techniques, for instance, will absorb his energy and divert his attention away from thinking. Time-consuming techniques also impede rapid ideation, since ideas frequently come more quickly than they can be recorded. Frustration with an unwieldy material can block a train of thought or be reflected directly in diminished quality of thinking. The best materials for visual thinking are direct, quick, and easy to use.

Drawing materials are necessary to many of the experiences in the chapters that follow. The following list introduces the kinds of materials that will make these experiences most rewarding.

### introductory list of materials

#### Markers:

- 1 nylon-tip pen (black)
- 1 ballpoint pen (black, medium point)
- 5 Magic Marker or Eagle Prismacolor felt-tip markers (assorted colors). Note: when buying felt-tip markers, consider warm grays (Magic Markers #2 and #4 or Prismacolor 8971 and 8974, for example) for quickly rendered shading effects.
- 3 Prismacolor pencils (assorted colors). When buying colored pencils, avoid the hard kind; Prismacolor's color range, intensity, and texture are difficult to beat.
- 1 Conté stick (black #3)

#### Paper:

- 1 large newsprint pad (approximately 18" × 24")
- 1 tracing pad (approximately 14" × 17", with one sheet of grid paper)

#### Eraser:

- 1 Pink Pearl

#### Tools:

- 1 mat knife
- 1 inexpensive pair of scissors
- 1 wooden ruler with brass insert (24")

#### Special

#### Materials:

- 1 small tube or bottle of finger paint
- 1 roll of glazed shelf paper (white, 18" wide)

Are there special instructions for the use of these materials? "Restrictive directions as to how to hold tools and step-by-step instructions for working can make for self-conscious and mechanical procedures, inhibiting rather than furthering the direct and vigorous expression of native capacities . . . One should play freely with media before embarking upon their use, for only by a free and inventive manipulation of materials do [visualizers] discover and develop their personal preferences and ways of working."

### 5-1 / experimentation

Play freely with the materials that you have purchased so far. Try different markers and paper combinations. Experiment with line quality, such as light strokes and bold strokes. Which markers are best for filling in solid tones? Graduated tones? Which marker-paper combination gives you the most pleasure?

Visualization materials, literally an extension of the visual thinker's being, are extremely personal, so experiment with other materials and their combinations. Well-

supplied art-materials stores carry markers of many kinds. Try carbon, charcoal, pastel, and lithograph pencils. Many visualizers also enjoy using pens such as the Rapidograph or Koh-i-noor. A word of caution: drawings made with markers such as pastel and charcoal, even when sprayed with fixative, are messy to store.

From the wide variety of papers available, the less expensive is advised, especially for the beginner. Costly paper tends to inhibit thinking. Newsprint paper, convenient to buy by the pad, is cheaper by the ream (500 sheets) or roll. Newspaper print rooms will sometimes give newsprint, in the form of unused "roll-ends," to students. Manila wrapping paper, purchased by the roll, provides another inexpensive drawing surface. Keep in mind, though, that inexpensive pulp papers discolor and become brittle with age. Rag-content papers should be used for permanent records. Although more expensive, they are more stable chemically and possess additional virtues of whiteness, erasability, and hard finish.

In addition to the drawing tools listed, you may also want to purchase a plastic triangle, a circle guide, and an ellipse guide—to be used sparingly. Visual ideas are generally best recorded freehand, with a minimum of tools.

Mockup materials have not been so well developed by manufacturers as have drawing materials. Clay, the traditional sketch material of the sculptor, has many disadvantages to weigh against its basic advantage of malleability. Clay's soft plasticity tends to limit, and even to define, the kinds of forms that can be visualized; it directs

ideation to surface considerations; it is heavy, messy, and time-consuming. Styrofoam is an important alternative to clay: it is relatively stiff, can be easily formed into a hollow structure, and can be glued. Geometric forms are usually best developed with sheet materials. Sheet thermoplastic (such as Plexiglas or styrene) is easily cut by scoring and breaking, is formable with heat, and is quickly joined with plastic solvent. Foamcore, a laminated paper-and-Styrofoam sandwich, affords a stiff and light sheet material that cuts like butter; it is especially good for large mockups.

Of course, all visualization materials can be used in combination. A free sense of experimentation is the key. However, don't become so enamored of materials and techniques that you forget that they are only a means to more effective visual thinking. Pleasurable play with materials is a very tempting alternative to productive mental activity.

### **optical equipment**

In addition to the inexpensive materials so far listed and described, the visual thinker should consider acquiring optical equipment to be used as tools for visual thinking. Cameras, useful for making "record shots," can also be used creatively. For example, a quickly developed Polaroid transparency of a small sketch can be projected on a wall-size piece of paper, where details can be developed in larger scale. Photographs and drawings can be combined. Slide projectors can be used to stimulate visual thinking—for example, by means of multi-screen projections of visual material relevant (and perhaps irrelevant)

to the task at hand. Opaque projectors provide additional uses. Any optical device that extends the power of the eye—even simple magnifying and reducing lenses—should be considered. The visual thinker, in an era of advanced optical technology, is not limited to what he can see with his naked eye.

### **environment for visual thinking**

A cramped, poorly illuminated, ugly, ill-organized place of work cannot help but influence thinking in a negative way. An extremely important preparation, therefore, is creating an environment conducive to visual thinking.

A visual-thinking environment for one person should be as well-designed as a contemporary kitchen. Work areas should be well-illuminated, preferably with natural north light and without shadow or glare. The drawing surface should be large and adjustable in height and angle. An additional stand-up table should be available for three-dimensional work; spilled glue and knife marks soon spoil a drawing surface. Organized storage should be provided close to each work area to diminish distracting clutter. Chairs and stools should provide back support in the working position. To alleviate back tension, and also to provide for the important element of change, a stand-up, vertical drawing surface should be available: a blackboard, easel, or wall-mounted roll of paper. A large tack-space is needed for displaying current idea-sketches. Although admittedly an affront to those who associate productive work with open eyes and erect posi-

tion, the visual thinker should also have access to a quiet place where he can relax and turn his thoughts inward—or stop thinking entirely: a reclining chair, a couch, even a relaxing bath!

The visual thinker should also consider the subjective nature of his environment: "Some of the stimuli with which certain great thinkers sought to surround themselves are curious and even bizarre; yet their presence seems to have been strangely necessary to creative thought . . . Dr. Johnson needed to have a purring cat, orange peel, and plenty of tea to drink . . . Zola pulled down the blinds at midday because he found more stimulus for his thought in artificial light. Carlyle was forever trying to construct a soundproof room, while Proust achieved one. Schiller seems to have depended on the smell of decomposing apples which he habitually kept concealed in his desk." The visual thinker who is emotionally comfortable in and stimulated by the aesthetic character of his environment (whether it be plush or plain, neat or verging on chaos) will be more productive than the visual thinker who is rubbed wrong by his surroundings.

### **an environment for visual group-think**

Opinion is divided on the subject of group-think. Some believe that superior ideas are invariably the gift of a single individual who does his thinking alone. Others hold that group interaction stimulates individual thinking, and even that an openly interactive group can form a "group-mind" possessing breadth of information and powers of association unattainable in a

single mind. Although these opinions are not mutually exclusive, one cannot entertain the possibility of group-think, especially visual group-think, without coming directly upon considerations of environment. It can be easily demonstrated, for example, that five people sitting in a straight line cannot interact verbally as well as can five people sitting in a circle. When the interchange is visual as well as verbal, such environmental considerations are even more crucial to group effectiveness.

I pose no solutions for an optimal environment for visual group-think; I only mention a few of the problems. Clearly, an interactive group needs to be able to work over a shared visual image, suggesting modifications and changes, making erasures, and so on. A group working at a single blackboard can do this. But at a blackboard, what happens to A's idea that B erased in order to present an alternative? One problem is the need for a memory device. Also, how is the group going to generate a variety of visual concepts and then, together, compare and evaluate them? To my knowledge, no one has yet devised a workable system to facilitate visual group-think. Such a system would be extremely useful, however, for thinking about complex, interdisciplinary problems—problems of the urban environment, for example.

### **the graphic computer**

The graphic computer is rapidly becoming an extremely important tool for visual thinking. An interactive graphic computer, such as the Control Data Digigraphic Sys-

tem shown in Figure 5-1, will allow the visual thinker to manipulate graphic imagery in space and time, to have access to a vast visual computer memory, to decrease his involvement (by means of the computer's memory function) in routine visualization tasks, and, most important, to handle more complexity faster. When a system for visual group-think is devised, it will very likely incorporate a graphic computer system that will permit colleagues to interact even though separated by thousands of miles. At this writing, the full potential of the graphic computer has not yet been realized. But what is in hypothetical or experimental form now will likely be in prevalent use in the near future.



Figure 5-1.

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# 6

## relaxed attention

### the paradox of ho-hum and aha!

Relaxation involves loosening up, letting go, and, finally—ho-hum—going to sleep. Attention involves focusing energy, finding excitement in discovery—aha!—and being very much awake. Ho-hum and aha!—what can these seemingly opposed modes of consciousness have in common? Together, how are they related to visual thinking?

Relaxation and attention are two sides of the same paradoxical coin. The first tenet of skill in any field is relaxation: the skilled always "make it seem easy." The second tenet is complete attention: expert practitioners invariably "give their all." Indeed, relaxation and attention are mutually supportive. By relaxing irrelevant tension, the individual releases full energy and attention to the task at hand. Watch any masterful performance—a rhythmic golf swing, a breathtaking ballet leap, a virtuoso violin solo—and you will see the importance of relaxed attention.

And so it is with thinking, man's highest skill. Relaxation is important to thinking generally, because we think with our whole being, our body as well as our brain. "Nothing," writes Harold Rugg, "is more basic than the role of the body. We not only move with it, we think with it, feel with it, imagine with it." Overly tense muscles divert attention, restrict circulation of blood, waste energy, stress the nervous system: uptight body, uptight thoughts. Be reminded, however, that the totally relaxed individual cannot think at all, even though awake. Physiologists have shown that some muscular tension is needed to generate and attend

mental processes. Some tension, but not too much: relaxed attention.

The importance of relaxed attention to creative thinking is well known. After intensive conscious preparation, the creative thinker commonly lets the problem "incubate" subconsciously: "I will regularly work on a problem late into the evening and until I am tired. The moment my head touches the pillow I fall asleep with the problem unsolved." After a period of relaxed incubation, which can take place in the shower or on a peaceful walk as well as sleep, attention is not uncommonly riveted by the "aha!" of sudden discovery. "Frequently I will awaken four or five hours later . . . with a new assembly of the material." While subconscious incubation requires relaxation, a sudden flash of insight requires attention or is lost. Again, relaxed attention.

Memory, as Aldous Huxley<sup>2</sup> reminds us, operates in much the same fashion: "Everyone is familiar with the experience of forgetting a name, straining to capture it and ignominiously failing. Then, if one is wise, one will stop trying to remember and allow the mind to sink into a condition of alert passivity; the chances are that the name will come bobbing up into consciousness of its own accord. Memory works best, it would seem, when the mind is in a state of dynamic relaxation."

Ability to relax attentively is especially important to visual thinking. Excessive eye tension interferes with seeing; directed imagination is enhanced by a disciplined form of letting go; visual ideas flow most

freely onto paper when the marker is held and moved with graceful ease. Even more than most human skills, seeing, imagining, and drawing require relaxed attention.

### **optimal tonus**

Relaxed attention occurs when the relative balance of relaxation and tension brought to a task is appropriate. Bernard Gunther, in *Sense Relaxation*,<sup>1</sup> calls this relevant balance "optimal tonus." Edmund Jacobsen, in *You Must Relax*,<sup>2</sup> calls it "differential relaxation." Both concepts describe the human organism adjusting dynamically and economically to the task at hand, never pushing or straining unnecessarily.

Jacobsen describes differential relaxation in terms of "primary and secondary activities." He defines primary activities as those essential to the desired behavior. For reading a book such as this one, these activities include "contractions of those muscles needed for posture, . . . movements of the eyes to follow the words . . ." Secondary activities are those that detract from primary activities: "While reading, a noise in the other room may be followed by looking up and turning in that direction." In differential relaxation, the individual differentiates between primary and secondary activities. He relaxes primary activities that are "unnecessarily intense for their purpose" and carries "relaxation of secondary activities to the extreme point, since these are generally useless."

Gunther emphasizes that optimal tonus, or differential relaxation, is "not letting go completely. Sleepy-sagginess-collapse is the opposite pole to hyper-tension."

Excessive relaxation immerses the individual into lethargy or sleep.

### **causes of excessive tension**

An initial task in preparing to think visually is the reduction of excessive and inappropriate tension. When we tense our muscles, we do so to make a response. But why do we over-tense our muscles? What are the causes of excessive tension?

By far the most fundamental cause of hypertension is fear. The fearful or insecure person tenses his body because he believes that he will soon face a real or imagined attack or catastrophe. At work, he overreacts and burns energy needlessly, or does not act at all—in each instance, to avoid failure. At home in bed, he fusses and worries; his body tense, he cannot go to sleep.

Unable to relax, the fearful individual also finds it difficult to maintain attention. Every distraction is interpreted as a potential threat or an opportunity for relief. Easily diverted, he becomes prone to the conflicting mental agenda and immobile tension that characterize the indecisive.

Excessive tension has physical as well as psychological causes. When energy level and muscular structure are inadequate to the response demanded of them, excessive tension results. The relatively unmuscular intellectual, for example, often lets his highly active mind place unsupportable demands on his ill-conditioned body, with resulting hypertension.

Josephine Rathbone, in *Relaxation*,<sup>3</sup> notes

that "the person who is well and has nothing to worry about, who works consistently but without overeffort, who has sense enough to rest when he becomes tired, will never have to learn special techniques for relaxing. But how rare is that person!" Indeed, so prevalent is tension that large industries cater to letting go: alcohol, drugs, entertainment, health clubs, and vacation resorts. And when tension becomes untenable, we go to the "last resorts," psychologists and psychiatrists.

### **breaking the cycle**

Jacobsen, in *You Must Relax*,<sup>4</sup> persuasively maintains that physical-relaxation techniques provide an excellent way to break the cycle of fear, worry, and tension. Observing that physiological tests "indicate that when you imagine or recall about anything, you tense muscles somewhere, as if you were actually looking or speaking or doing something," he counsels that the individual should learn to observe the muscular sensations that accompany negative thoughts. Jacobsen claims that by relaxing these muscular tensions, ability to maintain the negative psychological state is diminished. The totally relaxed individual, Jacobsen has demonstrated, cannot entertain any kind of thinking at all, worriful or constructive.

Can the psychosomatic cycle of fear and tension be broken by deep physical relaxation? Possibly not without expert and sustained training in relaxation techniques, and, in extreme cases, probably not without additional expert psychological counsel. However, the experiential exercises that follow are nonchemical, free, available

at any time, and relatively easy to learn. Try them. They are excellent preparation for visual thinking and may even have additional benefits.

## **letting go**

Relaxation experts generally agree that the first step in eliminating excessive muscular tension is to become aware that you are tense. The next step is to realize that you are responsible for your excessive tension. The final step is to learn to let go of tension voluntarily. Ways of letting go take two forms: dynamic and passive. Dynamic letting go involves activity; passive letting go involves lying down and going limp. Most relaxation techniques combine both forms, as does the simple technique of stretching.

### **6-1 /stretch**

1. Close your eyes and sit quietly for several minutes. Allow your attention to systematically explore the muscle sensations of your body: your face muscles, neck muscles, shoulders, and so on down. Where are you excessively tense right now?
2. Now, stand up and stretch—slowly, gracefully, and luxuriantly, like a cat. As you do, inhale deeply and feel the tens on in your body.
3. With a generous sigh, exhale, sit down, and relax. As you do, feel the tension letting go. Sustain this passive sensation for several minutes.

Letting go of neck and shoulder tension is a special problem for individuals who "work with their heads." The human neck was evolved for the flexible side-to-side and up-and-down head movements required for hunting and survival. Holding the heavy human head over a desk for long

periods while looking rigidly straight ahead at paperwork is a comparatively recent behavior that places an extremely unnatural demand on neck and shoulder muscles. These areas should be relaxed periodically, and always just before intensive visual/mental activity.

### **6-2 /relax neck and shoulders**

1. Very slowly bend your head forward three times, backward three times, and to each side three times. Then slowly circle your head through the same movements, clockwise then reverse, three times each. Go slowly and gently; most civilized necks are stiff. You will likely have to do this exercise several weeks before you can do it comfortably.
2. Pull your shoulders as far forward as you can, then as far up, as far back, as far down. Repeat three times
3. With the fingers of both hands, massage the nape of your neck (near the back of the skull). Better yet, invite a friend to massage your neck and shoulder muscles gently with long strokes down along the back of the neck and shoulders. Then return the favor.
4. Take a deep breath and, with a sigh, let go excess neck and shoulder tension . . . more . . . more . . . passively let go.

### **6-3 /relax arms and hands**

1. Sit or stand erect. Let your arms and hands hang loosely at your sides, like wet spaghetti.
2. As loosely as possible, shake your right hand. Extend this action to your forearm, then your entire arm. Let your arm rise over your head, shaking the entire limb loosely and vigorously.
3. Stop and compare the feeling of your right arm with that of your left.
4. Repeat with your left arm.

The importance of relaxed vision to visual thinking should go without saying. Tired, strained eyes interfere not only with visual thinking but with efficient mental functioning generally. Here are two basic ways to relax your eyes:

### **6-4 /palming**

1. Precede this passive form of eye relaxation by gently massaging your temples and the nape of your neck and by blinking to lubricate your eyes.
2. "In palming, the eyes are closed and covered with the palms of the hands. To avoid exerting any pressure on the eyeballs (which should never be pressed, massaged, rubbed, or otherwise handled) the lower part of the palms should rest upon the cheekbones, the fingers upon the forehead. . . . When the eyes are closed and all light has been excluded by the hands, people with relaxed organs of vision see their sense-field uniformly filled with blackness." Put your elbows on a desk, or on your knees, so that you can hold your head comfortably on your palms for several minutes.
3. If you see any imagery at all in your mind's eye, your eye muscles are not fully relaxed. Turn your imagination to a pleasurable scene involving black: a furry black cat resting on a large black velvet pillow, or the night sky. You may have to palm your eyes several times a day, and for a period of time, before your inner field of vision takes on the deep and rich blackness that characterizes complete eye relaxation.

### **6-5 /facial and eye muscles**

In this method of eye relaxation, you make existing tensions greater and then release them. By bringing muscles that cause excessive tension into awareness, you can then consciously let them go.



1. Wrinkle your forehead upward. Become aware of the muscles that are controlling the tension, and progressively let them go.

2. Frown tightly and let go.

3. Shut your eyes tightly and let go.

4. With eyes open, look to the far left, become aware of the muscles involved, and let go. Repeat looking right, up, and down.

5. Conscious of the tensions involved, look forward at a distant, then near object. Now let your vision unfocus and your eyes relax.

Close your eyes. In your mind's eye, imagine a bird flying from tree to tree, then perching quietly; a ball rolling along the ground, then coming to a stop; a rocket being launched, then disappearing into the blue sky; a slow, then very fast ping-pong match; a rabbit hopping . . .

Unfortunately, many methods for tension release are too conspicuous or time-consuming for people to use where they need to relax the most: at work. One exception is a relaxation technique advocated for centuries: deep breathing. We all must breathe, whether busy or not. The effectiveness of deep breathing as a method to release tension (and also to increase energy) is subject to rapid personal validation. Many deep-breathing techniques have been proposed. The following one is simple and reinforces the desired state by a kind of autosuggestion.

### **6-6 / deep breathing**

Slowly and easily take a deep breath, filling the bottom of your lungs as well as the top. As you breathe in, whisper the syllable "re." Pause for a moment, then breathe out, whispering the syllable "lax." Don't force the air in and out of your lungs; let it flow slowly and naturally: re-e-e-e (pause) la-a-a-a-ax (pause).

Deep muscle relaxation prepares the individual to sleep—"perchance to dream"—or, if mental alertness is retained, to imagine more vivid and spontaneous visual fantasies than can usually be obtained with normal muscle tonus (see Chapters 15 and 17).

### **6-7 / deep muscle relaxation**

1. Lie down in a comfortable and quiet place.

2. Systematically (a) tense a specific muscle group (listed in step 3 below), (b) study the feeling of tension, and (c) relax, studying the feeling of letting go. If possible, step 3 should be read to the individual who is relaxing, the reader giving the relaxer ample time (and occasional reminders) to become aware of the feeling of tension and of letting go in each muscle group. The slash (/) signifies a pause.

3. Clench fists / Flex wrists (back of hand toward forearm) / Hands to shoulders, flex biceps / Shrug shoulders (touch ears) / Wrinkle forehead up / Frown / Close eyes tightly / Push tongue against roof of mouth / Press lips together / Push head back / Push head forward (chin buried in chest) / Arch back / Take deep breath, hold it, exhale / Suck stomach way in / Tense stomach muscles (as if someone were going to hit) / Tense buttocks / Lift legs, tensing thighs / Point toes toward face, tensing calves / Curl toes down, tensing arches / Review each activity above, letting go tension in each muscle group even more. Feel the peaceful, positive feeling that accompanies deep relaxation.

### **devoting attention**

Letting go is often such an attractive experience that another reminder may be in order: no activity, mental or physical, can be performed in a state of total relaxation. Some tension is essential to attention. The goal of relaxed attention is to let go of

chronic, excessive, or irrelevant tension, so that energy may be directed appropriately, freely, and fully. Devotion of attention is the focusing of energy. The vehicle for transmitting human energy is muscular tension.

There are many varieties of attention, however, some undesirable. In the military, attention is an order: "a-ten-shyun!" Too often the classroom also takes on a military air: several dozen individuals, despite large differences in personal interest, are forced to "pay attention," equally and together. When this external demand for attention becomes internalized, we force ourselves to pay attention. Externally or internally demanded, *forced attention* usually occurs for brief moments only, and must continually be reinforced.

Paying attention because you should or ought to is clearly less pleasant, and less effective, than devoting attention because you want to. The individual who attends because he wants to is not easily diverted. *Immersed attention* is natural absorption in developing an idea, contemplating an object, or enjoying an event. Watch a child pleasurably engrossed in stacking blocks to obtain a clear image of immersed attention.

Immersed attention should not be confused, however, with *passive attention*, which is being easily absorbed, willy-nilly, in whatever comes. The passively attentive child who "seems to belong less to himself than to every object which happens to catch his notice" presents a formidable challenge to his teacher. Passive attention "never is overcome in some people, whose

work, to the end of life, gets done in the interstices of their mind-wandering."<sup>6</sup>

*Preattention* is another natural form of attention. Absorbed in thought, for example, you suddenly realize that you have somehow negotiated your automobile through miles of turns and traffic without conscious awareness: you have been preattending the driving task. Preattention is comparable to an automatic pilot that attends routine events but cannot cope with the unusual. Should a highway emergency occur while you are preattending, however, you must come to full attention to cope with it.

William James<sup>6</sup> describes another mode of consciousness, which he calls *dispersed attention*: "Most of us probably fall several times a day into a fit somewhat like this: The eyes fixed on vacancy, the sounds of the world mix into confused unity . . . the foreground of consciousness is filled, if by anything, by a sort of solemn sense of surrender to the passing of time." Unlike preattention, dispersed attention is not accompanied by another train of thought. Dispersed attention rests the human organism; it is a natural function of the attend-withdraw, tidal character of consciousness.

Of the kinds of attention discussed so far, immersed attention would seem at first best suited to visual thinking. What could be better than being able to "lose oneself," to become wholly immersed in what one is doing? Emphatically better is a quality of attention in which sense of self is not lost and consciousness is not taken over entirely by what one is attending. I will call this kind of attention *voluntary attention*.

The individual who attends voluntarily is able to change the focus of his attention quickly, at will. To do this, his consciousness cannot be wholly immersed; he must be sufficiently self-aware to be able to decide.

Ability to direct attention voluntarily, and to sustain attention, is central to human freedom. "The essential achievement of [free will]," writes William James, "is to attend a difficult object and hold it fast before the mind." An idea "held steadily before the mind until it fills the mind" automatically steers behavior; when ideas "do not result in action, it will be seen in every such case, without exception, that it is because other ideas rob them of their impulsive power."

Like the art of relaxation, skill in voluntary attention can be learned. The first principle to learn is that you can fully attend only one thing, or related group of things, at a time. True, you can preattend one thing (of a routine nature) and attend another. But try to attend fully two unrelated conversations at a time, and you will find that you can do so only by alternating your attention between the two. You will also find that your attention naturally favors the conversation that most interests you, which introduces a second principle of voluntary attention: find interest in what you are attending, or your attention will wander, become divided, or have to be forced.

### **6-8 / attention is undivided**

"For a brief period pay attention to some visual object—for example, a chair. As you look at it, notice how it clarifies itself by dimming

out the space and objects around it. Then turn to some other visual object and observe how this, in turn, begins to have a different background." Notice also that what was once a sharply focused figure merges into a relatively undifferentiated and unfocused background when attention is shifted. Perception naturally seeks one meaningful pattern at a time—in the terms of Gestalt psychology, one "figure-ground relationship" (see Chapter 10).

### **6-9 / attention follows interest**

Again, allow an object in your immediate environment to become a figure against a ground. This time, however, also allow your *feelings* about the object to come into a clear figure-ground relationship. Become aware of whether you like or dislike the object. If your feelings are neutral, be aware that objects or ideas that "leave you cold" are not easy to attend. An object that disinterests you is far more difficult to attend than one that you like or dislike. Attention follows feelings of interest, positive or negative.

Many people confuse staring with visual attentiveness. Asked to look at something, they stare at it. Staring, however, is not only inattentive, it is also bad vision. The fovea, a small patch of sharp focus on the retina of the eye, must scan the attended object freely in order to obtain a complete image. Thus, the third principle of voluntary attention is that attention is dynamic. Whenever mind and eye become immobile, attention diminishes and vision blurs.

### **6-10 / attention is dynamic**

"Stare fixedly at any shape, trying to grasp precisely this shape by itself and nothing else. You will observe that soon it becomes unclear and you want to let your attention wander. Or

the other hand, if you let your gaze play around the shape, always returning to it in the varying backgrounds, the shape will be unified in these successive differentiations, will become clearer, and will be seen better."<sup>7</sup>

"If we wish to keep [our attention] upon one and the same object, we must seek constantly to find out something new about it," observed William James. This last principle, that voluntary attention is an act of continual discovery, infers curiosity and more. Continues James: "Attention is easier the richer in acquisitions and the fresher and more original the mind . . . And intellect unfurnished with materials, stagnant, unoriginal, will hardly be likely to consider any subject long."<sup>8</sup>

### **6-11 / attention is continual aha!**

Select an object that pleases you. See how long you can find something new about it. View it from many angles. Explore it with all your senses. Imagine how it is made. Contemplate the origins of its constituent materials, the kinds of skilled people involved in its creation. Compare its qualities with like qualities in other objects (for example, if it is red, compare it with other red objects). And so on . . .

### **clearing the ground for relaxed attention**

By now, the relationship between relaxation and attention is hopefully becoming intellectually and experientially evident. By relaxation, you let go inappropriate muscular tensions that divert energy from what you are doing; by attention, you direct and devote your energy, freely and dynamically, to discovering more and more about a single object, idea, or activity that interests

you. Old habits, however, may initially make the task of maintaining a state of relaxed attention difficult. Excessive tension reappears; the mind wanders. The following exercise will help to clear the ground of consciousness so that the physical and mental and emotional awareness inherent in relaxed attention can be maintained for longer periods of time.

### **6-12 / clearing the ground**

1. Sit comfortably erect, hands on your thighs, eyes closed. Follow your thoughts for a minute or so, without judging them.
2. Now gradually begin to attend your breathing. "With your eyes closed, experience the air moving in and out of your nostrils. Become aware of the whole cycle of inhalation-pause-exhalation-pause."<sup>9</sup>
3. Now count your breathing. Count 1 on inhalation, count 2 on exhalation, and so on up to 10, and then begin again.
4. Now allow your attention to disperse. Gently relax your eyes. Let your mind go blank: it will, when you are sufficiently relaxed. If thoughts come, don't attend them with a sharp rebuff; follow them, slowly. Gradually, enter into silence.

This last experience, an exercise in relaxed attention, is also a form of meditation. The experience in Exercise 6-10, continually finding something new about an object, is a form of contemplation. Picasso says this about contemplation and meditation: "For me, creation first starts by contemplation, and I need long, idle hours of meditation. It is then that I work most. I look at flies, at flowers, at leaves and trees around me. I let my mind drift at ease, just like a boat in the current. Sooner or later, it is caught by something. It gets precise. It takes

shape . . . my next painting motif is decided."<sup>10</sup>

Although frequently taught to athletes and performing artists, the art of relaxed attention is rarely even mentioned to those who would develop skill in thinking. Indeed, contemporary education commonly inculcates fear, tension, and forced attention, to the detriment of thinking. Relaxed attention can be educated, and should be. Negative thinking habits are reinforced by education for thinking that does not treat relaxed attention as an essential preparation.

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An effective method that combines relaxation, autosuggestion, and visualization is described in J. H. Schultz and W. Luthe's *Autogenic Training* (Grune & Stratton). Bernard Gunther, in *What to Do Till the Messiah Comes* (Macmillan—Collier Books), presents a beautifully illustrated guide to other ways of obtaining the graceful state of relaxed attention, including massage.

# III

## seeing

The following seven chapters are intended to help you to integrate thinking and seeing, and to vitalize seeing generally. Seeing is treated not as a passive process but as a creative and active art that can be educated.

7. Externalized Thinking
8. Recentering
9. Seeing by Drawing
10. Pattern-seeking
11. Analytical Seeing
12. Proportion
13. Cues to Form and Space

# 7

## externalized thinking

### thinking and seeing

Traditionally, thinking has been considered a symbolic activity quite separate from seeing. Seeing, according to this view, is mere sensory information-gathering; the higher mental activity of thinking is verbal or mathematical information-processing. While thinking, one can look out the window or examine one's fingernails—further testimony to a distinction between thinking and seeing.

But is such a demarcation between thinking and seeing psychologically sound? As shown in Chapter 2, many visual operations (such as categorizing and reasoning) have counterparts in symbolic thinking operations. In this chapter, consider the possibility that thinking and seeing can function together. Consider the sculptor who thinks in clay, the chemist who thinks by manipulating three-dimensional molecular models, or the designer who thinks by assembling and rearranging cardboard mockups. Each is thinking by seeing, touching, and moving materials, by externalizing his mental processes in a physical object. Many contemporary thinkers, in science and engineering as well as art and design, respect the fertility of this venerable form of visual thought.

Don't be confused by the similarity between externalized visual thinking and the expression of visual thought. The chemist who is advancing his thinking by playing with a molecular model is not involved in the same process as the chemist who is using a molecular model to communicate a fully formed idea to another person. External-

ized thinking involves actively manipulating an actual structure much as one would manipulate that structure mentally.

The materials used are important: inflexible materials tend to cause rigidity in thinking. The sculptor's Styrofoam, the chemist's snap-together elements, the designer's cardboard and tape all have the virtue of being easily manipulated spatially, much as symbols and images are moved and modified internally in mental space. Materials used to communicate a visual idea that is already formed need not be as flexible.

Externalized thinking has several advantages over internalized thought. First, direct sensory involvement with materials provides sensory nourishment—literally "food for thought." Second, thinking by manipulating an actual structure permits serendipity—the happy accident, the unexpected discovery. Third, thinking in the direct context of sight, touch, and motion engenders a sense of immediacy, actuality, and action. Finally, the externalized thought structure provides an object for critical contemplation as well as a visible form that can be shared with a colleague or even mutually formulated.

Begin the first experience in the section on seeing by externalizing your thought processes—literally by seeing what you think. Although you have been educated to do otherwise, link perception, thinking, and action as closely together as you possibly can. Cut; fold; touch; test; hold the pieces together a new way. Externalize

your thinking, as if the process were described accurately by one word, "perceive-think-act."

### 7-1 / tower of pulp

With two sheets of newsprint and 24 inches of Scotch Tape, construct the tallest tower that you can in 30 minutes. You may cut, fold, or form these materials any way you like. Other challenges, using the same materials: the longest bridge, the largest enclosed volume (open or closed), or the strongest 12-inch-high support structure (add increments of weight to test).

Many sports require thinking and doing in the immediate context of seeing. The fascination of visual puzzles can also be attributed to the way they involve us in this ancient and fundamental thinking process. As you work the following puzzles, you may experience the pleasurable sensation of "aha!" just before you see the solution. W. J. J. Gordon, in *Synectics*, calls the "pleasurable mental excitement" that often precedes the solution of artistic or technical problems the "hedonic response." Beware: the hedonic response is addicting!

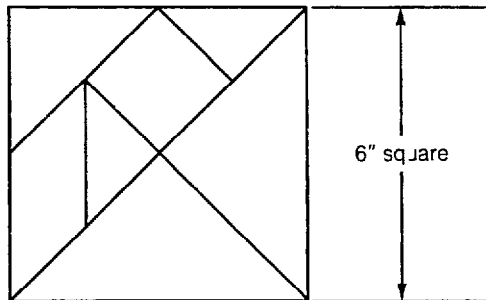


Figure 7-1.

### 7-2 / tangrams<sup>2</sup>

Many years ago, a Chinese puzzlemaker invented a two-dimensional experience in externalized thinking called the *tangram*. Begin by dissecting a cardboard square into seven pieces, as shown in Figure 7-1.

The invariable rule in solving a tangram puzzle is that you must use all seven tangram pieces to form a given silhouette. Figure 7-2 shows a frisky dog. As you structure the tangram pieces to form this silhouette, be aware that your ability to move the pieces around makes the solution easier.

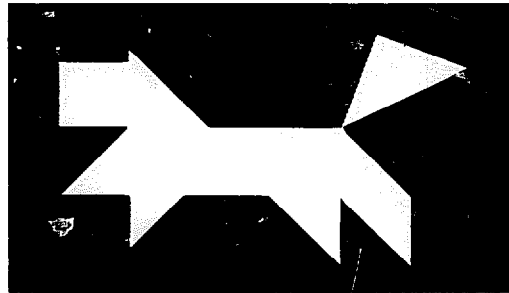


Figure 7-2.

A "tangram paradox" is shown in Figure 7-3. Although the same seven pieces were used to construct each silhouette, one of the "men" has a foot and the other hasn't. Try to solve this paradox mentally first, and then by moving the pieces. Which is easier in this case: thinking by imagining, or thinking by seeing? The likely answer is a bit of each.

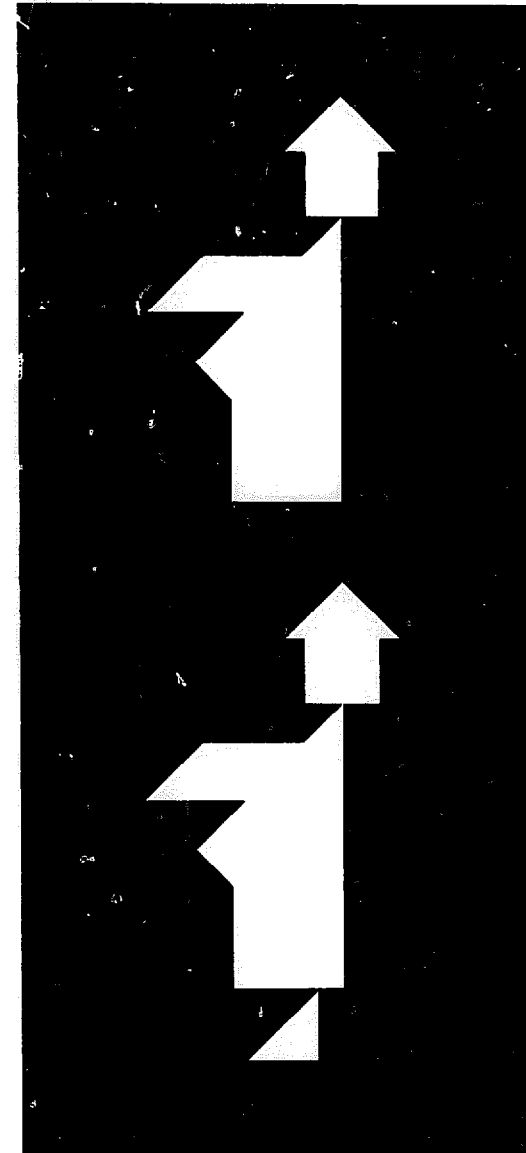
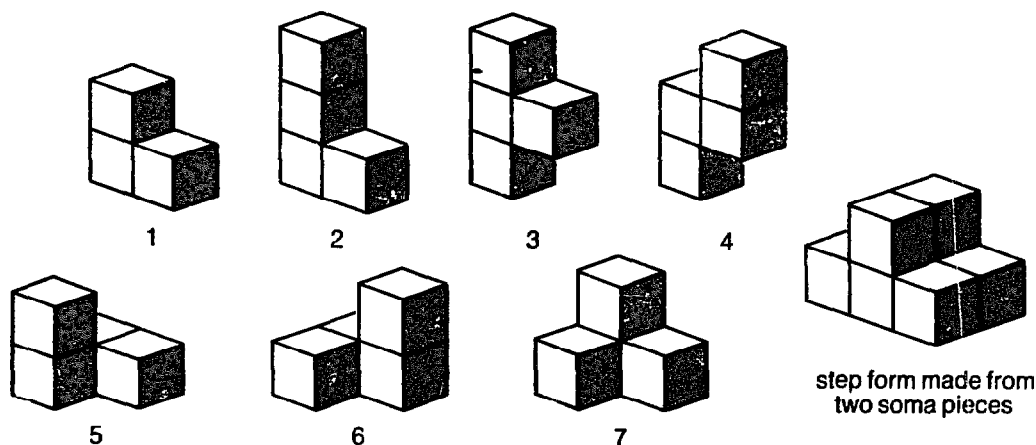


Figure 7-3.

The following three-dimensional thinking-by-seeing puzzle can be home-made or can likely be purchased at your local game store. As you work this puzzle, be aware of the way you search for a solution. Do you move the elements about by an intuitive form of trial-and-error? Do you use some form of visual logic? Or do you quietly look at the elements until you imagine a solution? More power to you if you can solve Soma cube puzzles entirely in your mind! But if you cannot, remember the value of externalizing your thinking when you are solving "real-life" problems.

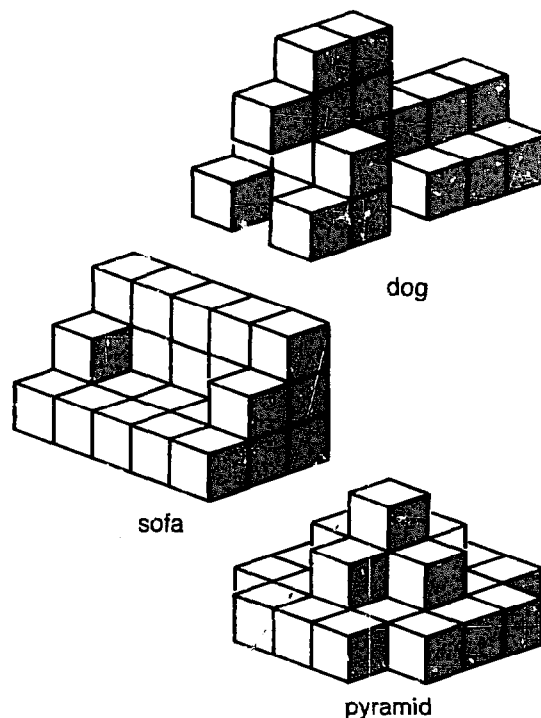
### 7-3/soma cube

The Soma cube was invented by the Danish poet-scientist Piet Hein, who discovered that when three or four cubes of the same size are combined into all possible irregular configurations within a  $3 \times 3 \times 3$  matrix (by joining their faces), the combined cubes can be fitted together into a larger  $3 \times 3 \times 3$  cube. Assemble a Soma cube by first cementing 27 children's blocks, or equivalent-sized cubes, into the seven Soma pieces shown in Figure 7-4.



42 Figure 7-4.

When the cement is dry, begin simply by assembling two Soma pieces into the step form shown at the right in Figure 7-4. Then assem-



ble all pieces into a single cube (there are several ways to do this). Next, see if you can construct the configurations shown in Figure 7-5, each with all seven pieces. Then invent your own configurations.

The reader who enjoys visual puzzles and games can readily acquire an extensive collection of interlocking bent-wire puzzles, Chinese wooden puzzles, jigsaw puzzles, and a score of games that involve externalized thinking. For advanced challenge, try the puzzle of four multicolored blocks called Instant Insanity, or the games of three-dimensional tick-tack-toe and chess.

In Section V, externalized thinking will be discussed again in terms of manipulating two-dimensional diagrams and three-dimensional mockups to solve problems that, like the "tower of pulp," and unlike most visual puzzles, have no single right answer. In the meantime, the phenomena of seeing will be discussed and experienced more fully. As you will soon see, seeing can be enhanced even when vision is 20/20.

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### additional bibliography

Edward de Bono's *The Five-Day Course in Thinking* (Basic Books) affords fascinating challenges in externalized thinking—bottle and knife puzzles and paper cutout games—along with an excellent commentary that leads the reader to examine how he thinks. Martin Gardner's *The Scientific American Book of Mathematical Puzzles and Diversions* (Simon & Schuster) also includes numerous brain teasers and topological curiosities that exercise skill in externalized thinking.

# 8

## recentering

### creative seeing

"The experienced microscopist," writes Aldous Huxley,<sup>1</sup> "will see certain details on a slide; the novice will fail to see them. Walking through a wood, a city dweller will be blind to a multitude of things which the trained naturalist will see without difficulty. At sea, the sailor will detect distant objects which, for the landsman, are simply not there at all." Why do two companions, one more knowledgeable than the other, see the same world differently? Assuming that both have normal vision, each is sensing, upon his retina, essentially the same patterns of light reflected from the environment. But *seeing is more than sensing*: seeing requires matching an incoming sensation with a visual memory. The knowledgeable observer sees more than his less knowledgeable companion because he has a richer stock of memories with which to match incoming visual sensations.

The knowledgeable observer may not see creatively, however. "Observation," noted Pablo Picasso,<sup>2</sup> "is the most vital part of my life, but not any sort of observation." What sort of observation enabled Picasso to revolutionize art or Sir Alexander Fleming to discover penicillin "accidentally"? Each was clearly knowledgeable in his field; each was motivated by the tremendous curiosity essential to vital seeing. Equally knowledgeable and curious men, however, consistently fail to make creative observations. Men such as Picasso and Fleming create new knowledge by being somehow able to recenter their perceptions, to observe the familiar from a new vantage point.

### imagination and seeing

William James<sup>3</sup> observed that while "part of what we perceive comes through our senses from the object before us, another part (and it may be the larger part) always comes out of our own mind." I have expanded this notion diagrammatically in Figure 8-1, substituting "imagination" for what James calls "mind."

On the left in the diagram is the object perceived; in the center, a perceptual filter, part imagination, part sensation; on the right, various kinds of perception (depending on the mix of imagination and sensation involved in each). The top arrow in the diagram represents an inner image which is *all* imagination and no sensation (and therefore not a sense perception). Proceeding downward, the amount of imagination filtered into perception is large in hallucination. An hallucination, although triggered by a sensation of the outer environment, is mostly a product of imagination. It is an inner fantasy experienced as a sense perception. The hallucinator might, for example, see the naked lady as clothed, or as a skeleton, completely unaware that he is seeing incorrectly.

In projection, the intrusion of imagination is less: unlike the hallucinator, the individual who is projecting is not "seeing things." Nevertheless, projection is strongly influenced by imagination. Looking at the girl's hair, the projector may see recognizable patterns much as one sees faces or horses in cloud formations; or looking at his boss, he may see, by projection, his authoritarian father. The individual who perceives stereo-



types (for example, that all threatening people are Communists) also unconsciously mixes much imagination with sensation. While projection can be creative (see da Vinci's Device in Chapter 10), stereotyped seeing is inflexible (once a Commie, always a Commie) and the diametric opposite of creative seeing.

In creative seeing, imagination is used to recenter viewpoint. Recentering is characterized by the flexible ability to change from one imaginative filter to another. The recentering perceiver might, for example, see the naked lady as would a sculptor (perhaps assessing the formal quality of her pose), then as would an advocate of

women's liberation (she's being exploited), then as the lady herself (I feel a bit chilly), and so on.

Here-and-now perception, as you will experience in Exercise 8-1, is relatively unfiltered by the there-and-then of imagination. In this perceptual mode, the perceiver is most open to seeing "what is" and least influenced by imaginative intrusions such as occur in projection and stereotyping. Consequently, recentering into the here-and-now is very important to creative seeing.

Since all perception involves some degree of imagination, we all see imaginatively—in

the broad sense of the word. We do not all see creatively, however. The key concept is *flexibility*. The person who can flexibly use his imagination to recenter his viewpoint sees creatively. The person who cannot budge his imagination to see alternative viewpoints, by contrast, experiences only a one-sided, stereotyped vision of reality.

### stereotyped vision

As we mature, James says, "Most of us grow more and more enslaved to the stock conceptions with which we have once become familiar, and less and less capable of assimilating impressions in any but the old ways." Why? Laziness and appetite for simplicity are inherent in our visual makeup. To help us avoid perceptual chaos, nature gifted us with a "selective mechanism" that is utilitarian, focusing our eyes only upon what we need, or need to avoid. When, for example, we desire to sit down, we quickly spot a chair and sit. Unless we are at that moment visually active and curious, we will likely not be able to describe this chair an hour later, because we did not really see it. Instead, we saw a "perceptual concept," a stereotyped chair. Our eyes, given voice, might well defend their lazy utilitarianism by saying: "We found you a place to sit, what more do you want?" Breaking away from this natural tendency toward stereotyped vision requires effort.

Visual stereotypes are also socially conditioned. Inherently social, we naturally want to share the visual values of our parents and neighbors. And our parents and neighbors want us to share their values—even by threat of coercion. In our society, prejudice

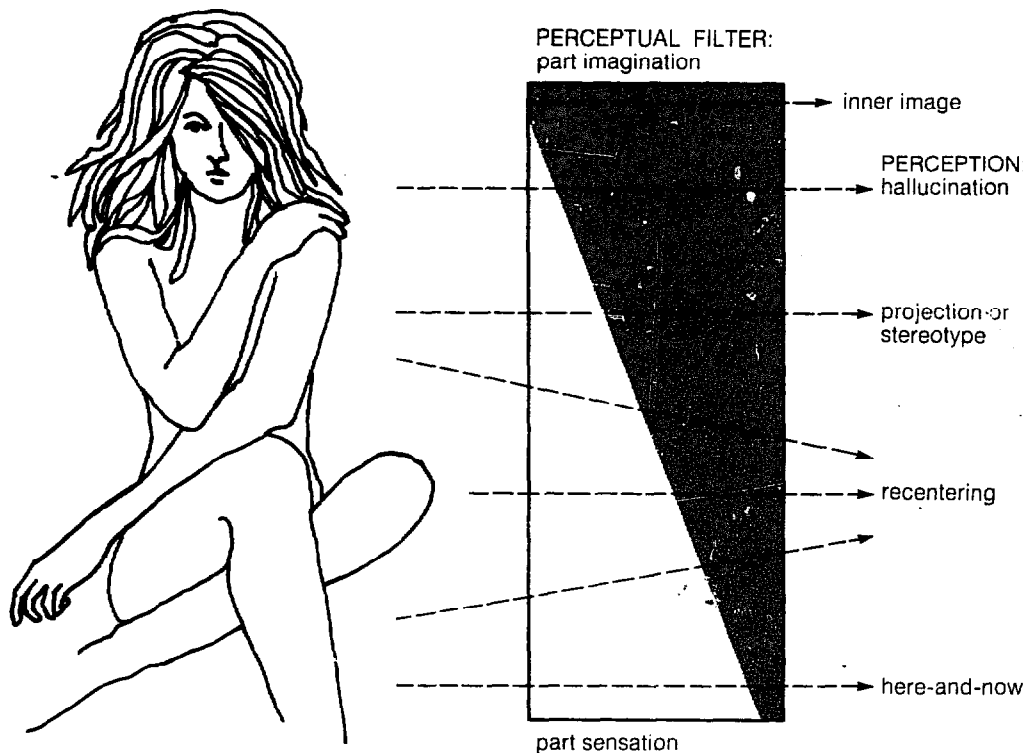


Figure 8-1.

toward skin color is an example of fear-induced visual stereotyping, as is puritanical horror-fascination with nudity. During war, every society propagandizes a dehumanized visual image of the enemy; without such gross stereotyping, war would be intolerable. Fear-induced stereotyped vision, often incurred at an early age, is painfully experienced in neurotic and psychotic behavior. The paranoid individual, for example, projectively sees threat where threat does not exist. Of course, not all socially conditioned vision is fear-induced: the Bantu tribesman positively enjoys the beauty he finds in elongated earlobes. Most of the pleasure of culture, and of cultural comparison, comes from essentially the same enculturation as does the fear-laden stereotype.

To judge whether your own vision has been stereotyped by fear, be aware of your emotions with regard to "unacceptable" images. For example, be aware of your feelings when your clothing is somehow conspicuous. If you fear to experiment with your clothing, and tend to conform closely to current fashion, your clothing preferences are likely stereotyped at least partially by fear. More important, ask how far you could depart from the visual norm of fashion without having real reason to fear losing friends, losing your job, or even being "put away" in a mental institution. Social coercion patterns perception more powerfully than we are usually aware.

### **healthy perception is flexible**

Ernest Schachtel<sup>4</sup> observes that children initially pass through a period that seems

"open, inexhaustible, exciting, full of wondrous and adventurous possibilities, not to be described by any label." However, with maturity, we see "in most men a slackening of curiosity, fascination, play, exploration, excitement, enthusiasm: the 'open' world has now turned into a variety . . . of objects-of-use to which certain adaptive responses are given." Thus many adults enter into a womblike "cultural cocoon" in which "all objects are reduced to and exhausted by the labels and reactions the culture provides them."

But is the cultural cocoon as sane as its occupants commonly believe? R. D. Laing, in *The Politics of Experience*,<sup>5</sup> ruefully observes: "Society highly values its normal men. It educates children to lose themselves and become absurd, and thus to be normal. Normal men have killed perhaps 100,000,000 of their fellow normal men in the last fifty years." In this light, should we not ask ourselves what is sane and healthy perception?

Frank Barron,<sup>6</sup> who has studied the psychological health of many highly creative individuals, suggests an answer to this question: "When an individual thinks in ways that are customarily tabooed, his fellows may regard him as mentally unbalanced. In my view this kind of imbalance is more likely to be healthy than unhealthy. The truly creative individual stands ready to abandon old classifications and to acknowledge that life, particularly his own unique life, is rich with new possibilities." Healthy perception is not stuck in a cocoon of cultural conditioning; it is open, flexible, and alive.

### **recentering**

What is required to recenter vision away from stereotypes, toward healthful flexibility and openness? A slight recentering of vision can be realized at little risk. But to sustain perceptions substantially different from those of others can be a frightening and lonely experience. A major recentering is undertaken only by those who so value what they see outside the cultural cocoon and are so pained by their attempts to conform inside that no alternative to a new vision of reality is viable. Many people seek communal support to sustain radically recentered vision, as did the early Christians. Subcultures, however, soon develop rigid stereotypes of their own. The ability to recenter perception freely, in the long run, is a matter of the courage and vitality of the individual.

Recentering vision is fundamentally an experience in unlearning. For most people, breaking lazy, category-hardened, fear-induced habits of seeing is an educational task of considerable magnitude. As art educator Edward Hill<sup>7</sup> observes: "Only the extremely exceptional student comes to this discipline unburdened with patent vision. The instructor must unwind a whole circuit of conditioned responses and conventional orientation which seem to deny perception, at least as an active force." R. D. Laing<sup>5</sup> defines the depth of the problem: "Our capacity to see, hear, touch, taste, and smell is so shrouded . . . that an intensive discipline of unlearning is necessary for anyone before one can begin to experience the world afresh, with innocence, truth and love." Even the revolutionary artist Cezanne<sup>8</sup> reported "waiting

for nature to free his eyes from their camera habits."

Knowing that you are in the company of the needy many, and even of the needy great, may help you to accept a fundamental condition for unlearning stereotyped vision: you must welcome the insecurity the adventure, and eventually the wisdom of courting the unknown. Now explore some of the ways to expand and vitalize seeing by recentering.

### **here and now**

Recentering, as the term suggests, invigorates vision by moving perception away from its usual viewpoint to a new center where even familiar things are seen differently. Traveling to foreign places is a well-known way of recentering. From the vantage of an unknown culture, we can more easily see our enculturated selves in a new light.

But people who constantly "travel in their minds," imagining events that are remote in time and space, direct their perceptions away from the only actual reality, the reality of here and now. Energy devoted to pondering what was, or speculating upon what might be, cannot be devoted to experiencing the excitement and wonder of what is. The following experience recenters awareness toward immediate and direct sensory experience.

### **8-1 / "feeling the actual"**

Frederick Perls, founder of Gestalt Therapy, begins the following experiment by first warning that the experience "does not imply a con-

stant state of pop-eyed alertness. This would indicate chronic apprehensiveness, which usually rests on a misapprehension of reality." Instead Perls encourages you "to let go . . . and bask in animal comfort" as does a "household tabby." So sit down, relax, and follow these deceptively simple instructions. Try for a few minutes to make up sentences stating what you are at this moment aware of. Begin each sentence with the word "now" or "at this moment" or "here and now." For example: At this moment I hear the song of a bird. Now I am aware of my heart beating. Here and now I feel . . . and so on.

Gently avoid judging what you experience; also note when you involuntarily "travel" imaginatively to "there-and-then."

Individuals who encounter difficulty in recentering their perception into the actuality of the "here-and-now" may want to try subsequent exercises in Dr. Perls' book *Gestalt Therapy*, intended to enable the reader to identify personal "resistors" to awareness of what is.

### **making the familiar strange**

W. J. J. Gordon, in *Synectics*,<sup>10</sup> discusses two basic perceptual modes involved in problem definition: "making the strange familiar" and "making the familiar strange." Our natural tendency, when first faced with a strange situation, is to analyze it, to reduce it to categories, in short, to make the strange familiar by fitting it into an accepted pattern. While this reductive tendency is economic (the human organism naturally seeks the least possible effort), making the strange familiar as quickly as possible leads readily to stereotyped thinking. Consequently, Gordon recommends making the familiar strange: "Basic

novelty demands a fresh viewpoint, a new way of looking at the problem. Most problems are not new. The challenge is to view the problem in a new way."

### **8-2 / topsy-turvies**

Topsy-turvies are conscious attempts to make the familiar strange, actually or imaginatively. An actual topsy-turvy, for example, is literally looking at the world upside-down. Ross Parmenter, in *The Awakened Eye*,<sup>11</sup> suggests that you "stand with your back to what you want to observe, legs apart. Then bend over at the waist and, with your head upside down, look back between your legs at the scene you want to contemplate." Our normal processes of perception are somewhat upset by this maneuver: usual ways of judging distance are made uncertain and everyday associations are reversed. Viewing conversation upside-down can be especially startling; the lower lip takes the place of the upper and appears to be incredibly mobile. With habitual associations diminished, colors also seem more vivid and contrasts of light and dark more intense.

Other examples of actual topsy-turvies are looking at the world by means of distorted reflections (such as are found in polished metal bowls and glassware) and reversing habitual behaviors (for example, eat dinner for breakfast, wear your shoes on opposite feet).

Or try imagined topsy-turvies. Imagine your room with the colors switched around: the floor color on the ceiling, the color of your chair on the wall, and so on. Reverse functions: wear dishes, eat from your hat, go to sleep in a large head of lettuce. Allow fish to fly. You have the idea, now invent your own.

Role playing, in which you play the role of another being or object, has a similar recentering effect. Role playing can be imaginative (ask yourself how a particular situation would appear from a mosquito's viewpoint) or actual (switch roles with an opponent during an argument).

## relabeling

James observed that children who are asked to see how many features they can point out on a stuffed bird "readily name the features they know already, such as . . . tail, bill, feet. But they may look for hours without distinguishing nostrils, claws, scales, etc., until their attention is called to these details; thereafter, however, they see them every time. In short, the only things which we commonly see . . . are those which have been labeled for us, and then stamped in our mind. If we lost our stock of labels we should be intellectually lost in the world." Schachtel, however, notes the inherent danger of labels: "The name, in giving us the illusion of knowing the object designated by it, makes us quite inert and unwilling to look anew at the now supposedly familiar object from a different perspective."

Since perception is object-oriented, one way to recenter perception is to abandon object labels and to relabel the environment according to another method of classification.

## 8-3 / rediture

Instead of labeling your perceptions according to the usual object categories, label them according to qualitative categories such as color. In place of seeing groupings of furniture in a room, for instance, see and group first all things that are red, then all things that are yellow. In other words, look for the "rediture" instead of the "furniture." Recenter again by other relabelings: look only for the "cubiture" (all things cubic), the "rounditure," the "smoothiture," and so on. Notice how a familiar room becomes new again: colors become brighter and richer; patterns, shapes, and tex-

tures suddenly emerge from the shadows of familiarity. Realize also how often you have allowed the "veil of words" to obscure and stereotype your vision.

A well-known creativity test asks you to list as many alternative uses as you can for a common object, such as a brick. The creative person who is capable of recentering makes a long and diverse list. The individual whose perception is rigidly centered on the usual construction function of a brick makes an impoverished list. (This latter tendency to sort objects into indelibly labeled containers is called "functional fixedness.") In the next experience, take pleasure in recentering your perceptions of function, in relabeling the object with flexible ease: a rose is a paint brush—and also a cork!

## 8-4 / a rose is a cork

With Scotch tape on newsprint, assemble parts cut from picture magazines into new composite images of your own creation. Every cut-out in the composite image should have at least two identities: the old one and the new one. For example, the eye in a taped-together composite face might also be a marshmallow, a wheel, or a flower. In addition to finding pleasure in transforming the functional identity of an object, also enjoy putting the object in an unfamiliar, and even shocking, context. Changing the surroundings in which the object is seen can markedly recenter the way it is seen, as suggested by Oldenburg in Figure 8-2.

Ross Parmenter," pointing out that the simile is a kind of relabeling that not only enlivens vision but makes you "feel the magic of what is described," suggests the following visual game.

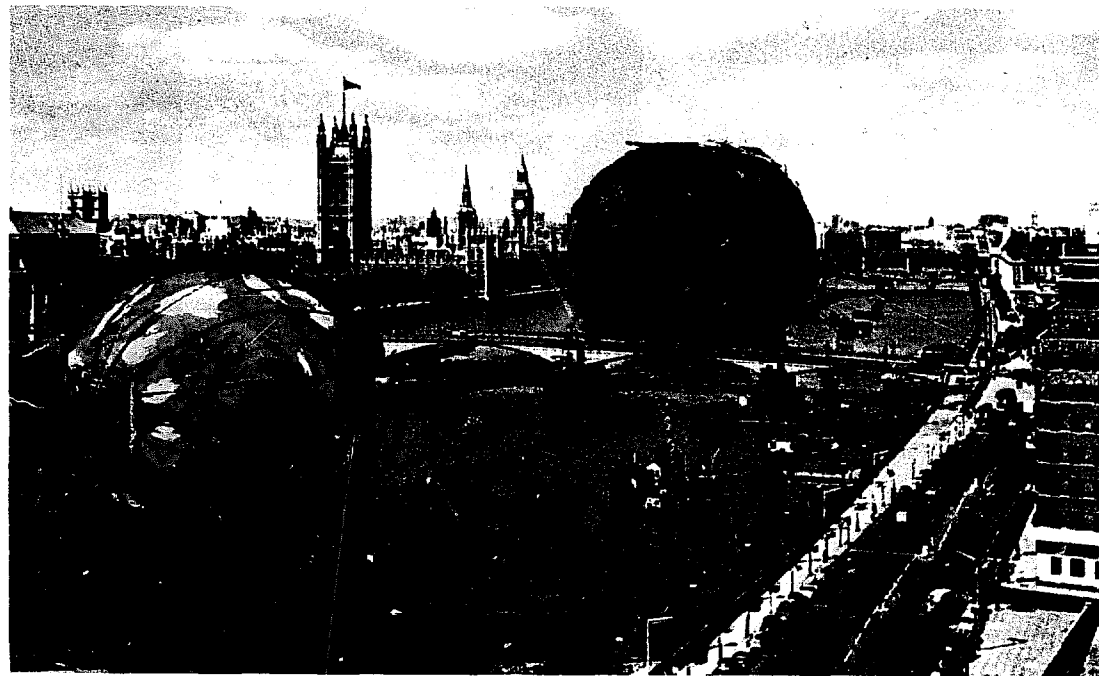


Figure 8-2.

### **8-5 / visual similes**

Viewing an object, ask yourself "What does it recall?" For example, the author Colette described a fire on a hearth as a "glittering bouquet." Make sure that your simile makes a substantial imaginative leap, as from fire to flowers. A lake should not recall another lake, or even a rain puddle, but perhaps a jigsaw puzzle piece, a heat mirage, a fun-house mirror.

Ability to break away from "objective" reality to the more subjective level of the visual simile encourages the associative flexibility inherent in the creative act. The strict object-ivity so actively fostered by contemporary education blocks the natural proclivity to enrich life and creative expression with the same kind of symbolic transformations that occur in dreams. Visual similes and metaphors help to express the poet within each of us that would glimpse the profound reality that lies beyond labels.

### **unlabeling**

Semanticists tell us that "The word is not the thing." Relabeling is one way to use words to recenter vision out of restrictive habits of lazy labeling. Another excellent way to recenter seeing is to abandon words altogether, to *unlabel*.

In the following unlabeling experiences, recenter the way you perceive people. Schachtel observes that "Most people, most of the time, see other people as objects-of-use . . . The perceiver's senses are not directed toward and receptive to the other person as a human being . . ."

### **8-6 / ceremonial label burning**

Listen to the way you introduce yourself, or a friend, to other people. Introductions tend to be dehumanizing labelings.

Obtain a large, gummed label and list upon it every term that can be used to describe you (such as Hubert, son, skinny, talkative, lawyer, sincere, funny . . .) Get some help if necessary; a complete human label lists at least 30 names and adjectives. Wear the label for awhile, on your forehead perhaps. Then burn it, ceremoniously.

### **8-7 / nonverbal communication**

Center your perceptions on the way people (including you) express themselves nonverbally. Attend what is "said" by eyes, eyebrows, hands, posture, and tone of voice—by clothes, personal environment, and symbols of status. Don't label these nonverbal perceptions with words. Instead, closely attend the feelings that these often unwitting communications evoke in you.

### **8-8 / beyond labels**

Sit opposite another person at a distance comfortable to both of you so that you have an easy view of the other's face. Without ever talking, each simply experience the other's face. Don't stare; staring as discussed in Chapter 6, defeats good vision. Visual attention is best sustained by moving the eyes as interest dictates. Again, don't internalize your experience verbally. Go beyond labels to attend the feelings evoked by this human encounter. Sustain this experience for at least 30 minutes to obtain its full impact and value.

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Max Wertheimer, in *Productive Thinking* (Harper & Row) describes instances of productive recentering in the thinking of men such as Einstein, Galileo, and Gauss, and leads the reader to appreciate the power of recentering for his own thinking. Edward de Bono's *New Think* (Basic Books) does much the same thing; de Bono uses the term "lateral thinking" to describe what I have called recentering. E. T. Hall's *The Silent Language* (Doubleday) and J. Fast's *Body Language* (Evans) will help you to recenter your attention away from words and labels and toward the revelations of non-verbal communication.

# 9

## seeing by drawing

### **unblocking the natural impulse to draw**

Specialized thinking that equates drawing with art keeps many people, including many contemporary curriculum planners, from realizing that visual education need not be education for a life in art. Unlearn the stereotype that places drawing in the category of Art, capital A! Drawing,\* most of all, stimulates seeing. It is an inducement to stop labeling and to look. And no more habitual disclaimers about lack of artistic talent: almost everyone learns to read and write in our society; almost everyone can also learn to draw. To unblock your impulse to draw, and thereby refresh your vision, heed Kimon Nicolaides' as he relabels the act of drawing: "It has nothing to do with artifice or technique. It has nothing to do with aesthetics or conception. It has only to do with the act of correct observation, and by that I mean a physical contact with all sorts of objects through all the senses."

In this and the remaining chapters of this section, you will learn ways to invigorate the way you see by drawing. In this chapter you will begin with relaxed doodling that evolves to scribble-drawing of likenesses. In Chapter 10, you will seek the bold, overall pattern of visual images. In Chapter 11, you will recenter your vision into an analytical mode to explore the richness of detail that is embedded in the larger visual pattern. In Chapter 12, you will combine pattern-seeking and analytical ways of seeing to record proportional relationships.

\*Photography also enlivens vision. If possible, supplement the drawing experiences that follow with related camera assignments.

In Chapter 13, you will use drawing to investigate the visual cues that enable you to comprehend solid forms and the relationship between forms in space.

### **relaxed eye, free hand**

Seeing and drawing, like all human skills, are best accomplished in a state of relaxed attention. Before beginning this next experience, return to Chapter 6, and relax your muscles of vision and drawing to a state of "optimal tonus." Review also the exercises in attention. Seeing by drawing involves attending two images—the image of the object in view and the image on the paper. Begin simply, by attending only one of these images, the image that you are drawing.

### **9-1 / free doodling**

In a playful and relaxed spirit, draw long, sweeping lines with each of your markers. In rhythm with the natural sweep of your hand and arm, vary drawing pressure from extremely light (just grazing the paper) to very heavy. Fill in areas; dot; texture; pattern. All the while, simply enjoy seeing what you are causing to happen on the paper.

To make the marker go where you want it to go requires the development of eye-hand coordination. Begin to effect this visual-motor operation by making up doodling games such as those in Exercise 9-2.

### **9-2 / disciplined doodling**

1. At random, pepper a sheet of newsprint with a couple of dozen dots. Connect the dots with a pattern of horizontal, diagonal, and

vertical lines. Draw each line freehand with a single, decisive stroke. As you draw, keep your eye on the target point, not on the line.

2. Ernst Röttger and Dieter Klante, in *Creative Drawing: Point and Line*,<sup>2</sup> suggest a number of patterns that bring doodling out of the conditioned domain of cliché and into the creative realm of visual exploration. Try a few of these patterns; examples are shown in Figure 9-1.

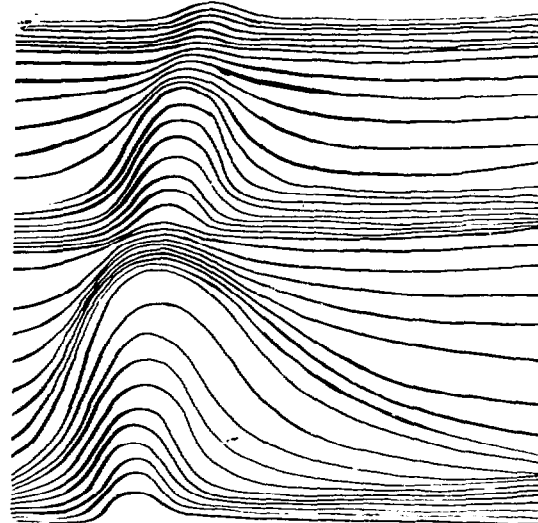
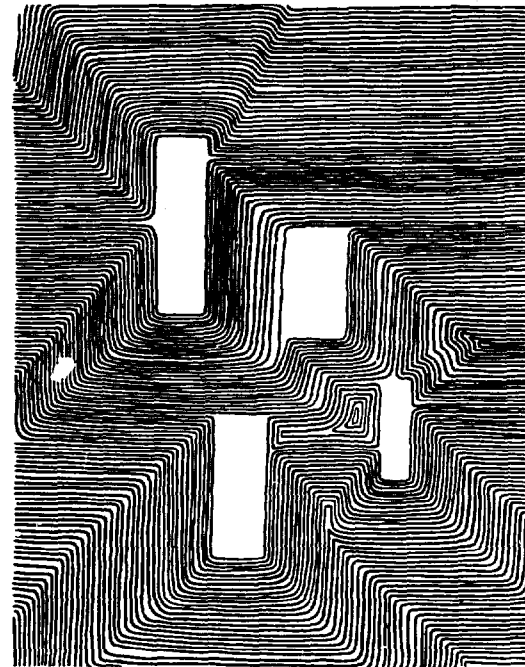
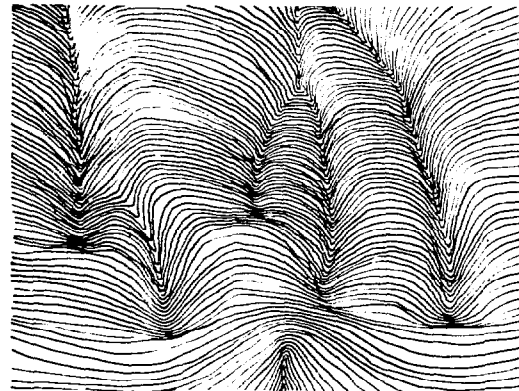
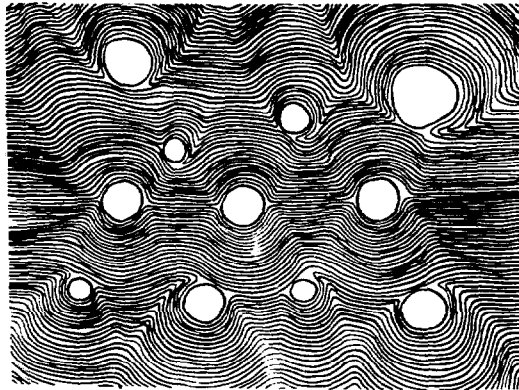


Figure 9-1.

## all drawing is memory drawing

Now direct your visual attention away from the paper image toward objects around you. What is involved in translating a perceptual image of an object in your immediate environment into a reasonable likeness on paper? Drawing from life involves two kinds of memory: (1) the long-term memory necessary to all perception, and (2) the short-term memory that holds a perceptual image in mind while it is being reproduced on paper.

Long-term memory is prone to stereotype. Draw the image of a dog from long-term memory, for example, and it will likely be a cliché image of dogs in general. When drawing directly from a model, school yourself to avoid the stereotyping effect of long-term memory. To draw accurately: (1) observe the model for a specific overall relationship or detail, (2) exercise short-term memory to bring that observation to your drawing, (3) refer back and forth between model and drawing frequently.

In the following drawing experience, reduce the term of your memory to an instant by moving your marker in unison with your eyes. Do not be concerned with drawing a masterpiece; center your attention on simultaneously seeing the object and remembering it on paper. (A drawing exercise that involves increased memory span is included in Chapter 15, Visual Recall.)

### 9-3 / exploring the object

1. Select a half-dozen objects that you would like to know better, through drawing. Non-geometric objects (such as flowers, vegeta-

bles, shoes, your hand) will help to balance the geometric bias in subsequent exercises.

2. Begin by not drawing. Contemplate the object, discovering as much about it as you can. View it all around. Touch it, tap it, smell it.

3. Now relaxedly focus your eyes on the center of the object and loosely place your marker in the center of the drawing-to-be. Eye and marker always working in unison, explore the object visually while simultaneously building the drawing with scribbles, as shown in Figure 9-2. Don't let your marker get ahead of your eyes; imagine that your marker is a kind of tactile eye that caresses surfaces, probes crevices, and turns corners. Build your drawing freely and vigorously, much as a sculptor would build an object from clay.

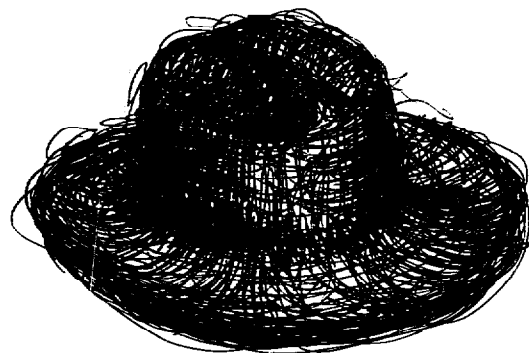


Figure 9-2.

4. Check yourself. If you have been expecting to create a minor masterpiece, or even a creditable drawing, you have misinterpreted the intent of this exercise. During the next drawing, be aware only of the moment-by-moment process. Expectations of any sort, including expectations about the end result of your drawing, are ruled by long-term memory; accurate observation involves centering attention in the here-and-now.

### freedom of gesture

In the previous drawing exercises, did you notice that you drew stiffly? Drawing is essentially recorded gesture: timid, rigid, and conventional patterns of motor release, or gesture, prevent what you see and feel from flowing freely into what you draw. Thus the student of Chinese painting and calligraphy is taught that "in no way should the brush be inhibited, neither by a feeble nor a stubborn mind, for freedom is the absolute aim. Freedom of gesture exhilarates . . . Emancipation of mind and freedom of gesture are in effect identical."

Spontaneity of eye, mind, and hand are clearly evident in the example of calligraphy shown in Figure 9-3. As you do the next exercise, see if you can begin to loosen up physically, mentally, and emotionally, in emulation of the spirit of this Chinese calligrapher.

### 9-4 / expressive line

1. Relax, especially your arms and hands.
2. Hold your conté stick in an unaccustomed way. Grasp it with all of your fingers, or place it between your second and third fingers. Don't hold it as you usually do when handwriting.
3. On newsprint, express graphically each of the following verbs: Leap / Stumble and Fall / Soar / Struggle / Stretch / Dance / Hit / Ice-



Figure 9-3.



Skate / Lift (a heavy object) / Explode. Before drawing, let the feelings that you associate with each action come to the fore; imagine yourself leaping, for example, and feel the leap. Then, without intellectualizing or premeditating, spontaneously draw what you are feeling.

4. Put your sketches on a wall and compare them with those drawn by others. No two will be alike. Discuss the differences, including differences in spontaneity and freedom of gesture.

5. Alternatively, listen to music and express your feelings about it on paper. Relax your grip on the marker, relax your mind, and simply let the music flow through you into your drawing.

### **draw things that interest you**

As with all arts, acquiring the art of seeing requires an investment of time and energy. You were born with sight; you must work to develop sight into vigorous seeing. Drawing provides an unequalled catalyst for this; uninteresting drawing "assignments" do not. To marshal the additional effort necessary to transform lazy, workaday eyesight into artful seeing, draw things that interest you.

### **9-5 / interest book**

1. On the front page of a bound sketchbook, make a list of objects that interest you. List some of your favorite personal possessions; list objects that interest you professionally. If you are interested in cars, note down several specific models—and so on. At the start, list at least 30 objects; later, expand this list.

2. Every day, sketch several objects selected from your list. Draw each object to the best of your ability. If you are unsatisfied with a

drawing, return to draw it again another day—from another viewpoint, with another kind of marker. Most important: draw every day.

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# 10

## pattern-seeking

### **your pattern-seeking nature**

You can directly experience your natural tendency to organize visual imagery into coherent patterns by looking at the sea of squares in Figure 10-1. As you look, notice how the squares seem to "group themselves" into swirling patterns. Printed images are obviously inert. *You* are providing the patterning action that you experience in Figure 10-1.

Pattern-seeking is a natural and important part of every act of visual thought. It is the first step of a two-step process: pattern, then analyze. When you see, you perceive first an undetailed pattern; then, according to your interest, you analyze the initial pattern for details. When you imagine, you develop inner imagery in much the same way. When you draw, you carry this natural process through by roughing-in an overall pattern before you develop your drawing in detail. The cliché image is essentially a

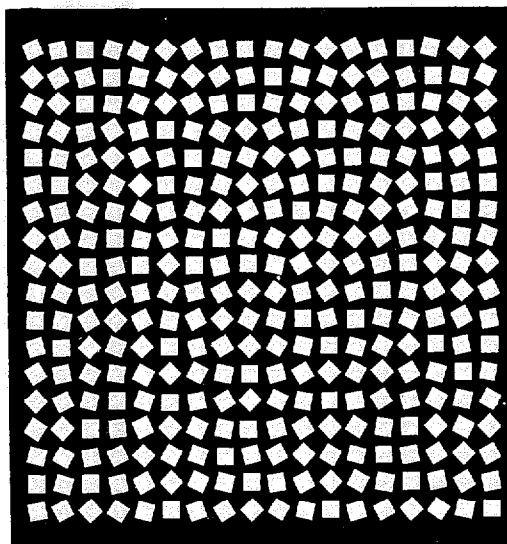


Figure 10-1.

rigid, conventional, and underdeveloped visual pattern. By contrast, creative visual thinking is characterized by flexible pattern-seeking. Center your attention now on patterns, or in psychological terminology, on the "gestalten" of visual imagery.

### **the gestalt**

"Gestalt" is a German word that has no exact equivalent in English. Form, shape, configuration, or pattern come close; organizational essence perhaps comes closer. Toward the end of the nineteenth century, a group of Austrian and German psychologists began to perform research and formulate theories about the role of pattern-seeking in human behavior. "Gestalt psychology" has been especially productive in the field of visual perception.

Gestalt psychologists hold that perception inherently acts as an active force, comparable to a magnetic field, that draws sensory imagery together into wholistic patterns, or "gestalten." According to this view, every perceptual image consists of more than the sum of its parts; it also possesses a "gestalt," a patterning force that holds the parts together.

The example of a six-note melody is sometimes used to clarify this notion. The six notes of the melody are its parts. With considerable freedom, you can change these melodic parts without changing the melody itself. You can, for example, change key, move up an octave, modify the rhythmic phrasing from waltz to bossa nova. Through all of these changes, the melody remains the same. The melody is the forceful "sev-

enth part" that holds the six-note musical phrase together. The melody is the phrase's gestalt.

Fingerpainting provides an excellent medium for experiencing the gestalt, or "melody," of visual imagery. Fingerpaint does not permit overconcern with detail, encourages rapid image formation, and has a way of deeply involving the visualizer in the image-making. In the next exercise, consider not only the gestalt of the image in the fingerpaint but also the larger gestalt, or harmonious whole, that relates you to the model and to the image that you are forming on the paper. Creative people frequently report that they enter into, and even become, the object of their creation. As you work with the fingerpaint, feel with the action of the model that you are viewing, and bring that feeling directly into the fingerpaint image.

### 10-1 / fingerpaint patterns

A live model is recommended for this exercise. In a classroom situation, students can take turns modeling. In the absence of a model, magazine photographs will do (or, in a classroom, slides).

1. Protect table and clothes with newspapers (which can be pinned to clothes).
2. Pour a few tablespoons of dark commercial fingerpaint (or dry tempera mixed with liquid starch) onto a large sheet of glazed shelf paper or butcher paper.
3. Explore the delicious messiness of the medium for a few minutes. Use both hands, fingernails, a comb. Create spatial fantasies. Interpret music. Be a child again.
4. Ask the model to take a series of action poses (reach, bend over, kick), changing every 30 seconds.

5. Look at the model and feel its action in your own body. Feel what you see, then capture that feeling in fingerpaint. If the model is reaching, feel reaching as you draw. "Draw not what the object looks like, not even what it is, but what it is doing . . . Try to feel the entire thing as a unit—a unit of energy, a unit of movement."

6. Don't outline the model. Don't fuss with details. Be concerned only with basic relationships. Move your entire hand through the center of the image, quickly and rhythmically capturing the gestalt of the pose—nothing more.

7. To preserve an image, place a sheet of newsprint over the fingerpaint, rub it smoothly, and remove a "mono-print."

### grouping

According to Gestalt theory, perception obeys an innate urge toward simplification by cohering complex stimuli into simpler groups. Three grouping effects, shown in Figure 10-2, are grouping by proximity, similarity, and line of direction. On the left of the figure, "the reader has before him

white and black discs are grouped into a line and a triangle by *similarity*. On the right, the random shapes are grouped into a serpentine by a *line of direction*.

Grouping occurs involuntarily. In Figure 10-1, we cannot help but see the squares grouped along lines of direction. Similarly, we don't have to decide to perceive the many leaves of a tree as a single mass of foliage, or the thousand windows of a skyscraper as a single fenestration pattern. Our nervous system automatically groups these visual complexities for us.

Grouping is also imposed voluntarily. Painters consciously use grouping to obtain unity in their paintings. Scientists group, or classify, their observations. Students organize their notes, by grouping, into outline form. "The binding fact of mental life in child and adult alike" observes Jerome Bruner,<sup>3</sup> "is that there is a limited capacity for processing information—our span, as it

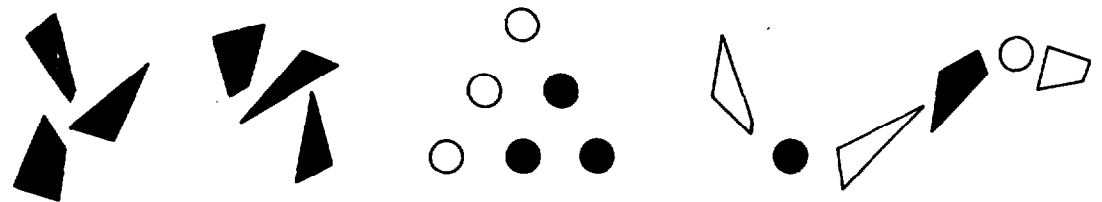


Figure 10-2.

two groups of patches. Why not merely six patches? Or two other groups? Or three groups of two members each? When looking casually at this pattern everyone beholds the two groups of three patches each."<sup>2</sup> The six patches are grouped into two clusters by *proximity*. In the center, the

is called, can comprise six or seven unrelated items simultaneously. Go beyond that and there is overload, confusion, forgetting." A primary method for organizing information together into an attentive whole is grouping.

In the next exercise, voluntarily use the grouping principles of proximity, similarity, and line of direction to simplify the image and to find its underlying, unifying pattern.

## 10-2 / grouping

1. Overlay a magazine photograph with a piece of tracing paper. Without regard for detail, boldly use a felt-tip marker to represent the five to eight groupings in the image that constitute its unifying gestalt. Parts of a human figure, for example, are grouped by lines of direction. Several pictures on a wall are grouped by proximity. Other forms are grouped by similar color or shape. Block in these groups, while also seeking the overall relationship that unifies the groups. Try several versions.

2. Alternatively, find basic groupings in the compositions of paintings, or in the patterns of actual landscapes, cityscapes, room interiors, or single objects. Also find alternative grouping relationships for the same image.

3. Working quickly, do many of these drawings. Grouping of this sort is an act of invention that is extremely important to visual thinking.

## tachistoscopic seeing

Another way to exercise your capacity to see visual wholes is provided by the tachistoscope, an instrument for flashing images on a projection screen. Tachistoscopic images are used by the military to teach personnel to recognize instantly the silhouettes of various kinds of aircrafts and ships. Aldous Huxley,<sup>4</sup> in an essay in which he argues for educational innovation, points out that "old habits of distorted and conceptualized seeing are bypassed by the flashing magic lantern. The student recaptures his visual innocence; for a hundredth of a second he sees only the

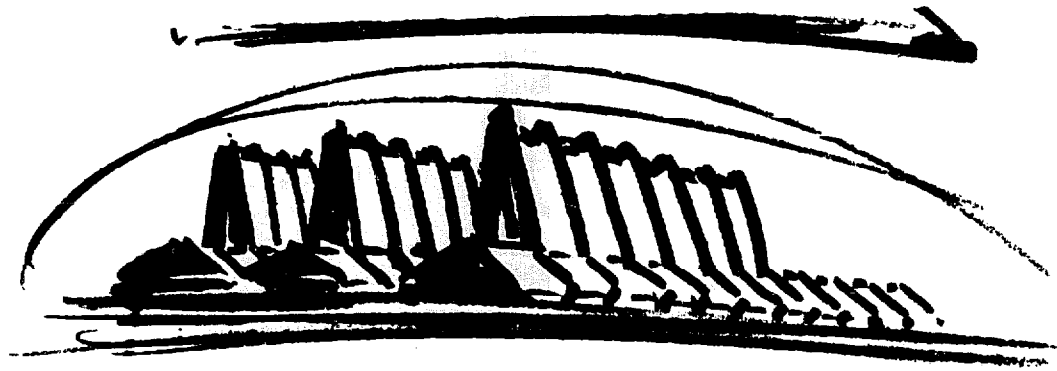


Figure 10-3.

datum, not his self-dimmed and verbalized notion of what the datum should be."

You need not purchase an expensive commercial "T-scope" to experiment with tachistoscopic training. You can simulate a T-scope shutter by blocking the light beam from a slide projector by hand, exposing the image with a flick of the wrist. Or, in a darkened room, you can flash a strobe light on a live model. Without equipment entirely, you can look toward an object or scene with your eyes closed, and "flash" the image by blinking your eyes. Whatever your means, flash the image quickly, in the range between one-tenth and one-hundredth of a second.

A competent amateur photographer can prepare slide material for T-scope exercises by following these guidelines:

1. Photograph highly contrasted "figure-ground relationships."
2. Avoid subject matter or viewpoints that are ambiguous.

3. Avoid strong shadows that obscure form.

Psychiatrist Lawrence Kubie<sup>5</sup> observes that "tachistoscopic experiments show how nearly instantaneously and without participation of conscious processes we can record visual . . . experiences . . . and represent them later in such behavioral responses as 'doodling.'" One form of doodling well-known to artists and designers is the "thumbnail sketch." Quickly executed "thumbnails," by virtue of their smallness, are well-suited to capture the visual essence of an image. Smallness gives the illusion of distance in which details merge into overall relationships; drawing in a small area facilitates eye-hand coordination; image unity is easier to capture in a small drawing than in a large one. The "thumbnail" in Figure 10-3 was drawn by architect Eric Mendelsohn in preparation for the design of a building.

### 10-3 / t-scope thumbnails\*

Draw thumbnail sketches from flashed images. Flash the image, draw, then compare your drawing with the image. Build up the image loosely and quickly. Capture basic groupings. Develop the entire image at once, without fussing over detail, into a unified whole.

### meaningful patterns

The grouping principles described so far are concerned with unifying visual patterns without regard to their representational meaning. The tendency of perception to seek meaningful patterns is illustrated in Figure 10-4.

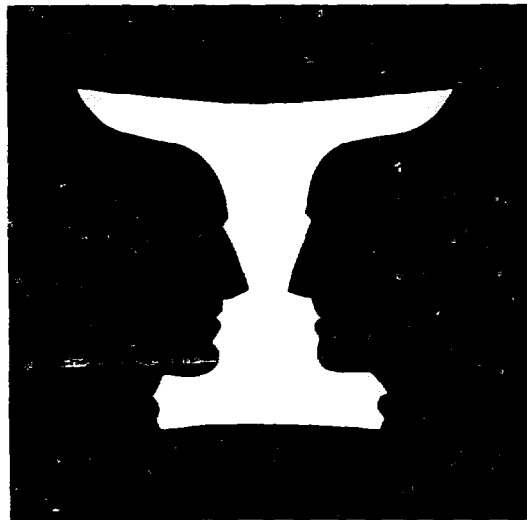


Figure 10-4.

\*This use of the T-scope to educate seeing was pioneered by Hoyt Sherman of Ohio State University.

This classic illustration has two meanings: it is a vase or, alternatively, it is two human profiles. Notice, as you view this design, that one or the other of these meanings come to the fore. When you see the white vase, the black shape has no meaning except as background. When the black shape advances in space to become two profiles, the previous vase retreats into meaninglessness. You cannot perceive both meanings at the same instant. Your pattern-seeking nature, in its quest for meaning, craves unity in a single figure-ground relationship.

Vision also seeks spatial meaning. The configuration in Figure 10-5, called "Thiery's figure," has two spatial meanings: you can perceive that you are looking either up or down at the horizontal uncheckered planes. And, as in the previous figure, you can perceive only one of these meanings at a time.

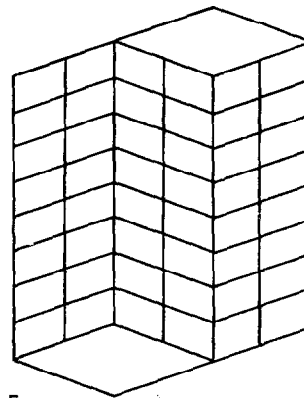
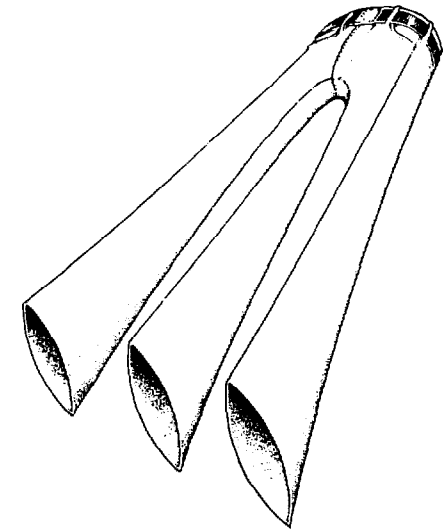


Figure 10-5.

The amusing drawing in Figure 10-6 presents an ambiguous figure-ground relationship that frustrates your natural urge to seek a meaningful pattern.



Levi Strauss & Co./Honig-Cooper & Harrington.

Figure 10-6.

So powerful is your perceptual tendency to perceive meaningful patterns that you will fill in missing parts. This grouping effect, known as closure, was discussed in Chapter 2 and illustrated in Figure 2-1. Artists frequently use closure to provoke the imagination of the viewer. The drawing reproduced in Figure 10-7, by its artful incompleteness, causes the viewer to complete the image himself, by an act of imagination.



Figure 10-7.

### projection

Closure is similar to the perceptual phenomenon of projection. Faced with an incomplete and amorphous pattern such as the inkblot in Figure 10-8, we tend to rummage about our imagination until we find a meaningful image that we can project onto that pattern. Projections are often fanciful. One of Bartlett's<sup>6</sup> psychological subjects viewed an inkblot and saw "a lanky boy and a jester watching the antics of an inebriated abbot." Projections are also highly related to personal interest. Bartlett found that a woman discovered a "bonnet with feathers" and a minister "Nebuchadnezzar's fiery furnace" in the



Figure 10-8.

same inkblot. The well-known Rorschach inkblot test, by stimulating projection, enables psychotherapists to discover the subconscious interests of their patients.

Projection has played an important role in the development of art. Janson<sup>7</sup> observes that in some early cave pictures "the shape of the animal seems to have been suggested by the natural formation of the rock . . . we all know how our imagination sometimes makes us see all sorts of images in chance formation such as clouds or blots. A Stone Age hunter, his mind filled with thoughts of the big game on which he depended for survival, would have been even more likely to recognize such animals as he stared at the rock surfaces of his cave . . . Perhaps at first he merely reinforced the outlines of such images with a charred stick from the fire, so that others, too, could see what he found."

And Leonardo da Vinci<sup>8</sup> made this note about projection: "I cannot forbear to mention . . . a new device for study which, although it may seem trivial and almost ludicrous, is nevertheless extremely useful in arousing the mind to various inventions. And this is, when you look at a wall spotted with stains . . . you may discover a resemblance to various landscapes, beautified with mountains, rivers, rocks, trees . . . or again you may see battles and figures in action, or strange faces and costumes, and an endless variety of objects which you could reduce to complete and well-drawn forms. And these appear on such walls confusedly, like the sound of bells in whose jangle you may find any name or word you choose to imagine "

### 10-4 / da vinci's device

In the absence of "a wall spotted with stains," create your own foil for projection. A quick way to obtain an amorphous pattern "useful in arousing the mind to various inventions" is to scribble lines on a piece of paper.

1. Close your eyes (so that you will not be tempted to influence the scribble) and cover a sheet of newsprint with a random network of lines. Use a light gray marker, such as a gray felt-tip pen.
2. Open your eyes and discover resemblances in the scribble. If you find that projected images do not come easily to you, seek specific meanings (faces, birds, animals). Once you've had this practice, open yourself up to whatever meaningful patterns come forth unbeckoned.
3. Reinforce and develop the meaningful patterns with a black nylon-tip marker or with color.

## pattern-seeking and problem-solving

The patterning principles of grouping, projection, and closure are especially important to visual problem-solving. As mentioned earlier, pattern-seeking is the first step of all perception. The pattern, or gestalt, that you perceive in a problem strongly influences the way you attempt to solve that problem. The stereotyped thinker works with the first pattern that he sees, almost invariably a conventional one. The creative thinker recenters his perception of the same problem by regrouping it into a variety of patterns. Edward de Bono, in *New Think*,<sup>9</sup> creates the term "vertical thinking" for thinking that begins with a single perceptual pattern and proceeds immediately to delve deeply into that pattern for a solution. Thinking that generates alternative ways of seeing the pattern of a problem before seeking a solution he calls "lateral thinking."

De Bono diagrammatically illustrates the regrouping principle underlying "lateral thinking" by the patterns reproduced in Figure 10-9.

Assume that the problem in Figure 10-9 is to describe the shape numbered 1 with the minimum number of words and maximum clarity, as though you were writing a telegram. Unlike the vertical thinker, the lateral thinker would perceive several alternative groupings (patterns 2 through 6) before choosing the easiest one to describe verbally. According to de Bono, recentring by repatterning opens possibilities to creative and unusual solutions that are closed to the thinker who enters into problem-solving by the first pattern he perceives.

The pattern-seeking effect of projection, by bringing inner thoughts into the act of seeing, can also aid problem-solving very directly. A sculptor, for example, may look

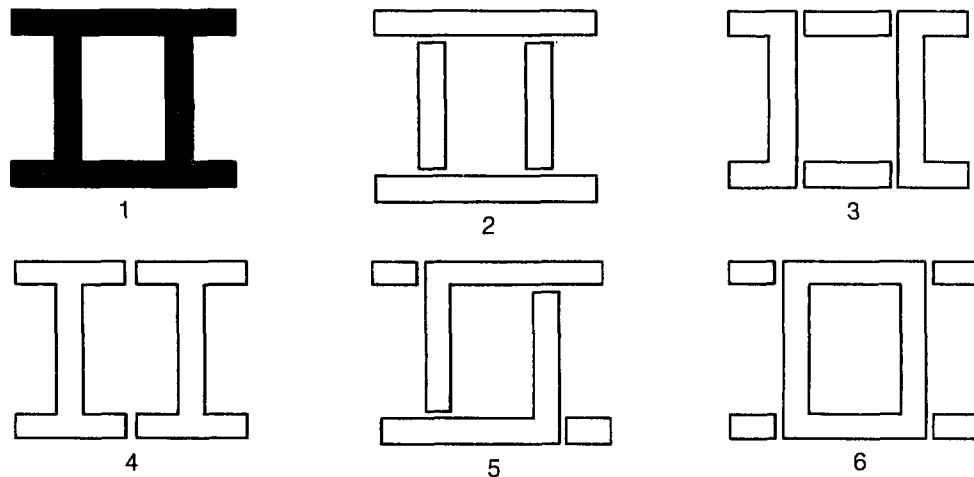
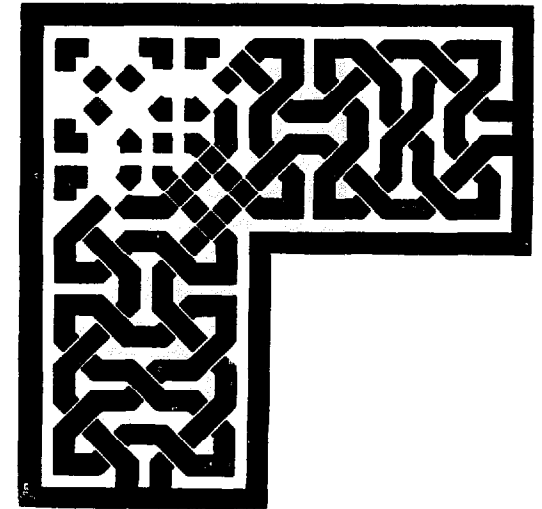


Figure 10-9 of *New Think* by Edward de Bono, © 1967, 1968 by Edward de Bono, Basic Books, Inc., Publishers, New York. Reprinted with permission of the publisher and Edward de Bono, author of *The Mechanism of Mind* (Simon & Schuster), *Lateral Thinking for Management* (American Management Association), and *Beyond Yes and No* (Simon & Schuster).

Figure 10-9.

at the grain pattern in a piece of wood and suddenly "see" the sculptural form that he will then attempt to realize. Projection commonly contributes answers to "externalized thinking" in every field, from technological invention to choreography (see Chapter 7).

Finally, problem-solving is often experienced as seeking closure in an incomplete pattern, as illustrated by the following puzzle.



From *The Book of Modern Puzzles* by Gerald L. Kaufman, Dover Publications, Inc., New York, 1954. Reprinted through permission of the publisher.

Figure 10-10.

### 10-5 / incomplete fret

The fret, or latticework, in Figure 10-10 is incomplete. Can you capture the spirit of the pattern and turn the corner that the designer left unfinished? Place a piece of tracing paper over the pattern and fill in the missing black areas; "interlace the bands by weaving them alternatively under and over each other."<sup>10</sup>

### **pattern, then analyze**

Man's ability to find meaningful wholes in visual imagery is complemented by his capacity to analyze, to divide wholes into parts. To see fully and creatively, both patterning and analytical abilities need to be developed. If you normally tend to analyze imagery, to dwell upon and develop details, then discipline yourself to see the gestalt that coheres parts into a unified whole. Draw from flashed images; sketch "thumbnails"; school yourself to see groupings and regroupings in visual patterns. Conversely, if "big brush" imagery and thinking comes easily, develop the analytical concern for visual detail that likely does not; the next chapter concentrates on analytical seeing.

In either case, remember that the phrase "pattern, then analyze" describes the natural sequence of all visual-thinking processes. No amount of careful, detailed analysis can remedy an overall visual pattern that has been inaccurately seen or conceived.

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# II

## analytical seeing

### seeing the details

"Ordinarily we do not make full use of our faculty for seeing," writes Edward Hill.<sup>1</sup> "An expeditious, computer-like response to visual stimuli is the normal mode; we react automatically, selecting from the numerous signs that crowd and demand our attention only those which provide information pertinent to our momentary needs, and very little else. Not to exceed this mean level is, in effect, to suffer from visual poverty. We are aware that a tremendous quantity of knowledge can be gathered through direct visual perception, the act of seeing. And yet . . . we look, but we do not see." Or we look, and we lazily see only stereotyped visual concepts. In this chapter, you will experience going beyond routine pattern-seeking to explore the details that make each visual image unique.

Seeing fully takes time. Observes Ross Parmenter, in *The Awakened Eye*,<sup>2</sup> "with large, detailed gestalten we need lots of time: time to absorb the gestalt itself so that we can take it for granted and so loosen its grip; time to segregate it into sections that we can examine individually; and time to reformulate it so we can get a new total idea of it, with awareness of the working of its parts, as well of the integration of the whole." Take wine tasting, for example. The experienced wine taster enjoys far more than a "drink." First, he assimilates an overall sensory impression. He then carefully considers the wine's individual qualities (its bouquet, for example, or clarity), comparing these with his memory of like qualities in other wines. After discriminating the character of each sensory de-

tail, he fuses this new knowledge into a new gestalt, a more complete overall impression than was possible at first taste. Visual experience that goes beyond stereotypes involves a similar kind of effortful and also enjoyable connoisseurship.

### seven million colors

"There are estimated to be more than seven million discriminable colors," writes Jerome Bruner,<sup>3</sup> "and in the course of a week or two we come in contact with a fair portion of them . . . Even objects that we judge to be the same object over a period of time change appearance from moment to moment with alterations in light or in the position of the viewer. All of these differences we are capable of seeing, for human beings have an exquisite capacity for making distinctions. But were we to utilize fully our capacity for registering the differences in things and to respond to each event as unique, we would soon be overwhelmed by the complexity of the environment . . . The resolution of this seeming paradox—the existence of discrimination capacities which, if fully used, would make us slaves to the particular—is achieved by man's capacity to categorize. In place of a color lexicon of seven million items, people in our society get along with a dozen or so commonly used names. It suffices to note that the book on the desk has a 'blue' cover." It may suffice the verbal thinker to reduce visual experience in this way, but not the visual thinker.

Bruner mentions two ways in which experience of color is diminished and simplified:

color constancy and color labeling. By color constancy, we see a white house immutably and all-over white, whether we see it in bright sunlight, in the rosy light of sunset, in moonlight, or with one wall brightly lighted and another in deep shadow. By color labeling, we categorize and label colors with words: hundreds of shades of white are merely "white." The visual thinker lifts the averaging filter of color constancy from his eyes to see the subtle effects that lighting plays on color. And he lifts the categorizing filter of color labeling so that he can see the richness of color that verbal labeling conceals.

One of the best ways to overcome the stereotyping effect of color constancy and color labeling, and to see color afresh, is through color matching. The artist who mixes the colors on his palette to match the colors that he sees in the sky knows that the sky is not merely "blue" but a subtle gradation of colors. The following exercise, suggested by Ross Parmenter, uses color matching to heighten sensitivity to the luxurious variety of color that eludes lazy, workaday seeing.

### **11-1 / paint chip hunt<sup>2</sup>**

1. Obtain several dozen paint chips from your local paint store. (They're samples and usually free.) Ignore the labels on the back.
2. Now the hunt: match, as exactly as you can, each paint chip with an object in your environment (such as clothing, plants, furniture, building materials, supermarket products, or advertisements). Matching the more exotic colors may be a challenge, but don't get discouraged. Be aware of the analytical nature of color

matching (this red is slightly more orange than that one; this blue is lighter than any of those).

### **the tactile, kinesthetic eye**

Seeing only the gestalten of visual imagery, without also seeing the diverse detail embedded in these larger patterns, is much like gulping down food without savoring it. Eating by large bites, we miss the nuances of flavor and texture available to the gourmet who assimilates his meal slowly, analytically, and with all of his senses. The visual sense, partially because we use it so much, is prone to consuming experience only by large bites. We turn now to assistance from nonvisual senses (which are less capable of perceiving large gestalten) to help us direct our perception toward the discrimination of particular features. Nature doesn't separate seeing from the other senses, only words do. Seeing is polysensory, combining the visual, tactile, and kinesthetic senses.

Of the tactile eye, Rudolf Arnheim<sup>4</sup> writes, "In looking at an object we reach out for it. With an invisible finger we move through the space around us, go out to the distant places where things are found, touch them, catch them, scan their surfaces, trace their borders, explore their texture." A few people have a natural predilection for perceiving primarily by their sense of touch; psychologists call these individuals "haptics." The haptic individual possesses adequate vision, but defers vision to touching. Many more people are conditioned to have the opposite tendency. Unlike the haptic, they experience the world as if it were ev-

erywhere posted with signs that warn "do not touch."

Of the kinesthetic eye, William James<sup>5</sup> says, "The muscular sense has much to do with defining the order of position of things seen, felt, or heard. We look at a point; another point upon the retina's margin catches our attention, and in an instant we turn our attention upon it. . . ." Such visual scanning, involving the muscles of the eye, is similar to exploration of an object by hand, the way a blind person perceives. In visual as well as tactile-kinesthetic perception, "the shape is abstracted from the object by virtue of the actions which the subject performs on it, such as following its contour step by step. . . ."

In the next exercise, begin by not using your eyes. Explore objects as would a haptic or blind individual.

### **11-2 / feelies**

1. Have someone put several objects that are unknown to you into separate, good-sized paper bags. (For several people, provide as many bagged objects as there are people.)
2. Without looking, reach into a bag and explore the object inside, tactually and kinesthetically. Take time to perceive the form thoroughly: its edges, corners, roundnesses, concavities, roughnesses, smoothnesses, temperature, weight—its spatial configuration.
3. Still without looking, transform your tactile-kinesthetic perception of the object into visual form by drawing it. As you draw, feel the object as much as you like, but don't peek!
4. Unbag the object and compare it with your drawing. Correct the drawing with a marker of a different color.

5. Repeat with another object. In a group, exchange bags with another person.

The previous exercise dramatizes that vision does not occur alone. Vision is actually polysensory: you see not just patches of color but objects that are hard or soft, warm or cold, rough or smooth, light or heavy. Your eyes sense these qualities because your visual, tactile, and kinesthetic senses are fused. Further, by bringing the tactile and kinesthetic senses into play, the analytical nature of seeing comes into balance with the equally natural tendency to seek overall and undetailed visual patterns.

Kimon Nicolaides observed in *The Natural Way to Draw* that "learning to draw is really a matter of learning to see—to see correctly—and that means a good deal more than merely looking with the eye. The sort of 'seeing' that I mean is an observation that utilizes as many of the five senses as can reach through the eye at one time." In the following drawing exercise, devised by Nicolaides, merge vision with touch and kinesthesia.

### **11-3 / contour drawing**

Place one of the objects used in the previous exercise on the table before you. With black nylon marker on newsprint, make a full-size drawing of the object, as follows:

1. "Focus your eyes on some point—any point will do—along the contour of the model. (The contour approximates what is usually spoken of as the outline or edge.) Place the point of the marker on the paper. Imagine that your marker point is touching the model instead of the paper. Without taking your eyes off the model,

wait until you are convinced that the marker is touching that point on the model upon which your eyes are fastened.

2. "Then move your eye slowly along the contour of the model and move the marker slowly along the paper. As you do this, keep the conviction that the marker point is actually touching the contour. Be guided more by the sense of touch than by sight. *This means that you must draw without looking at the paper, continuously looking at the model.*

3. "Exactly coordinate the marker with the eye. The eye may be tempted to move faster than your marker, but do not let it get ahead. Consider only the point that you are working on at the moment with no regard for any other part of the figure.

4. "Not all of the contours lie along the outer edge of the figure . . . As far as the time . . . permits, draw these 'inside contours' exactly as you draw the outside ones . . . *Develop the absolute conviction that you are touching the model. Draw . . . slowly, searching, sensitively. Take your time.*"

5. Repeat the exercise, making a contour drawing of your hand or your shoe. Remember: the desired result of this exercise is an experience in analytical seeing, not a masterpiece.

### **seeing and knowing**

As discussed in Chapter 8, the knowledgeable observer sees things that his less knowledgeable companion literally cannot. Thus Hanson notes in *Patterns of Discovery*, "The infant and the layman can see: they are not blind. But they cannot see what the physicist sees; they are blind to what he sees."

Far more than the full participation of the senses is involved in analytical seeing. The intellect, with all of its store of knowledge,

is also involved, and intimately so. And because much knowledge is most usually stored in relation to language, words can powerfully catalyze seeing. Scientific observers are especially alert to the way careful verbal description brings knowledge to play and thereby makes seeing more accurate.

Not any sort of language will do, however. Cliché labeling leads only to cliché seeing. As Parmenter puts it, "Don't chip away at things to make them fit words, but instead conscientiously use words to try to make them fit things." Such a search for precise verbal description does three things: (1) it enhances visual memory by relating visual imagery to existing verbal knowledge, (2) it disciplines seeing by joining verbal and visual searching together, and (3) it educates ambidextrous thinking (see Chapter 3).

### **11-4 / verbal seeing**

In addition to drawing, describe what you see with words. Talk to yourself or take notes, being careful to be precise. Ask yourself "How would I describe this to someone who hasn't seen it?"

Ask one member of a group to describe a simple object with words, and then ask the remaining members of the group to draw the hidden object from the verbal description only.

The individual whose use of words is most knowledgeable, imaginative, and complete will, of course, be best able to use words to enliven his seeing. To believe that seeing is merely a matter of turning on the senses, and not also turning on the intellect and the unique human capacity to elicit

imagery with words, is to miss a crucial point. Seeing is encountering reality with all of your being. To encounter reality deeply, you cannot leave part of yourself behind. All of your senses, your emotions, your intellect, your language-making ability—each contributes to seeing fully.

### **analyze, then repattern**

In the last chapter you were advised to "pattern, then analyze." In the pattern-seeking mode you are better able to choose what is worth observing. Also, when focused on patterns, the eye is more apt to catch changes, differences, and the unexpected. The Samurai swordsman, to whom the unexpected could be a matter of sudden death, was carefully trained to keep his senses open to the largest possible pattern. With his attention focused broadly, he was more apt to detect a surprise attack than when his attention was focused analytically on a single object.

If pattern-seeking should precede analytical seeing, so should visual analysis be followed by a reformulation of the overall pattern. Indeed, this is a fundamental way to take visual gestalts out of the musty realm of stereotype. After you have analytically seen a hundred different shades of blue, the single category "blue" is never quite the same again. Pattern-seeking and analytical seeing are the basic two phases of seeing (and of visual thinking generally). By cycling back and forth between the two—patterning, then analyzing, then repatterning—you more fully exercise and utilize your visual and mental capabilities. Such an interaction is involved in the per-

ception of proportional relationships, as you will experience in the next chapter.

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# 12

## proportion

### seeing things in proportion

During his early investigations of spatial ability, psychologist I. Macfarlane Smith<sup>1</sup> was especially impressed that his "subjects showed great differences in their ability to make recognizable drawings of simple objects." Although some subjects "made drawings which were grossly 'out of proportion' . . . [they] seemed to see nothing amiss with them." Jay Doblin,<sup>2</sup> out of his experience teaching drawing to design students, observes: "The most important and difficult mental control is the judgment of proportion. The mental processes that control accurate proportion on paper are the same as those that permit the designer to judge good proportion in objects."

Sensitivity to proportional relationships pervades everything we see, think, or do. "Making a mountain out of a molehill" is a failure to perceive relationships as they are. From the perception of the problem to the evaluation of the final solution, seeing things in proportion, involving both pattern-seeking and analytical modes of perception, is vital to effective visual thinking.

In the following exercise, test your current ability to perceive accurately the proportion of simple shapes.

### 12-1 / proportion of simple shapes

1. With black marker on newsprint, draw several simple geometric and free-form shapes of your invention (about 3" in size).
2. Place a sheet of tracing paper over one of the shapes and trace approximately one-quarter of its outline. Remove the tracing paper.

3. Place the partial tracing alongside the newsprint and *copy*\* the remaining outline of the shape.

4. Superimpose the two outlines. Trace the original shape with a blue marker to clarify errors in perceiving proportion.

5. Repeat, or go on to the next shape. If you experience difficulty with this exercise, repeat it frequently. Ability to record proportion improves rapidly with the accurate reinforcement that this exercise provides.

### superimposition

Judging proportion requires the assessment of relationships between parts: proportion is a matter of ratios. A primary way to judge proportional ratios is superimposition. Asked to judge the proportion of a rectangle, for example, you would most likely superimpose one side on another, arriving at a ratio such as 1 to 4.

A classic superimposition device in figure drawing is the human head, as shown in Figure 12-1. Indeed, any simple and distinct part of an image can be visually superimposed to measure proportional relationships of the whole. Wheels can be superimposed to measure the proportions of an automobile; a bridge's tower can be superimposed to measure its span. A fundamental scheme for judging proportion by eye involves superimposing an internal part on the whole. An external measurement device can also be used. Thus artists

\*Making copying taboo, as it is in some contemporary art classes, is as wrong as making copying an obsession, as was the academic practice not too long ago. Copying does not stifle creativity when it is used selectively to educate vision. Creative artists throughout history have educated themselves by copying.

commonly superimpose a pencil held at arm's length to gauge proportions. They also use a square viewer—a small square hole cut in stiff paper.

When a shape is complex, you can also aid the eye to judge proportion by superimposing a grouping scheme upon the whole. By grouping, you reduce the whole to simpler parts and more easily comprehended ratios, as shown in Figure 12-2.

Another analytical scheme for assessing proportional relationships is the superimposed square grid suggested in Figure 12-3. A square grid drawn on tracing paper

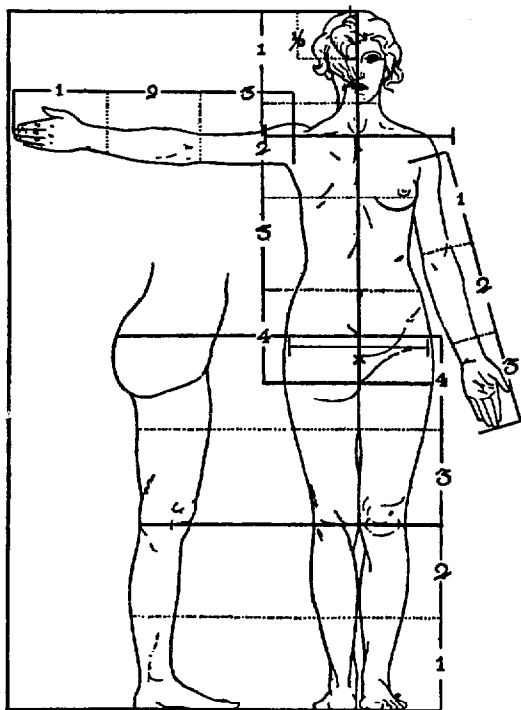


Figure 12-1.



Figure 12-2.

can actually be superimposed over a shape, or a square grid can be fixed in visual memory by exercises such as the next two. Once committed to memory, square grids can be projected mentally to assess proportion. Indeed, they automatically will be.

### 12-2 / multiple squares

1. Cut a sheet of newsprint, along the long direction, into a number of 2" ribbons.
2. Estimating proportion by eye, snip the ribbons into rectangles having the following dimensions: 2 × 2, 2 × 16, 2 × 4, 2 × 14, 2 × 6, 2 × 12, 2 × 8, 2 × 10. In your imagination, superimpose multiple squares upon the ribbon before you cut it.
3. With a ruler, measure the length of each rectangle. Mark proportion error on each (for example, 1/2" short). Repeat until error is small.



Figure 12-3.

4. On a second sheet of newsprint, draw a similar variety of rectangles (freehand, by eye). Check your accuracy with a strip of paper marked with the rectangle's short dimension.

### 12-3 / superimposed grid

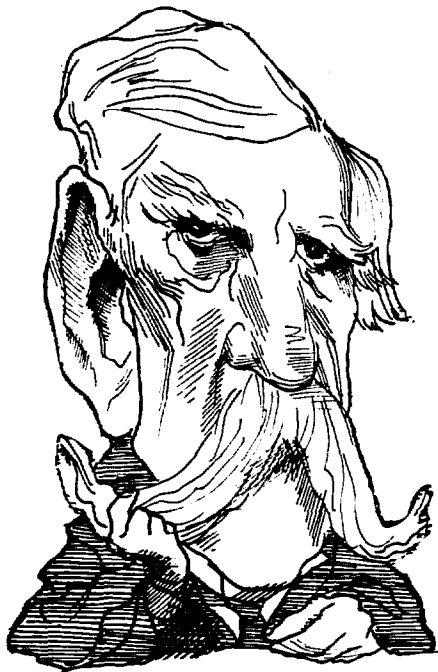
1. On tracing paper, using a ruler, triangle, and blue marker, draw two 5" squares subdivided into a grid of 1" squares. The two grids should be separated 2" apart.
2. Place a second sheet of tracing paper over the grids.
3. With a black marker, draw a simple shape of your invention within the lefthand 5" grid (right-hand grid if you are left-handed). This shape is your "model."
4. Over the adjacent grid, copy the model. Use both grids to guide you.

5. On a separate sheet of newsprint, copy the model once again. This time superimpose the grid only in your imagination.

6. Check the accuracy of the last drawing by slipping it under the grid and comparing it with the model.

7. Repeat. Progressively increase the complexity of the initial shape. With practice, your ability to do this exercise will improve.

However slavish the previous exercises may seem, they do have the virtue of accurate self-reinforcement. Unless you know that you are seeing proportion inaccurately and practice diligently to correct your errors, proportional misperception will plague every aspect of your visual thinking.



Oliver Wendell Holmes. Detail from a drawing by David Levine in *Life Magazine*, October, 1971. Reprinted with permission of David Levine.

## 12-4 / everyday proportion

Practice daily your ability to see things in proportion. In your imagination, superimpose (1) a grid, (2) a part of the whole, or (3) a grouping scheme upon your coffee cup, house, bed, breakfast table, cat, or whatever. Develop the habit of seeing everyday objects in proportion.

With practice, superimposition devices become built into the imagination, and accurate perception of proportional relationship becomes less analytical and more allied to overall pattern-seeking. Le Corbusier,<sup>3</sup> a master of architectural proportion, wrote, "For fifteen years, putting mathematics and geometry into what I do has been a regular and natural part of my training, a quite simple and spontaneous part. Eye and hand have become expert; consequently I instinctively put things in proportion, but I do not stop watching over the job of getting them exactly right."

## distortion

Another way to sensitize the eye to proportion is to make deliberate distortions, as a caricaturist does to dramatize identity. By exaggerating the proportion of a nose or a chin, the caricaturist seizes upon the gestalt of a face and somehow intensifies it. The caricature in Figure 12-4 induces an emotional response in the viewer partially because feelings are very readily aroused by a departure from what is considered "normal" or "visually correct." Artists also consciously and unconsciously use distortion to evoke emotion and to create aesthetic tension between the distorted image and the "normal" image of the subject that the viewer carries in memory.

As a caricaturist uses distortion to bring you to see a familiar face in a new way, changing proportional relationships can be generally used to recenter the way you see things. Experimental play with proportional relationships, of the sort suggested in the next exercise, is extremely important to flexible visual thinking.

## 12-5 / caricature

Draw a caricature of yourself or a friend. Boldly—very boldly—exaggerate the subject's distinguishing features. Also use distortion to capture your feelings about the person, or to evoke how you believe the person is feeling.

## functional and aesthetic proportion

Now let's apply the concept of proportion to considerations of function and aesthetics. Functional proportion, unlike aesthetic proportion, is usually reducible to numbers. The structural function of a beam, for example, is related to the numerical ratio of its dimensions; the useful function of a chair is related to the measured height of the seat above the floor. Change these measurements and you will likely drastically modify function or even alter functional identity. Size the beam's cross-section smaller and cause it to fail; lengthen the chair's legs and you have a bar stool. Few designers, however, determine functional proportion entirely by numbers. Experienced designers have a feel for functional proportion. Especially at the crucial first stages of design, they size functional elements qualitatively, not by numbers but by eye.

Aesthetic proportion, the harmonious visual relationship of parts to the whole, is essentially qualitative despite many attempts to bring aesthetic proportion into the quantitative realm. The ancient Greeks, for example, used geometrically derived golden-section rectangles to design the proportions of the Parthenon; medieval architects determined aesthetic proportions with modular schemes based on equilateral triangles and squares, which were thought to possess mystical meanings; the unit of proportion of many Renaissance buildings is one-half the diameter of the columns. Such attempts to quantify aesthetic proportion must contend with the perceptual field theory of Gestalt psychology. When apparent size (or any other visual quality such as color) is profoundly influenced by the context in which it is situated, as is illustrated by the optical illusion in Figure 12-5 (the center circles are the same size), quantitative aesthetic ratios are clearly difficult to formulate. The Greeks sensitively realized that aesthetic proportion is embedded in the figure-ground gestalt of the image and adjusted their golden-section proportions accordingly.

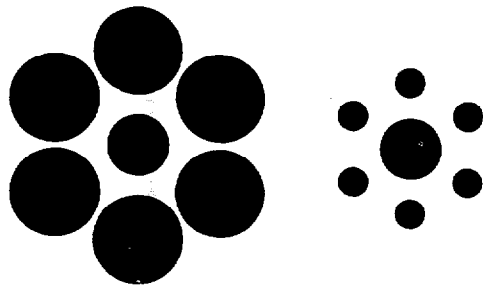


Figure 12-5.

Functional and aesthetic proportions, at their best, tend to be identical. In science and mathematics, for example, an "elegant" experiment or theorem is one that provides the most effect for the least effort; function and beauty are completely integral. Perhaps we obtain an appetite for proportional rightness by experiencing it in nature and in the pleasures that beautiful and fit relationships bring to every facet of our life. In any case, the visual thinker who learns to perceive proportional relationships accurately, sensitively, and flexibly will find this ability evident in every aspect of his thinking.

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# 13

## cues to form and space

### lost in space

Consider the forms in Figure 13-1. Can you imagine yourself walking this strange staircase that leads endlessly downward? Or assembling three perfectly square bars into this weirdly warped triangle? Now enter

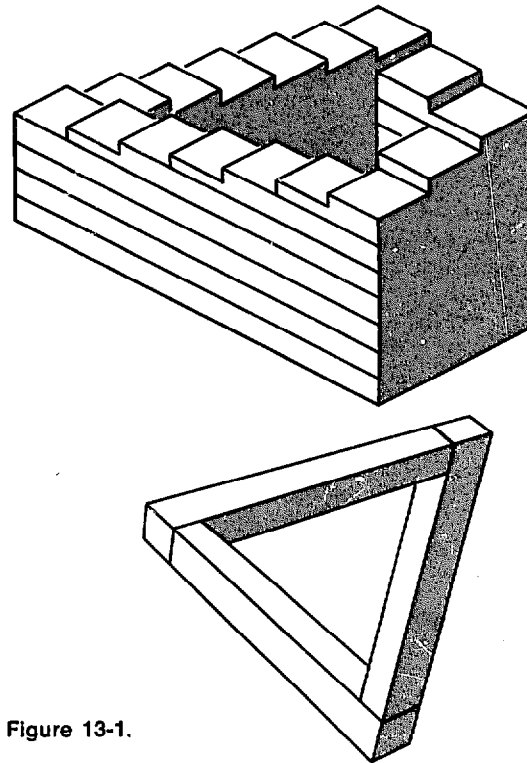


Figure 13-1.

into the spatial worlds depicted in Figure 13-2. In Hogarth's rustic English countryside, relationships between near and far objects are in spatial disarray; in Escher's surrealistic room, even gravity is gone.

How have the designers of the endless staircase and the warped triangle managed to present you with such bewildering illusions? How have Hogarth and Escher arranged to capture your eye and then to

lose it in surrealistic space? They have tricked you by careful reformulations of the "cues" by which you normally perceive spatial relationships. This last chapter in the section on seeing will explore these cues.

### spatial cues are learned

Psychologists tell us that "spatial perception" is not the perception of space as such but of the relationship between objects in space. Further, most psychologists hold that spatial perception is largely learned. Babies, for example, learn that one object is nearer to them than another by gradually discerning the spatial meaning of overlap, illustrated on the left in Figure 13-3. To demonstrate that overlap is a learned spatial cue, readjust your vision to the possibility that the black and white discs in Figure 13-3 are not overlapped but adjoined in the same plane. (The black disc could be incomplete, as shown on the right, and nestled against the white one.) Which is easier, to see these shapes as adjoined or overlapped? Most people find it easier to see them as overlapped even after another possibility is suggested. Still another possibility exists: did you see the black line as representing a thin loop attached to the black half-moon? Probably not; you have learned to interpret this pattern as representing overlapping shapes.

A more subtle cue to spatial relationship, certainly not learned in the nursery, is "atmospheric perspective." The cue of atmospheric perspective is utilized correctly in the Hogarth engraving in Figure 13-2: the rocks, bushes, and trees in the foreground are distinctly darker than those

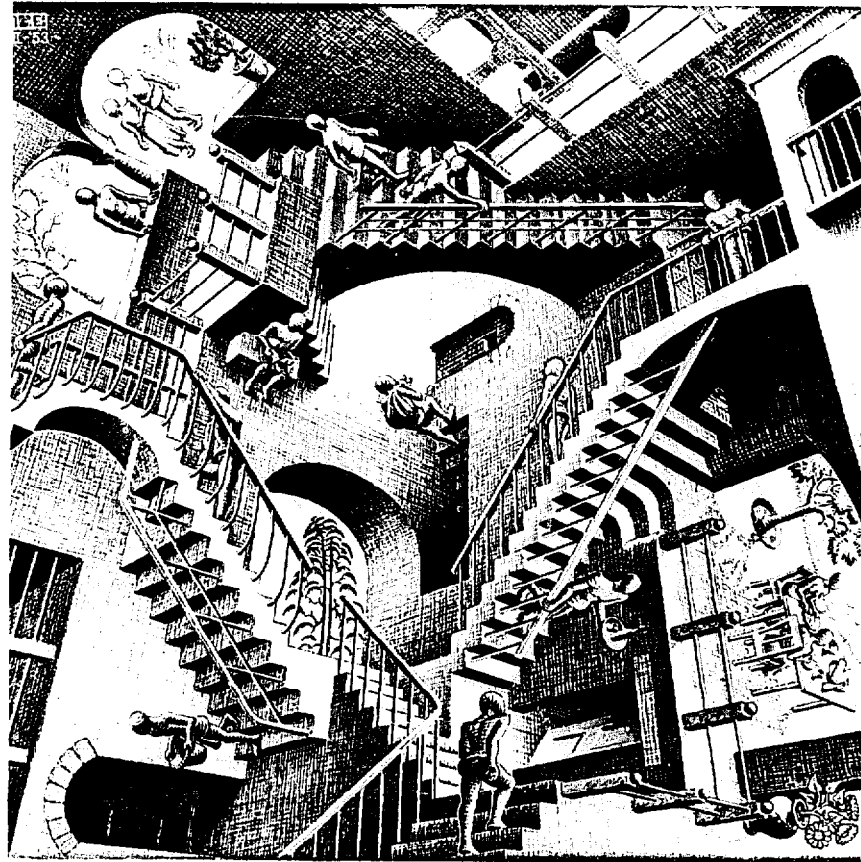


*False Perspective* by Hogarth.

Figure 13-2.

in the hazy distance. When we look at distant objects, we see also the intervening atmosphere; with experience, we learn that this phenomenon signifies deep space.

Three more cues to spatial relationship are illustrated in Figure 13-4: "height in plane," "relative size," and "focus." The cue of "height in plane" results from the way the eye normally scans near and far objects.



*Relativity* by M. C. Escher, Collection Escher Foundation, Haags Gemeentemuseum, The Hague

The eye usually scans upward as it proceeds into the distance; the far apple, in Figure 13-4, is therefore higher in the visual field. The far apple is also smaller than the near one; the cue of the "relative size" of objects of known dimension is very important to spatial perception. Further, the far apple is out of "focus." The eye cannot focus on near and far objects simultaneously; the mind capitalizes on this physio-

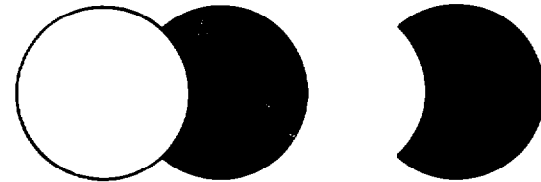


Figure 13-3.

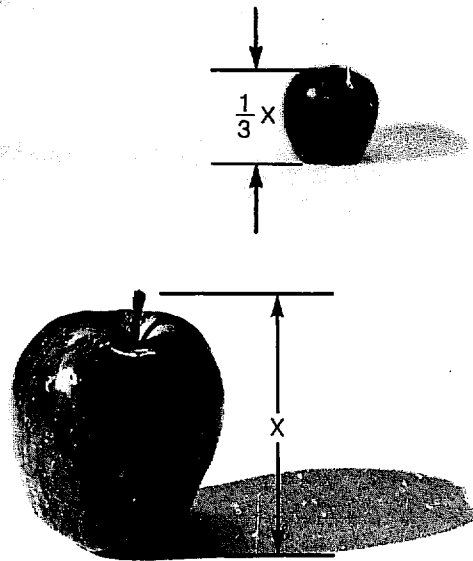


Figure 13-4.

logical limitation to distinguish the relation between objects in space.

### perceptual and optical reality

Before exploring additional cues to spatial relationships, consider more carefully how you perceive the form of a single object. Look around you and select a rectangular object (a book or table will do). Are you viewing that object "head-on"? That is, is your line of vision at right angles to one of the object's rectangular surfaces? Probably not; you are likely viewing most of the objects in your immediate environment obliquely; as a consequence, most of the shapes that you see are distorted. Circles you see as ellipses. Rectangles appear to

be trapezoids. Moreover, move your viewpoint and every shape changes. Walk a few paces forward, and some shapes appear to become larger, others smaller, and most change proportion. This unreliable visual situation in which solid objects appear to change size and shape I will call "optical reality."

Fortunately for sanity, experience is not primarily optical. In everyday experience, which I will call "perceptual reality," we involuntarily adjust the ever-changing images of "optical reality." Psychologists call this perceptual ability "object constancy." By object constancy, you perceive the apples in Figure 13-4 as approximately equal in size, although optically the far apple is only one-third the size of the near one.

Perceptual reality, governed by object constancy, combines what you know with what you see, and that knowing is polysensory. You perceive a chair: polysensory memories merge; you perceive a chair that is solid, pleasant to touch, and soft to sit in. By contrast, optical reality is only visual. The optical image of the same chair is the pattern of color seen by the retina of the eye. Optical reality is ruled only by geometry; it is the unknowing, mechanical reality of a camera.

The men photographed in Figure 13-5 are not freaks; they occupy a freak room devised by psychologist Adalbert Ames. As did the visual conjurers whose work was shown earlier, Ames has used optical cues to spatial relationships (especially the perspective cue of convergence) to trick the learned eye into perceiving incorrectly.



Figure 13-5.

### 13-1/ perceptual demonstration kit

Psychologists Weintraub and Walker, in collaboration with Brooks/Cole Publishing Company, have devised a simple kit which puts many perceptual phenomena (including Ames' Distorted Room) into experiential form. This kit, which consists partly of cardboard fold-ups, invigorates seeing and also points entertainingly to seeing's limitations. It is a recommended supplement to these exercises.

The dissonant interplay between the changing images of optical reality and the constant images of perceptual reality provides excellent exercise in seeing. First, experience perceptual reality more consciously, using drawing as a catalyst.

### perceptual reality and orthographic projection

Although we rarely view objects head-on, we always perceive them this way. In everyday perceptual reality, circles are always circles and never ellipses; rectangles are invariably perceived as rectangles, even

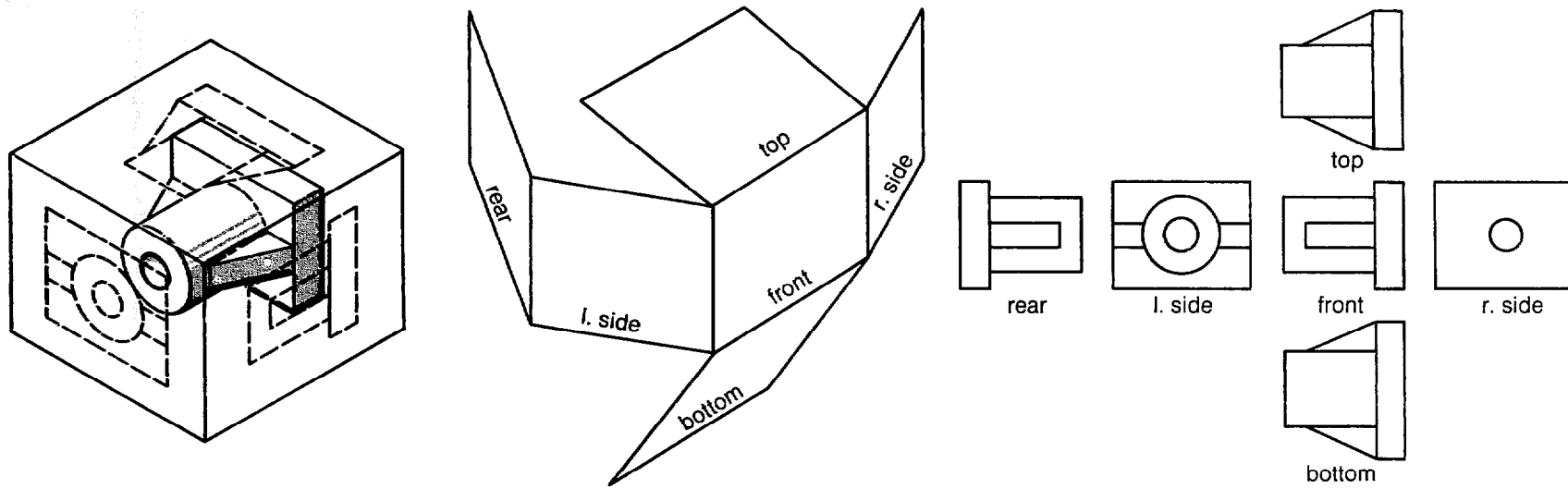


Figure 13-6.

when viewed obliquely. The graphic equivalent of seeing things head-on is "orthographic projection," a method of drawing commonly used by designers.

The basis of orthographic projection is illustrated in Figure 13-6. An imaginary transparent box has been placed over an object so that the walls of the box are parallel to those of the object. Looking perpendicularly through one side of the transparent box, the observer sees one "true shape" of the object. Projecting this true shape of the object onto the corresponding plane of the transparent box is orthographic projection. Other true shapes of the object can be projected onto other planes of the transparent box. Then the planes of the imaginary box are flattened out onto the plane of the page, as also

shown, to present multiple views of the object.

In the next exercise, explore perceptual reality of three-dimensional form by means of orthographic projection.

### 13-2 / front, top, and side views

Select several simple objects. Draw freehand three orthographic views of each object. Refer if necessary to Figure 13-6.

Although we usually see only external surfaces, much reality lies concealed within. Paul Klee<sup>1</sup> noted that "the object grows beyond its appearance through our knowledge of its inner being, through the knowledge that the thing is more than its

outward aspects suggest. Man dissects the thing and visualizes its inside with the help of plane sections . . . This is simple penetration, to some extent that of a simple knife." In orthographic projection, an imaginary plane is sliced through the object to permit visualization of inner structure, as shown in Figure 13-7.

### 13-3 / cross-section

1. Obtain several varieties of fruits or vegetables that are interesting inside, as are green peppers.
2. Draw orthographic views of each, as in the previous exercise.
3. Then dissect each with a sharp knife. Record what you find inside in two or more orthographic cross-sections.

## optical reality and perspective

Just as orthographic projection is the graphic equivalent of perceptual reality, perspective is the graphic equivalent of

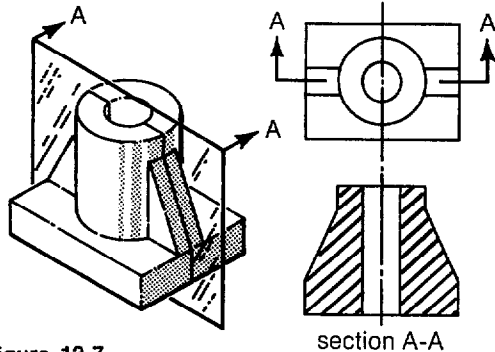


Figure 13-7.

optical reality. Perspective is a comparatively recent perceptual acquisition; there are even today primitive cultures who do not see basic perspective phenomena. R. L. Gregory<sup>2</sup> reports on studies of an isolated tribe that lives in a dense forest: "Such people are interesting, in that they do not experience distant objects, because there are only small clearances in the forest. When they are taken out of their forest and shown distant objects, they see these not as distant but as small." Gregory adds that such people are also initially unable to comprehend perspective drawings and photographs of familiar objects.

The development of perspective in the early Renaissance is a dramatic instance of how men learn to see form and space in a new way. The history of art attests to how pre-Renaissance artists were confused by, or ignored entirely, cues to space such as foreshortening and convergence. Today, although the prevalence of perspective and

photography diminishes the exhilarating recentering of vision that perspective once delivered, learning to see and draw perspective's spatial cues can nevertheless make you aware of space as never before. To experience the optical reality of perspective, try the following:

### 13-4/perspective picture window

1. Select a window with a view of at least one large rectangular shape, such as a house or street.
2. Look out to the view, your eyes approximately 18" from the glass.
3. Fix your head in a stationary position, as you would if you were going to take a long-exposure photograph.
4. Close one eye.
5. With a washable felt-tip marker, trace the outlines of the objects in your view directly on the glass.



Figure 13-8.

The completed drawing on the window pane is, in every respect, a perspective image. The print by Dürer in Figure 13-8, suggests another version of the perspec-

tive picture window. By marking a square grid on the glass, and a similar grid on his drawing surface, Durer's artist was able to draw the perspective image directly on paper.

Although drawing on glass demonstrates the optical reality of perspective, the contribution of graphic perspective is a geometrical procedure for constructing camera-like imagery directly on paper—without a glass window, and even without an actual model. This procedure is amply treated elsewhere: in exhaustive detail in T. O. McCartney's *Precision Perspective Drawing*,<sup>3</sup> for example, and more simply in Jay Doblin's *Perspective: A New System for Designers*.<sup>4</sup> In the context of this book, we need only consider two basic perspective cues to the perception of deep space: convergence and foreshortening.

### convergence

Seeing and recording the perspective effect of convergence exercises your ability to distinguish optical reality from percep-

tual reality. The individual in the photograph in Figure 13-9, for example, perceives the nearby building as basically rectangular. However, should he choose to recenter his vision to optical reality, he will see that the parallel lines in the rectangles are not parallel at all. They are converging. The photograph in Figure 13-9 is superimposed with lines to illustrate two important characteristics of convergence. First, receding parallel lines converge to a point, called a vanishing point. Second, when receding parallel lines are horizontal (as they frequently are in our man-made environment) they appear to converge to a point on a horizontal line that coincides with the eye level of the observer. Later, you may want to refer to a perspective textbook to understand the theory that underlies the vanishing point and eye-level locus of vanishing points. More important for now, experience these phenomena for yourself.

### 13-5 / convergence

1. Convergence is most easily observed in large objects (no smaller than a table). Select several large, horizontal, rectangular objects to draw in perspective.
2. To the best of your ability, make a small, freehand perspective sketch of one of the objects in the center of a sheet of newsprint.
3. Using a different-colored marker, extend all converging horizontal lines in your drawing to a vanishing point (as in Figure 13-9). Then construct a horizontal eye-level line and check to see whether it coincides with your eye level. If your drawing is accurate, it will.
4. Repeat with several other objects.

The visual thinker uses perspective primarily to record forms that exist only in his

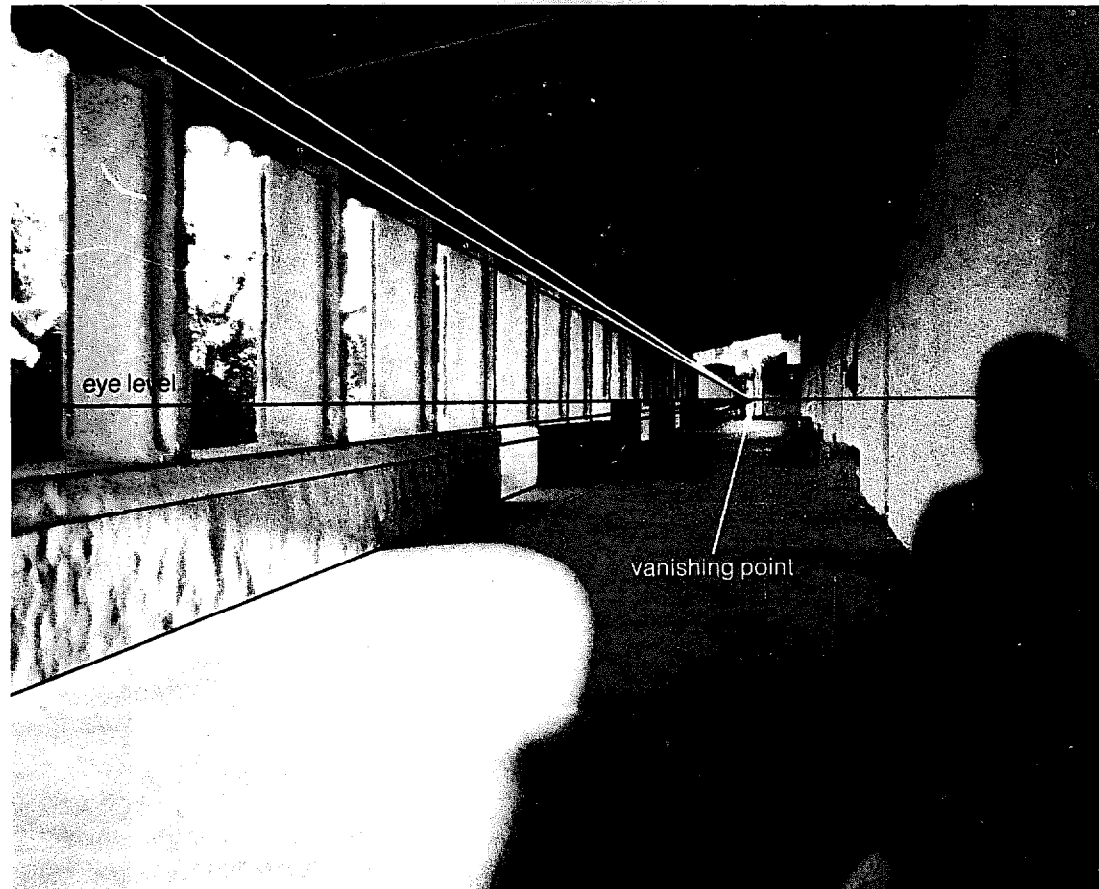


Figure 13-9.

imagination. Consequently, in the next two exercises concentrate on seeing convergence, not in actual objects, but in rectangular solids conceived in your imagination and captured graphically.

### 13-6 / two-point perspective

1. On newsprint, draw the largest possible freehand circle. As shown in Figure 13-10, draw a diameter across the circle and label it "eye level." At each end of this line, draw a vanishing point; these are the two vanishing points of classic two-point perspective.

2. Within the circle, draw a number of horizontal, transparent rectangular solids in two-point perspective. All horizontal edges should be drawn to converge to one of the two vanishing points. All vertical edges should be drawn parallel to each other and perpendicular to the eye-level line.

3. As you draw, imagine that your eye is located on the plane of line marked "eye level," and that you are looking up or down at each rectangular solid that you draw. Also imagine that each solid is three-dimensional; don't just draw lines; construct a form in space.

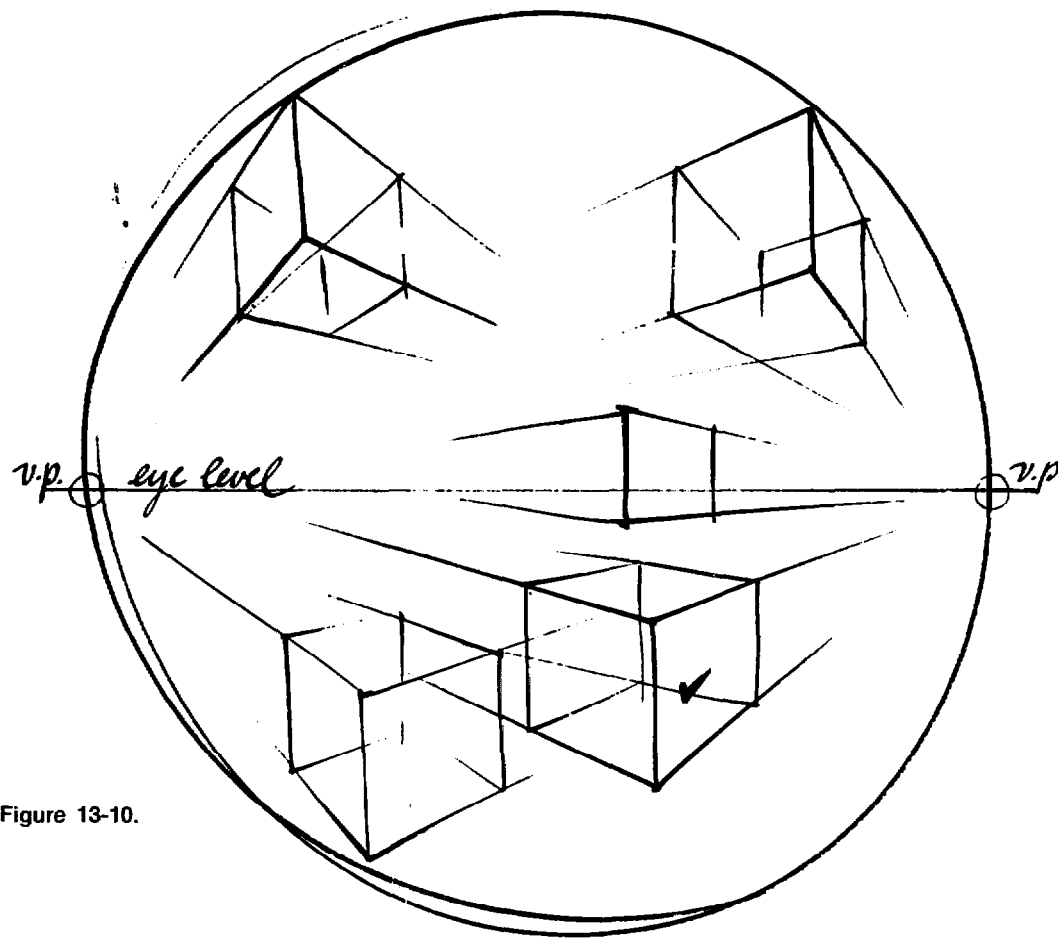


Figure 13-10.

The experienced perspective visualizer rarely locates vanishing points on his drawing. Sketching freehand, he records convergence "by eye." In the next exercise, check your drawings for errors of convergence by using vanishing points afterwards.

### 13-7 / convergence errors

1. From your imagination, draw several rectangular solids, freehand, in two-point perspective. View the solids from below and above, and from various angles, but don't use vanishing points.
2. Use a straightedge and different-colored marker to extend *all* of the lines in each completed sketch.
3. Check each drawing for the common convergence errors described below. Label each error with the abbreviations suggested in the accompanying sketches.

A common beginner's error in depicting convergence is reverse convergence, shown in Figure 13-11, in which receding parallel lines are drawn converging in reverse direction—toward instead of away from the viewer.

Two additional convergence errors are shown in Figure 13-12. Receding parallel

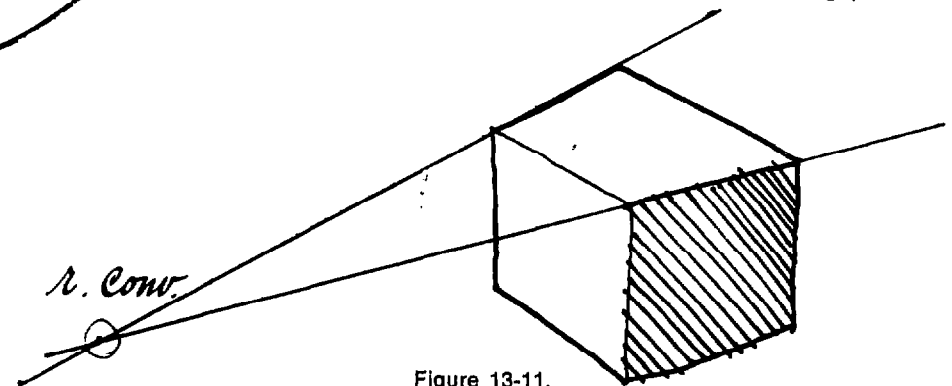


Figure 13-11.

lines that fail to converge to a single vanishing point are, of course, "out of convergence." Further, vertical edges should be drawn parallel in two-point perspective; convergence is represented only for edges that are receding from the observer. In Figure 13-12, one of the verticals is misdrawn; it is "askew."

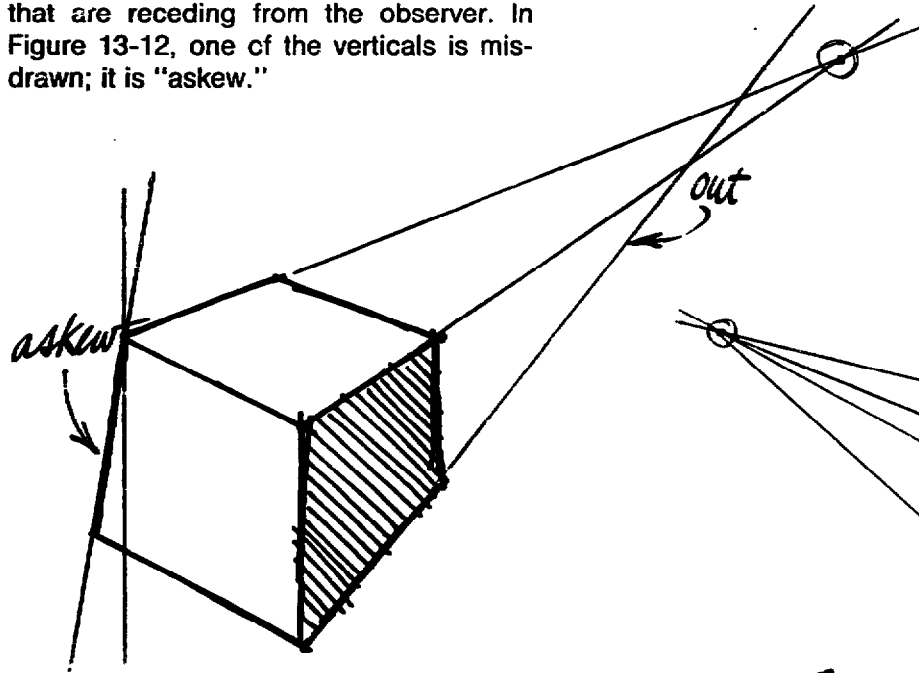


Figure 13-12.

You may be wondering how the distance between vanishing points is determined. In so-called "mechanical perspective," vanishing points are located by geometric procedure; in freehand perspective, vanishing points (if drawn at all) are located "by eye." A rule of thumb for the freehand visualizer is that vanishing points for small objects should be located far apart relative to the size of the image, and vanishing points for large objects (such as buildings) should be located relatively close together. In Figure 13-13, the vanishing points are too close, however, causing a misshapen image that

resembles a wedge more than a rectangle. Correctly drawn, the corner marked "wedge" should measure not less than  $90^\circ$  on the page. Can you describe why this is so, using an actual rectangle?\*

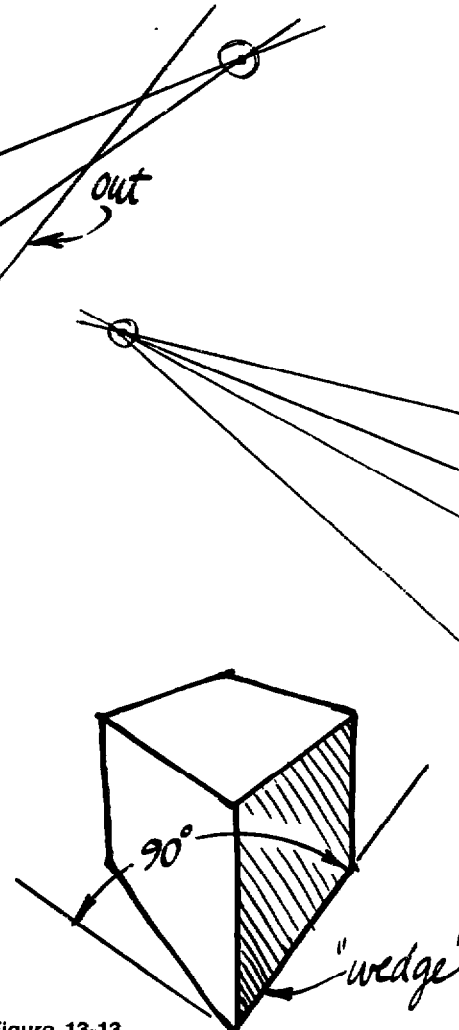


Figure 13-13.

\*Looking straight down at such a corner, you will see it as a  $90^\circ$  angle. No viewpoint will allow you to see it as less than  $90^\circ$ .

Finally, Figure 13-14 shows a convergence error in which one vanishing point is incorrectly positioned, causing a tilted eye-level line. In two-point perspective, all vertical lines in your drawing should cross the eye-level line at  $90^\circ$ . Notice that the perspective image that results from this error has a peculiar half-misshapen, half-tipped look.

Breaking the hold that perceptual reality has on your vision takes time and effort. Accurate seeing of the optical effect of convergence comes only with repeated practice.

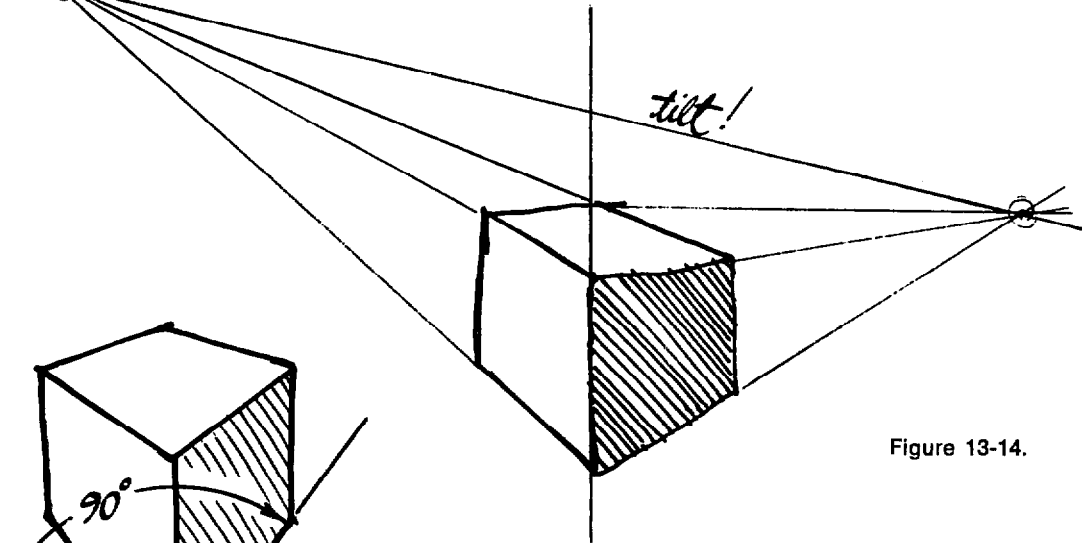


Figure 13-14.

### foreshortening

Close one eye and hold your own hand in front of you, perpendicular to your line of vision. Now bend your fingers toward you until they are almost parallel to your line of vision; your optical image of your fingers is now foreshortened. The cue of foreshortening is important to the percep-



tion of the three-dimensionality of form. The following exercise will intensify your awareness of this spatial cue.

### 13-8/foreshortening

1. Select a pair of simple identical objects (such as two drinking glasses or two books).
2. Arrange the objects side by side, one almost perpendicular to your line of sight and the other at an angle, as suggested in Figure 13-15.

3. Close one eye. (Binocular vision interferes with seeing perspective cues; perspective, like a camera, is essentially one-eyed.)

4. Draw the two objects in trehand perspective-shorting accurately, you will perceive the unlike perspective images as representing identical objects.

5. Repeat, using other objects. See the proportional changes in shape that foreshortening creates. Carefully adjust your drawing until both objects are perceptually identical although optically different.

6. Now draw an object of your own invention in perspective. In adjacent sketches, rotate the object in space (or move your viewpoint) while maintaining the perception of proportion captured in the initial image.

One of the few tricks in perspective drawing involves the drawing of foreshortened circles. In the photograph in Figure 13-15, notice that the foreshortened circles in the upright glass are elliptical in shape. To draw a foreshortened circle in perspective, first draw a perspective square that is the size and is in the plane of the desired circle. Find the center of this perspective square and its midpoints, as shown on the left in Figure 13-16. Now draw a line perpendicular in perspective to the plane of the square and through its center (line A-A

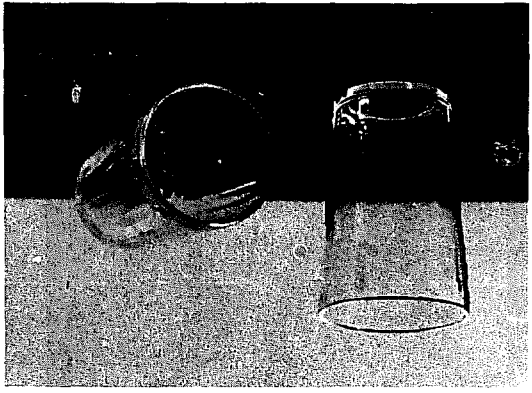


Figure 13-15.

in Figure 13-16). Now draw the ellipse: align the minor axis, or shortest dimension, of the ellipse with line A-A; size the ellipse so that it is tangent at the perspective midpoint of the square. With practice, this construction is unnecessary.

### translation

The dissonance between perceptual and optical reality, unconsciously resolved in the brain, causes us to see a stable spatial world of recognizable three-dimensional objects. Again using drawing as a catalyst, make this essential resolution conscious

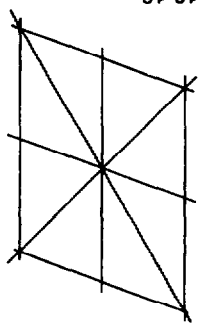
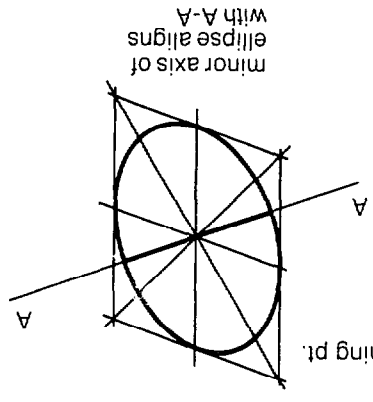
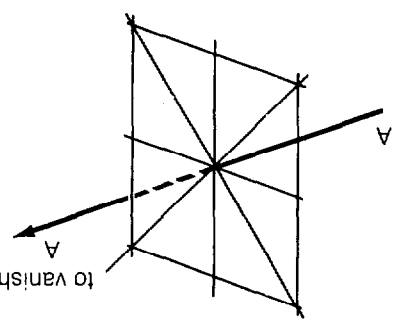


Figure 13-16.



by translating orthographic views into perspective. This translation will heighten your awareness of the structure of spatial configurations.

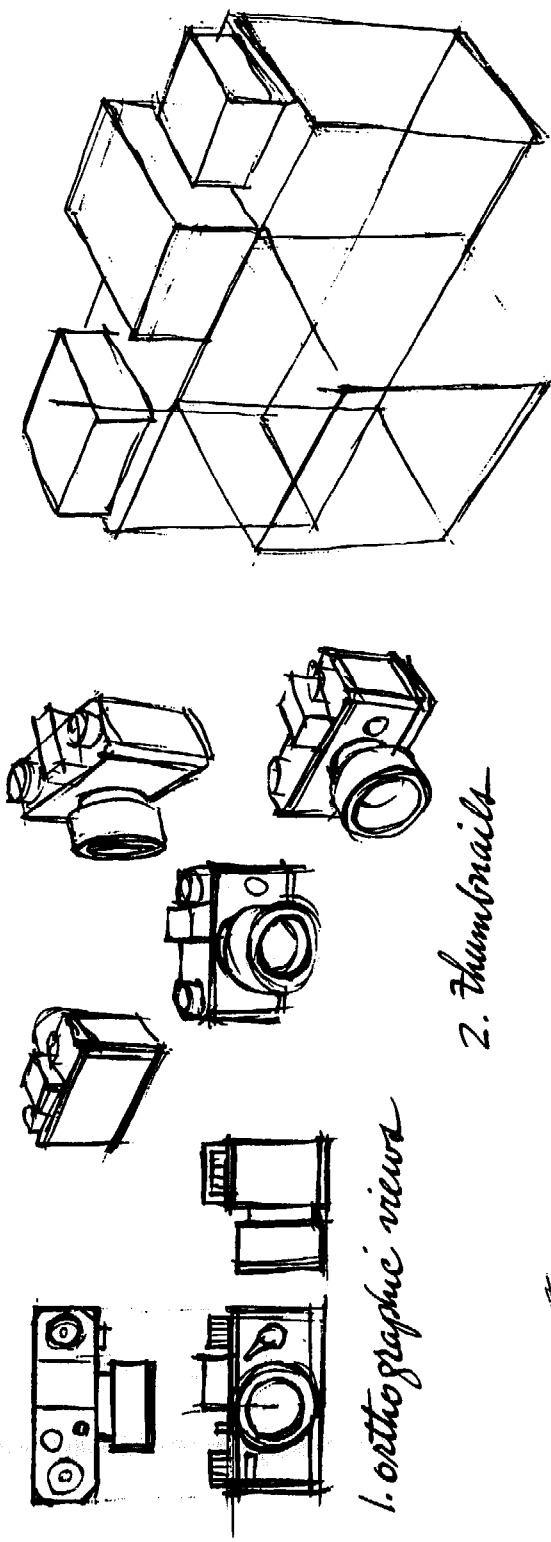
### 13-9/translation

1. Sketch several orthographic views of an actual object or an object in your imagination. Be sure to record proportional relationships accurately.

2. Choose a viewpoint from which to draw the object in perspective. A few thumbnail sketches will help you to choose an orientation that reveals maximum information about the form with minimum ambiguity. An unfortunate alignment of edges can, for example, muddle an otherwise well-drawn perspective image.

3. With light pencil, draw a transparent perspective skeleton proportioned correctly to contain the object. Within that skeleton, sketch in the structure of the object, including all hidden lines. When sketching in a cylinder, for example, sketch in the hidden ellipse, not just the ellipse that shows. The resulting perspective image is transparent, as illustrated in Figure 13-17.

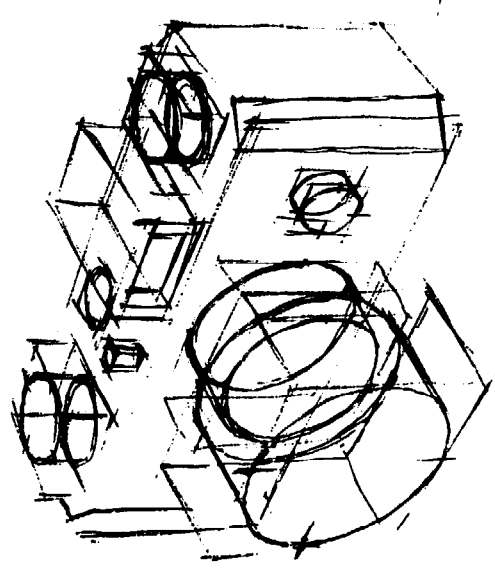
4. Reinforce the visible lines of the object with a dark marker. Alternatively, overlay tracing paper and trace the visible lines into a new drawing, making corrections where necessary.



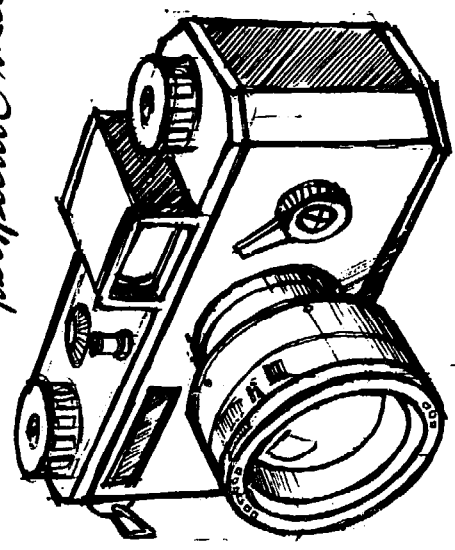
1. orthographic views

2. thumbnails

3. transparent perspective skeleton



3a. detail, showing hidden lines



4. final sketch

Figure 13-17.

### ways to detect errors

How can you know when you are seeing and drawing inaccurately? A customary source of feedback is a teacher with a trained eye. But a teacher is an infrequent advisor, especially in a large class. You will learn more quickly by frequently using the following recentring devices to detect your own errors:

1. Tack up your drawing and view it from a distance (or through a diminishing lens, available in art stores).
2. Look at your drawing upside down.
3. Look at your drawing in a mirror or through the back of tracing paper.

Another way to obtain the constant feedback and reinforcement that you need in order to learn quickly is to enlist the eyes of your neighbor. It is much easier to see the errors of others than to see one's own. In the following group exercise, each member develops his own critical faculties while also helping to develop the perceptual skills of his neighbors.

### 13-10/visual rumor

Rumors are frequently distorted as they pass from person to person in a group. Make the rumors visual, discuss the distortions that occur in the "visual rumor mill" in a constructive way, and you have a self-teaching group. Optimal group size is four. Time each drawing phase so that the group works at a mutual pace. Each person begins as follows:

1. *Initial rumor:* from imagination, draw three orthographic views of a simple object on a quarter sheet of newsprint. Label it "A." Pass the drawing on to the next person.
2. *Second rumor:* on a second quarter sheet of newsprint, translate your neighbor's ortho-

graphic sketch into a perspective drawing. Label it "B" and pass it on.

3. *Third rumor:* translate "B" into an orthographic sketch, label it "C," and pass it on.
4. *Fourth rumor:* translate "C" into a perspective sketch and label it "D."
5. Place related drawings side by side, in A-B-C-D order. Constructively discuss the errors in the drawings. An alternative game: instead of making orthographic sketches, verbally describe the object for the initial and third rumor.

### color and shading

So far in this chapter you have drawn lines to signify the contrasts in shading that, in actual seeing, provide fundamental cues to the comprehension of form in space. However, the form of a sphere cannot be clearly depicted in outline alone, nor can organic or sculptural forms. Study the idea-sketches illustrated in Section V to obtain a sense of how shading can be added quickly, and in bold patterns, to clarify and unify three-dimensional renderings of form. Meticulous depiction of form by shading is a difficult and time-consuming activity rarely useful to the purposes of visual thinking. Primarily a device for graphic communication, shading is a study in itself.

### return to pattern-seeking

The exercises in this and the previous two chapters have been essentially analytical; they have focused your eyes on details. After an excursion into details, a return to the whole consolidates and unifies what you have learned. So now return to pattern-seeking, described in Chapter 10; don't allow your attention to be riveted too long

by details without returning to the gestalt of the image.

As detailed visual information becomes reinforced in memory, it becomes imaginative material for the pattern-seeking phenomenon of projection, as you will experience in the next exercise.

### 13-11/perspective in patterns

1. With a light gray felt-tip marker, *scribble* a perspective image of an object. Build up the image gradually, as you would a lump of clay, with loose scribble lines.
2. With a darker marker, such as a black ball-point pen, seek a more accurate perspective image in the scribbles (see Exercise 10-4/da Vinci's Device).

### limit to seeing

Although seeing allows endless innovation, it is also limited. Indeed, men invent new ways to see in order to expand vision's boundaries. As we have discussed, Renaissance artists revolutionized the way we see deep space. Subsequent artists, rebelling against the "materialistic objectivity," fixed viewpoint, and static nature of the perspective image, reformed seeing into new modes. Cezanne, for example, invented a multiviewpoint perspective. Other artists invented "abstract" ways of seeing that divest imagery of its representational content and center visual attention on aesthetic-sensory qualities invested in color, texture, line, shape, and space. Innovators in science and technology have also extended the visual sense. Through powerful microscopes and telescopes, we now are able to see "new landscapes" in micro-

structures and in the cosmos. By photography and television, we now visually experience world events as they happen; and by a broadcast from outer space we visit the moon and even look back to the tiny ball that is our planet Earth.

However, just as this section on experiences in seeing is limited and cannot encompass all varieties of seeing, so seeing is also limited. The visual sense operates within a finite range. Many important phenomena elude our visual sense. Even with our most advanced technological extensions of the eye, we cannot see objects that are extremely small, such as atomic particles. Nor can we see events that are extremely large and complex, such as urban patterns of social interaction. We cannot closely observe happenings that are very far away from our organs of vision, such as distant quasars. Nor can we glimpse the inner structure and workings of many things, including the inner core of our planet and the functionings of our brain. Our visual sense is also insensitive to most energy phenomena: we see a limited range of light frequencies and cannot see occurrences such as forces emanating from the poles of a magnet. Further, the eye is bound in time and space: we cannot see the past nor the future; eyes that are here-and-now cannot also be there-and-then. By definition, ESP phenomena occur outside the reach of the visual sense.

More important, what we see is limited by what we know. And when our knowledge is incorrect, we see illusions. The poet Blake advised "Consume the sensory perceptual illusions in the fires of imagination." Einstein exemplified this advice: by his

imagination, he exposed fallacies in the Newtonian model of the universe that had distorted the perceptions of generations of physicists. Similarly, Jesus, Buddha, Darwin, and Freud, by their imaginative insights, drastically changed the way we see ourselves.

Seeing is a precious gift, but a limited one that cannot be divorced from imagination. Visual discovery, in the scientific laboratory, on the artist's canvas, and in human relationships, occurs in relation to a form of inner vision that is far freer of mortal limitation than is sensory perception. The task of the next section, "Imagining," is to lead you to experience more fully the contribution of imagination to the interactive process (seeing/imagining/drawing) of visual thinking.

### references

1. Klee, P. *The Thinking Eye*. Wittenborn.
2. Gregory, R. *Eye and Brain*. McGraw-Hill.
3. McCartney, T. *Precision Perspective Drawing*. McGraw-Hill.
4. Doblin, J. *Perspective: A New System for Designers*. Whitney.

### additional bibliography

Obtaining skill in orthographic and perspective projection is not only a vigorous discipline in seeing but also an invaluable aid to imagining and idea-sketching the spatial structure of visual ideas. If you want to learn more about orthographic projection, you will find that any of the standard architectural or engineering graphics texts cover this subject thoroughly. If you want more instruction in *freehand* perspective, refer to E. W. Watson's *Perspective for Sketchers* (Reinhold).

The approach to the education of vision taken in this section can be complemented by many other approaches. The *Vision and Value Series*, edited by Gyorgy Kepes and published by Braziller, is an especially rich source of alternatives.

# IV

## imagining

The following six chapters are devoted to strengthening the organ of inner vision, the mind's eye. The mind's eye, spontaneously active in dreaming, can also be consciously directed. Unlike the sensory eye, which is bound to the here-and-now, the mind's eye can travel in space and time to the there-and-then, can entertain fantasy, can form, probe, and manipulate structures and abstract ideas, can obtain insight into realities that have not yet been seen, and can foresee future consequences of present plans. "The faculty of imagination," wrote Dugald Stewart, "is the principal source of human improvement."

14. The Mind's Eye
15. Visual Recall
16. Autonomous Imagery
17. Directed Fantasy
18. Structures and Abstractions
19. Insight and Foresight

# 14

## the mind's eye

### what is imagination?

Human imagination enables men to transcend mortal limitations of space and time, to experience what was, what can be, what can never be; it opens vistas that are not available to the senses. "By his imagination," writes Frank Barron,<sup>1</sup> "man makes new universes which are 'nearer to the heart's desire.' The sorcery and charm of imagination, and the power it gives to the individual to transform his world into a new world of order and delight, makes it one of the most treasured of all human capacities . . ."

Before exploring the inner imagery of the mind's eye and the workings of visual imagination, however, consider imagination first in a larger context. The fish does not know he is wet. Similarly, imagination so permeates human experience that many individuals frequently claim they have no imagination. Imagination is more than the power to be creative. Imagination is all that you have ever learned or experienced; it is central to your every perception and act.

### 14-1/where are you?

Starting with present location and working outward, locate yourself spatially (noting how your imagination enables you to do so.) First, what is behind you? You need not look around: in your imagination, experience the furniture that you know is there. Now go through the wall in front of you, into the next building, as far as you can in that one direction. Next, without looking down, experience what is underneath you: travel in your imagination down through the floor to the bowels of the earth. Finally, locate yourself in relation to oceans and land masses and picture your earthly locus in relation to the solar system.

Did you say that you don't have imagination? You'd be literally lost without it. Furthermore, your sweeping awareness of space is matched by your capacity to relate yourself to large spans of time. You not only know *where* you are, but *when* you are. Your sense of history and your ability to plan for tomorrow are workings of your imagination.

Whenever you answer the questions "How?" or "Why?" you are also exercising your imagination. How do flowers reproduce? Why are you reading this book? The ability to create imaginative bridges between cause and effect, almost entirely undeveloped in animals, is a marked faculty of human imagination.

Another ability of imagination that you possess, and animals don't, is self-awareness. You have an image of yourself, or more correctly, a number of self-images. For instance, in a classroom you may see yourself as a diligent student; at a party you will likely abandon this self-image for another. You also have images of other people: you may see an individual as a "policeman," for example, but not as a husband and father. To become aware of the pervasive role of imagination in personal and interpersonal behavior is to begin to understand the games people play.

Also, you selectively perceive what most interests you, and what interests you is related to feelings associated, positively or negatively, with previous experiences that you have stored in image form. This pervasive effect of imagination accounts not only for differences in the way two peo-

ple see the same event (a dentist and a psychologist see the same smile differently) but also for differences in the way two people choose from the same alternatives (make mine vanilla).

Finally, no one who dreams can correctly say that he is unimaginative. Whether you remember your dreams or not, you are imaginative, often profoundly so, for about an hour and a half every night.

Imagination, then, is not limited to occasional leaps of fancy or rare moments of creative insight. Imagination, the total of all you know and have experienced, of what you have come to love, to dislike, and to fear, is at the center of your being. Everyone possesses imagination. People who claim they have no imagination are simply confessing their lack of awareness.

### **real or illusory?**

Imagination can be reality-oriented: by imagination, man correctly pictured the earth's roundness long before astronauts showed him the confirming photograph. But imagination can also be illusory: the child who plays with an imaginary friend may need an actual friend, but he has an illusion. We correctly distrust the illusory capacity of imagination, but we need not allow this distrust to become prejudice against imagination generally. Undiscriminating prejudice against imaginative expression is exemplified by the parent who teaches his child to distinguish the illusory from the real by demanding that he "stop imagining things!"

Why is imagination treated by many as if it were forbidden fruit? Flights of imagination

are popularly associated with insanity; the physical inaction that normally accompanies imagining is easily mistaken for that bane of work-oriented cultures, laziness; "idle daydreaming" is considered to be escapist; imagination often leads to maladjusted behavior (in other words, behavior that does not conform to "social reality").

There is truth to each of these claims against imagination. Entire cultures, not just individuals, are sometimes dominated by insane imaginings. Imagination is nurtured by cessation of outward activity and stimulation. And creative imagination, especially of the most profound sort, is socially disruptive. Indeed, no force known to man is more powerful than imagination. Like nuclear energy, the power of imagination can be used creatively or destructively, as we choose to direct it. If we are able to choose.

Unfortunately, our ability to choose is severely diminished by those who educate us to "stop imagining things" and to "face up to reality." What reality? Frequently we are taught to look to authority for truth: the teacher, the priest, the political leader, the scientist. History amply proves that the reality held by authority figures is by no means infallible. Then trust your senses: "seeing is believing." Every course in introductory psychology demonstrates how the senses can be tricked. Then look to consensus: "Ten million Frenchmen can't be wrong." Or look to your feelings: does it feel right? In the final analysis, all of these and all other roads to reality come upon a decisive detour. Imagination lies between the stimulus and the perception.

The illusory power of imagination is immense. Consider the millions of people whose imagination was captured by "Hitlerian reality." Consider the millions whose lives are today molded by the mythology promulgated by Madison Avenue and mass-media. "Imagined versus real" is a very misleading dichotomy, however. Only the individual who is aware of his imagination can know its illusory powers; the individual whose imagination is active and trained can chart a course through illusion toward reality. By contrast, the individual who is passively unaware of his imagination is illusion's easy victim.

### **start imagining things**

Imagination denied is not reality enthroned. Rather than "stop imagining things," look inward, become aware of your imagination, and learn to control it productively. If you are already aware of inner imagery but are unable to control it (if you are prone to drift in cyclic and unproductive daydreams, for example), then learn to extend your awareness into other modes of imagery and, again, learn to direct your imagination toward productive ends.

In the previous section, on seeing, you focused your attention outward; in this section, focus it inward. Consciously alternating between outer and inner awareness brings the differences and relative values of seeing and imagining into sharper definition.

### **what is a mental image?**

A mental image, unlike a perceptual image, can occur without sensory stimulation. It

is essentially a product of memory, seen with the mind's eye. Although virtually everyone experiences mental imagery nightly, in their dreams, ability to see clear mental images in a state of waking consciousness is not shared equally. According to W. Gray Walter,<sup>2</sup> approximately one-sixth of a normal group of people "do not use visual images in their thinking unless obliged to do so. Even then, their mind's eye is almost blind." Another sixth see vivid inner imagery, and the remaining two-thirds "can evoke satisfactory visual patterns when necessary."

Although ability to think in relation to inner visual imagery is not inherited equally, those people who see little or no inner imagery should not be too quick to consider themselves genetically short-changed. It is my contention that almost everyone can learn to experience and use some form of mind's-eye imagery. What needs to be compensated in a majority of educated people is a default in their education.

Contemporary education (here I use the term in its broadest sense) seriously neglects inner imagery in two ways. First, it fails to make the individual aware of his inner imagery. Second, it affords little opportunity for him to develop this inner resource. Bats and fish, forever confined to dark places, evolve blind eyes. The human mind's eye must also be used or it too goes blind.

Awareness of inner imagery can be enhanced in several ways. One simple

method, seeing "after-images," is used by psychologists whose subjects are required to use mind's-eye imagery in experiments. Although an after-image is a retinal effect and not a mental image of the sort seen in dreams, it has the virtue of being available to everyone with eyesight. The individual who claims to see no inner imagery can usually see an after-image, and from this experience of what a mental picture is like he can begin to understand the condition of inner attention that enables the mind's eye to see true mental images.

#### 14-2 / after-image

1. From bright green paper, cut out the silhouette of an elephant. In the center of it, place a black dot. Put the silhouette on a dark background, in a well-illuminated place.
2. Without wavering, stare at the black dot while slowly counting to ten.
3. Look up to a plain wall and see a large pink elephant. Or close your eyes and see a small one.\*

#### Alternatively:

1. Look at the center of the Shri Yantra Mandala in Figure 14-1.
2. "Breathe easily, *in* through the nose—flaring the nostrils. *Out* through the mouth—6 times—slowly.
3. "Close your eyes and focus them up into the middle of your forehead. The emerging after-image resembles the Central Sun. Use your will to brighten the colors."

\*Try flashing your green elephant or a similar image with a T-scope on a darkened screen (see Chapter 10). In this method, the after-image will often be the same color as the projected stimulus.

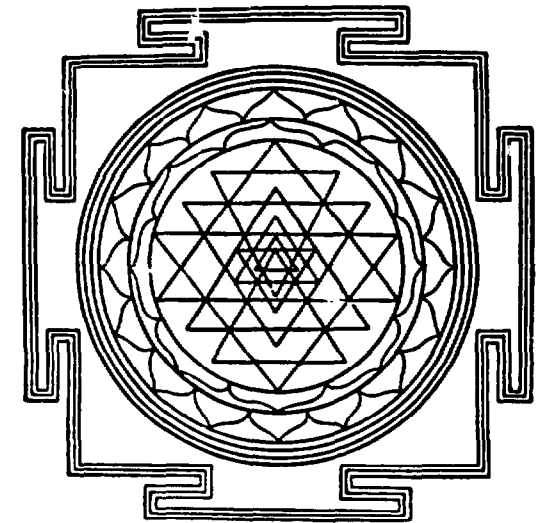


Figure 14-1.

Be sure to repeat the after-image experiment until you are able to see one. Alan Richardson<sup>4</sup> writes that "As all dreaming involves the presence of images and as all people probably dream, at least this form of imagining would appear to be a common human capacity. It is not, however, a common human ability, and training may even be necessary for some people before they become aware of their after-images . . . Failure to become aware of imagery is due chiefly to inattention to, and consequent lack of familiarity with, internal channels of stimulation."

After being sure that you have seen an after-image, you can take a next step toward increased awareness of your inner imagery by attending whatever patterns of color appear to your mind's eye when your eyes are closed in a darkened room. These patterns are frequently so muted that they



are easily overlooked. Observes Peter McKellar: "even so acute an introspectionist as Galton candidly admits to having failed to notice it for a very long time . . . 'I should have emphatically declared [wrote Galton] that my field of view in the dark was essentially uniform black . . . I have found that this is by no means the case, but that a kaleidoscopic change of patterns and forms is continually going on. I am astonished at their variety.'" McKellar elaborates further that "These luminous visual occurrences have been given various names including 'luminous dust,' 'idio-retinal light,' 'phosphene,' and 'Eigenlicht.'"

### **14-3/luminous dust**

Close your eyes in a pitch-dark room. Tightly "squinch" your eyelids, but *don't* rub them. Become aware of the luminous patterns, however vague, that appear before your mind's eye.

Although science has not yet discovered how and where mental images are formed, evidence indicates that they depend on processes both within the brain and within the retina of the eye. One hypothesis is that the eye supplies amorphous phosphene patterns that the brain organizes into meaningful mental pictures. In Chapter 10 you experienced the phenomenon of projection, in which meaningful images are seen in amorphous cloud forms or swirls of fingerpaint. Similarly, meaningful mental pictures can be projected on the phosphene patterns that occur before your mind's eye.

### **14-4/phosphene projections**

Repeat the previous internal viewing of luminous dust, this time finding pictures suggested in the phosphene patterns. (See also Exercise 10-4/da Vinci's Device.)

### **conditions that foster inner imagery**

If your mind's eye has not yet been aroused, don't be impatient or disappointed. The chapters that follow present a variety of exercises; one of these may prove to be your mind's-eye opener. Practice is also essential: if your organ of inner vision has been long dormant, repeated effort may be necessary to reawaken it.

Further, inner imagery is fostered by the following conditions:

1. A quiet environment: Inner stimuli, often fragile, are easier to attend in an environment in which external stimuli (such as distracting noises or interruptions) are absent.
2. Motivation: Attention follows interest; you will more likely see mental pictures when you are motivated to do so (but not overmotivated).
3. Relaxed attention: Review Chapter 6; a state of relaxed attention is particularly important to inner imagery. Without a certain degree of relaxation, imagery is suppressed. Without a modicum of attention, imagery is random. What is needed, particularly in the eye muscles, is "optimal tonus."
4. Find the locus of your imagery: For some people, inner imagery is "out

there," in front of the eyes. For others, mental pictures are experienced in the middle of the forehead or in the back of the head. Some people see mental pictures more readily with their eyes open, others with eyes closed. Experimentation will help you to find the locus of your imagery.

Other means are also known to elicit visual imagery. Hypnotic and psychedelic experiences, for example, are frequently accompanied by enhanced inner imagery. Photoc stimulation—looking into a flashing strobe light synchronized with the alpha rhythms of the brain—commonly elicits mental pictures. Sensory deprivation experiments, in which the subject is deprived of all sensory input for a long period of time, often cause visual hallucinations. In this book, I will present other means to elicit imagery, means that do not require expert supervision and are well within the safe grasp of the reader.

### **clarity and completeness**

Many people who claim that they don't see mind's-eye imagery may actually be expecting too much. Writes Osgood<sup>6</sup>: "Images are generally less clear, stable, and saturated than perceptions." And Bartlett<sup>7</sup>: "A visual image may be of every stage of completeness, from the most fleeting fragment to the most literal reinstatement."

Indeed, vivid and clear imagery is frequently not desired in visual thinking. Mental operations that involve abstraction, flexible manipulation, and creative synthe-

sis can actually be obstructed by detailed mental pictures. Visual memory, on the other hand, is generally facilitated by clear imagery, as is the visualization of concrete ideas.

The following experience—an adaptation from a psychological test—will permit you to assess the vividness of your visual imagery at the present time. Subsequent experiences are intended to help you intensify and clarify your imagery. In the next chapter, for example, you will find that imagery is intensified when all sensory modes are brought to play. Test yourself now. After you've exercised your mind's eye-ear-nose-etc. for several months, test yourself again.

#### **14-5/clarity of mental imagery**

Translate each of the following descriptions into a mental image. As you do, rate its clarity according to the following scale:

- C = Clear
- V = Vague, but recognizable
- N = No image at all

Can you visually imagine:

1. A familiar face.
2. A galloping horse.
3. A rosebud.
4. A body of water at sunset.
5. Your bedroom.
6. The characteristic walk of a friend.
7. A table laden with food.
8. A changing stop light.
9. The moon through clouds.
10. A newspaper headline.

The following descriptions are intended to evoke other modes of sensory imagery:

1. The sound of rain on the roof.
2. A bird twittering.
3. The voice of a friend.
4. Children laughing at play.
5. Thunder.
6. The feel of soft fur.
7. The prick of a pin.
8. A cold shower.
9. An itch.
10. A soft breeze on your face.
11. The muscular sensation of running.
12. Of sitting down in a comfortable chair.
13. Of kicking a can.
14. Of drawing a circle on paper.
15. Of reaching toward a high shelf.
16. The taste of a lemon.
17. The taste of black pepper.
18. The taste of salt.
19. The taste of toothpaste.
20. The taste of a green onion.
21. The smell of bacon frying.
22. The smell of a gardenia.
23. The smell of perspiration.
24. The smell of burning leaves.
25. The smell of gasoline.
26. The sensation of hunger.
27. Of extreme fatigue.
28. Of a cough.
29. Of coming awake.
30. Of radiant well-being.

#### **controllability**

Ability to control imagery is far more important to visual thinking than ability to conjure clear mental pictures. In the next experience, you will learn how well you are currently able to control the activity of your mind's eye. As before, test yourself again several months from now. Exercises such as those in "directed fantasy" (Chapter 17) should improve your ability to control your imagination.

#### **14-6/control of mental imagery**

Taking your time, translate each of the following descriptions into a mental image. As you do, rate your ability to control the image according to the following scale:

- C = Controlled the image well
- U = Unsure
- N = Not able to control the image

1. A rosebud, very slowly blooming.
2. An airplane propeller, rotating clockwise as you face the airplane, then rotating counterclockwise.
3. A stone dropped into a quiet pond; concentric ripples forming and expanding outward.
4. A gray kitten that turns blue, then green, then purple.
5. A red apple hanging on a tree and then regressing in time, becoming greener, smaller, eventually transforming into an apple blossom.
6. This book flying away, high into the blue sky, finally disappearing.
7. A car crashing head-on into a giant feather pillow.
8. The previous image in reverse motion.
9. A table gently floating to the ceiling, unaided, and turning upside down on the way.
10. Your shoe coming apart in slow motion, and each piece drifting away into space.
11. Your chair coming alive and carrying you into the next room.
12. An orange being cut into five equal pieces and the pieces being arranged in three different patterns.

Don't be disappointed if you did poorly on these tests. Just as you would not expect to pass any test that covered material you haven't used for years, don't expect sudden mastery in sensory imagination if you haven't been using it. Instead, practice the exercises for the mind's eye in the next few chapters.

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Mardi J. Horowitz's *Image Formation and Cognition* (Appleton-Century-Crofts) is a recent contribution to the literature on imagery. The material ranges from clinical case studies to neurobiological research.

# 15

## visual recall

### memory and thinking

Memory is not only useful for finding misplaced objects, recognizing long unseen friends, recollecting facts, and remembering the good old days. Memory is essential to thinking. "Thinking," writes Bartlett,<sup>1</sup> "is the utilization of the past in the solution of difficulties set by the present . . . By the aid of the image, and particularly of the visual image . . . a man can take out of its setting something that happened a year ago, reinstate it with much if not all of its individuality unimpaired, combine it with something that happened yesterday, and use them both to help him to solve a problem with which he is confronted today."

### recall

The mental operation of visual memory, as suggested in Chapter 2, is but one of a number of visual-spatial operations. In this chapter, I will call memory of visual images "visual recall" to distinguish it from thinking operations that combine and transform visual memory images into new ideas. Your ability to remember past sensory experience was tested in the preceding chapter. If this ability was less than you desired, this chapter may help you to improve it.

Visual recall can be short-term or long-term. Briefly experience each of these forms in the next two exercises.

### 15-1 / memory for doodles

1. On a sheet of newsprint, scribble a simple, nonrepresentational doodle.
2. Cover the doodle with your hand and draw your short-term memory of it.

### 15-2 / childhood home

From long-term memory, draw a front view and floor plan of a childhood home.

### forgetting

A striking characteristic of long-term visual recall, as you may have just experienced, is how much is apparently forgotten. Schachtel observes that most adult memories of childhood border on total amnesia. Remembrances of the good old days are commonly infused with wishful fantasy. Looking back, we confront gaping holes in our memory that we patch up as best we can.

Forgetting does not mean that past experience is lost, however. Scientific experiments reveal that people possess images in memory that are far more accurate than the ones they are able to recall consciously. "Hypermnesia" is the psychological term for extraordinary acuity of recall. Lawrence Kubie<sup>2</sup> describes an experiment involving "hypermnesia under hypnosis" in which a subject is "brought into a strange room for a few minutes. When asked subsequently to list every item that he has seen, he will reproduce 20 or 30 items. Thereupon under hypnosis he will go on to reproduce another 200 items." Penfield, in a series of remarkable experiments involving direct electrical stimulation of the brain tissue, elicited detailed and vivid memory playbacks that had the force of actual perceptions.

Hypnosis and electrical stimulation of the brain, admittedly unusual methods of memory retrieval, strongly suggest that forgetting is not a failure of storage so much as

it is a failure in methods of retrieval. By your own experience, however, you may be aware of other important factors involved in forgetting. Do you, for example, tend to quickly forget experiences that bore you and to remember more readily experiences that engage your interest?

### **eidetic imagery**

Although most adults experience clear visual imagery only in occasional dreams, a rare class of individuals are able consciously to recall images that are exceptionally clear and accurate. These vivid mental pictures are called "eidetic images."

What is an eidetic image like? Eidetikers report memory images that are very much like perceptual images: they are clear, bright, and detailed, and can be scanned much as one scans an actual scene or a photograph. The eidetic image, often unfaded years after the initial perception, is not truly "photographic," however. Like perception, eidetic recall is subject to inaccuracy; the eidetic image is scanned selectively, according to the viewer's needs and interests.

Few would deny the value of eidetic recall. McKellar<sup>3</sup> reports the introspection of an eidetic student: "I was fifteen. During an exam, I 'saw' my chemistry book. I mentally opened it, turned over the pages and 'copied' the nitric acid diagram into my exam paper." In addition to its obvious utility in memory tasks, eidetic imagery can also play a role in productive thinking. Tesla, as reported in Chapter 1, thought by eidetic images to invent the A.C. gener-

ator. Eidetikers are commonly able to manipulate and to fantasize their vivid imagery.

What is known about this phenomenon of acute visual recall? Studies of eidetic imagery are rare and contradictory. Jaensch,<sup>4</sup> in an early investigation, found that approximately 60 percent of the children he studied experienced eidetic imagery, as opposed to only 7 percent of the adults. The Habers,<sup>5</sup> in a recent study, found only 20 eidetic children after screening 500: 4 percent, compared to Jaensch's 60 percent. Walter,<sup>6</sup> using an electroencephalograph, found that the brainwaves characteristic of vivid visualizers among adults are largely absent in children, a finding that led him to speculate "that children only learn later to think in visual terms." The findings of Jaensch and Walter are diametrically opposed. The difficulty in such studies of inner imagery, as the Habers point out, is the investigator's inability to observe what his subjects are imagining.

Although studies of eidetic imagery are inconclusive, Richardson<sup>7</sup> observes that "the trend of these observations still provides some support for the traditional view that eidetic imagery is part of a more general mode of concrete functioning which in the normally developing Western child disappears as he enters on his high school education." As schooling advances, "language is used more and more to compress, to represent, and to express experience." Language labeling is presented in the classroom as mentally economical, Richardson continues: "To re-see, re-hear, and re-feel the experience is uneconom-

ical. Under these conditions it is perhaps not so surprising that the ability to use eidetic imagery in those who once possessed it begins to wither away from lack of use."

Gardner Murphy,<sup>8</sup> considering atrophy of mental functioning from a neurological viewpoint, suggests that "cultivation of imagery is in part the physiological cultivation of cortical functions." Uncultivated cortical functions diminish in strength when they are not used, much as muscles weaken when they are not exercised. Conversely, the imagery center of the brain is responsive to exercise. Imagery that has been allowed to wither away from lack of use can at least be partially regained by "visual calisthenics."

### **memory and seeing**

"People who look at things without seeing them," writes Frederick Perls,<sup>9</sup> "will experience the same deficiency when . . . calling up mental pictures, while those who . . . look at things squarely and with recognition will have an equally alert internal eye . . ." By this rule, a primary by-product of the experiences in the previous section on seeing should be an enhancement of visual imagination. The converse is also important to note: people whose visual memory is acute usually see more fully than those whose memory is not. Memory and seeing are mutually reinforcing. They enhance each other, as you will see in the next exercise in short-term visual recall, suggested by Aldous Huxley in *The Art of Seeing*.<sup>10</sup>

### 15-3/Flashing

1. Look at a scene in your environment—a wall, for example. See it as an overall pattern (Chapter 10) and also see it analytically (Chapter 11). Shift your attention from point to point; follow outlines and count the salient features.

2. "Then close your eyes, 'let go,' and conjure up the clearest possible image of what you have just seen.

3. "Re-open the eyes, compare this image with reality, and repeat the process of analytical looking.

4. "Close the eyes, and once more evoke the memory image of what you have seen. After a few repetitions, there will be an improvement in the clarity and accuracy both of the memory image and the visual image recorded when the eyes are open."<sup>10</sup>

The experience of "flashing" utilizes two important principles. First, it asks you to see attentively and analytically. Neisser<sup>11</sup> observes: "to construct something attentively is to see it clearly. Such objects can then be remembered; that is, they can be reconstructed as visual images." Second, flashing utilizes a form of short term memory that Neisser calls "iconic memory." The iconic image is available to virtually everyone who will focus his attention inward directly after a flash of seeing; it is a kind of mental snapshot available "for a second or so after a single brief exposure."

Huxley points out that the flashing experience "teaches a proper coordination between the mind and its sensing apparatus. Too many of us spend altogether too much of our time looking at one thing and thinking of another—seeing just enough to avoid running into trees or under buses, but at the same time daydreaming so much . . . [that we consciously] perceive almost

nothing." Elaborating on this notion, Huxley advises daydreamers to close their eyes so that sensory and imaginative visual functions cannot operate divisively. And he counsels thinkers who work with their eyes open to use their eyes "to do something relevant to the intellectual processes going on within the mind. For example, write notes which the eyes can read, or draw diagrams for them to study. Alternatively, if the eyes are kept closed . . . let the inward eye travel over imaginary words, diagrams, or other constructions relevant to the thought process which is taking place."

An experience that further utilizes Huxley's advice is memory drawing:

### 15-4/memory drawing

1. As in the previous exercise, "flash" an object or scene several times.

2. Then look only to your paper and remember, by drawing, the memory image of what you've seen. Don't look back: draw strictly from memory.

3. Now compare the graphic image with the perceptual one.

### cognitive structures

Now let's consider long-term memories. Are old mental pictures stored in the brain much as photographs are pasted into an album? According to recent psychological theory, mental pictures are not stored at all. What is stored is the *process* by which each image was initially perceived. Psychologists call the receptacle for this process a "cognitive structure." Cognitive structures are not like a cabinet of drawers;

they are actively integral with memory. An analogy to videotape may help to clarify.

Videotape is the coated plastic ribbon upon which television images are stored. Unlike camera film, videotape does not store actual pictures; instead, it stores an electronic process somewhat similar to visual scanning. Videotape reproduces a television picture only when it is played back on a videotape machine. In the playback, the machine rescans the moving videotape. When this rescanning operation is exactly the same as the original scanning, an accurate remembering occurs. When the rescanning process is not synchronous with the initial scanning, however, the result is an imperfect or blank image.

Cognitive structures are analogous to videotape in that they store a process, not a picture, and are accurately remembered only when the initial storing process is correctly reactivated. I am tempted to carry the analogy somewhat further by imagining a videotape library in which similar tapes are stored together, just as similar memories, or cognitive structures, are stored together in the neural networks of the brain. But at this point the analogy to videotape breaks down. A videotape library stores tapes separately; information on one tape cannot merge with information on another. Not so with cognitive structures; memories easily merge. Further, human playback is not machine-like. Humans do not rescan their cognitive structures with routine precision but with needful selectivity that varies from day to day. This variability makes man creative and machines

not. It also makes man less reliable at remembering than machines.

Which is not to say that human memory is not indexed. Cognitive structures are indexed and cross-indexed in the brain in incredibly complex fashion. The most commonly used indexing scheme for cognitive structures is language. As you read, listen, or talk, you use the indexing scheme of your mind with incredible rapidity. Earlier, upon reading the words "childhood home," for example, you immediately had access to at least some portion of the related cognitive structure. Had I been able to give you an evocative and concrete verbal description of your childhood home, you would of course have had access to even more.

Words can influence visual recall both positively and negatively. By the stereotyped use of words, "the memories of the majority of people come to resemble increasingly the stereotyped answers to a questionnaire, in which life consists of time and place of birth, religious denomination, residence, educational degrees, job, marriage, number and birth dates of children, income, sickness, and death."<sup>12</sup> Several nonverbal exercises in Chapter 8 are intended to help you break the negative hold of stereotyped labeling on your "indexing" of experience. Exercise 11-4/*Verbal Seeing* suggests how language can be used positively toward the same objective; see also the exercises in "relabeling" in Chapter 8. The following exercise suggests how you can use reading to exercise and enliven vivid sensory recall—another positive use of language.

### **15-5/mind's-eye reading**

Whenever you read, simultaneously translate the verbal description into full polysensory imagery. For example, when reading a news item, visualize people, locale, and sequence of events; use your other senses as well: hear sounds, smell odors, and so on. A well-known speed-reading course advises students to scan the words of novels while simultaneously seeing the plot unfold in an internal cinema of sensory imagination. Image-laden poetry is an especially rich vehicle for mind's-eye reading.

### **relaxed, multimodal retrieval**

As remarked earlier in this chapter, experiments in hypnosis and electrical brain stimulation suggest by their startling results that accurate recall is strongly related to retrieval methods. The concept of cognitive structures also lends credence to this view, as the following three experiences demonstrate.

### **15-6/apple 1**

1. Close your eyes and recall an apple in your mind's eye. (Don't read further until you've tried to visualize the apple.)
2. After a minute or so, open your eyes and ask yourself: "Did I see a colored apple? Was it a specific apple? What was the apple resting on?"

Most people, when attempting to recall an apple, either experience blank or inobedient imagery or a stereotyped red apple that floats in space. Now consider the rationale for a retrieval method that will enable you to recall more vivid and complete memory images. I will call this method "relaxed, multimodal retrieval."

Why relaxed retrieval? When consciousness is relaxed, as it is in hypnosis, for example, long-term memories are more readily recalled. Indeed, a common hypnosis demonstration is "age regression," in which the subject is returned to an experience he had when he was a child or infant. Vividly reliving the memory, the subject frequently performs astonishing tasks of recall. For example, an adult raised in Germany until he was 9 and then brought to the United States was regressed to childhood; in the regressed hypnotic state, he remembered his long-forgotten German tongue and, in fact, refused to talk to the hypnotist in English.

The importance of relaxed attention (see Chapter 6) to visual recall can be explained in terms of cognitive structures. Unlike videotape, cognitive structures encode information in every sensory mode and in the mode of feeling. Much of this intersensory and feeling input is assimilated subconsciously. When you last munched an apple, for example, were you fully conscious of its nuances of color, flavor, scent, coolness, crispness, and texture? Likely not. More probably, you were talking to someone or thinking of something else. If my assumption is correct, your image of an apple in the previous exercise was as lacking in sensory detail as your usual conscious experience of apples. As with videotape, cognitive structures can be replayed accurately only in the same mode that they were recorded. Thus you must relax consciousness to replay memories partially recorded subconsciously.

Memory retrieval is also enhanced when recall is multimodal—that is, when all sen-

sory modes of imagination and the mode of feeling are called into the playback. Thus Perls writes that imagining involves "more than just visualizing pictures. If you visualize a landscape you can [envision] all the details: the trees, the shadows, the grazing cattle, the fragrant flowers. But you must do more. You must walk in it, climb the trees, dig the rich brown earth, smell the blossoms, sit on the shadowed grass, listen to the birds singing, throw stones in the stream, watch the bees about their busyness! . . . This sensomotoric approach, especially that of touching . . . will develop your sense of actuality and will bring about that eidetic memory (identity of perception and visualization) which in dreams themselves is always present." To Perls' advice, I would add that the mood or feeling of the imaginative experience, if not evoked naturally by the sensory imagery, should also be elicited.

Before trying the next experiment, find a quiet place, sit down, and relax. Or more correctly, relax attentively. Patient practice will teach you the balance of relaxation and attention that allows you to elicit clear inner imagery. Now try a relaxed, multimodal approach to visual recall.

### **15-7 / apple II**

1. Close your eyes and relax; direct your attention inward.
2. Imagine yourself in a familiar setting in which you would enjoy eating an apple. Relaxedly attend the sensory detail and mood of this place.
3. Now imagine that in your hand you have a delicious, crisp apple. Feel the apple's coolness; its weight; its firmness; its round vol-

ume; its waxy smoothness. Explore its stem. Visually examine details: see bruises; the way sunlight sparkles on the facets of the apple form; the way the skin reflects a pattern of streaks and dots—many colors, not just one. Attend this image till your mouth waters.

4. Now bite the apple; hear its juicy snap; savor its texture, its flavor. Smell the apple's sweet fragrance.

5. With a knife, slice the apple to see what's inside. As you continue to explore the apple in detail, return occasionally to the larger context; see your hand, feel the soft breeze, aware of three-dimensionality in form and space.

### **multimodal assimilation**

In our culture, we unfortunately tend to repress much sensory experience, making sensory recall difficult. Instead of "taking in the sights" on your next walk, take in the odors; you will be surprised at how little you ordinarily smell. As discussed in Chapter 11, many people also tend to repress tactile sensations. Nonvisual sensory modes are particularly repressed because they are especially related to feelings of pleasure, disgust, and pain. The olfactory pleasure of perfume (and disgust at the smell of spoiled food), the tactual pleasure of sex (and pain from skin abrasion), the kinesthetic pleasure of dancing (and ache of sore muscles), the auditory pleasure of music (and nerve-jangling noise of the city): these feelings that accompany the nonvisual senses are particularly intense. Because we naturally avoid pain and, obeying cultural strictures, also commonly avoid pleasure, we tend to repress much sensory experience. Sensory experience that is not actively and consciously assimilated is also not readily remembered.

Full sensory experience, especially in the nonvisual sensory modes that are commonly repressed, is vital to full sensory recall. Schachtel<sup>12</sup> points to the special importance of the nonvisual senses to Proust's *Remembrance of Things Past*: "In Proust's account, visual sensations are far outnumbered as carriers of . . . memories by those of the lower, more bodily senses, such as the feeling of his own body in a particular posture, the touch of a napkin, the smell and taste of a flavor, the hearing of a sound—noise or melody, not the sound of words. All these sensations are far from conceptual thought, language, or conventional memory schemata."

Proust's sensory remembrances were acute because his conscious sensory experience was vivid and complete; Proust was a delighted student of sense impressions and feelings. In the next exercise, assimilate an apple as Proust would.

### **15-8 / apple III**

1. Repeat the previous exercise with a real apple instead of an imaginary one. Savor the apple slowly and pleasurefully, with all of your senses.
2. After eating it, recall the apple in all sensory detail.

### **visual mnemonic devices**

Neisser observes that "the most important advice offered by the many practitioners of memory improvement systems [such as Bruno Furst] is to develop detailed and articulate schemata into which new material can be fitted." Furst, for example, teaches people how to remember faces in



relation to a chart that visually classifies types of facial structures. Knowledgeable people in every field develop similar associative frameworks to facilitate recall. Such consciously devised cognitive structures, called "mnemonic devices," are most frequently visual in nature.

The ancient Greeks discovered that an imagined building is an excellent mnemonic device. By picturing items desired to be memorized in various places in the imagined building (for example, the toga that needs mending on the bed, the empty wine jar on the dining table), the memorizer need only tour the building later to recall them. Here is a similar memory device:

### **15-9/one is a bun"**

Memorize the following rhyming list:

One is a bun,  
two is a shoe,  
three is a tree,  
four is a door,  
five is a hive,  
six is sticks,  
seven is heaven,  
eight is a gate,  
nine is a line,  
ten is a hen.

This is a cognitive structure that will enable you to remember a list of ten objects more accurately and quickly than by rote repetition. Say that you want to memorize the following list:

1. ashtray
2. firewood
3. picture
4. cigarette
5. table
6. matchbook
7. glass
8. lamp
9. wristwatch
10. phonograph.

Form a ludicrous or bizarre visual association between each pair of items in the two lists. Three for example: a picture perched in a tree. Or nine: a wristwatch hanging on a clothesline. Try it. Mnemonic devices of this sort are well-proven aids to memory.

For more advanced "mnemonic devices," see such books as Bruno Furst's *Stop Forgetting*.<sup>14</sup>

### **emphasis on exercise**

Just as you could not expect to rebuild a weakened muscle overnight, don't expect to revive atrophied inner sensory imagery without repeated exercise. Re-experience relaxed, multimodal assimilation and retrieval every day, experimenting with new subject matter.

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# 16

## autonomous imagery

### **a primary source of visual imagery**

Autonomous imagery is imagery that is not readily susceptible to conscious control. Exemplified by dream imagery, autonomous imagery also takes several other forms, including hypnogogic and hypnopompic imagery (defined below), daydreams, and hallucination. The purpose of this chapter is to point to the productive and creative nature of this primary source of visual imagery. By becoming more aware of dreams and related forms of autonomous imagination, you open a "mental door" to a primary source of imagery. As suggested in Chapter 3, access to this imagery realm is essential to fully integrated visual thinking.

### **hypnogogic imagery**

Hypnogogic imagery is autonomous inner imagery experienced just before falling asleep. Hypnopompic imagery is similar in character but occurs in the drowsy state of coming awake. About one-third of all adults, and considerably more children, experience hypnogogic imagery at least occasionally. Many people, however, have never heard of it. Knowing what hypnogogic imagery is like, and understanding the conditions that favor it, frequently allows hypnogogic imagery to be experienced for the first time, or for it to be experienced more regularly.

What is hypnogogic imagery like? It is, first of all, stubbornly autonomous. The viewer who attempts to "write the script" of this internal cinema will find he cannot. Hypnogogic imagery ceases when control is

attempted. Efforts to scrutinize this imagery actively, to count the number of windows in the hypnogogic image of a building, for example, will usually dispel the image.

Hypnogogic imagery occurs in all sensory modes, although visual and auditory imagery are most common. In the auditory mode, the imager often hears distinct voices (sometimes his own) or music. In the visual mode, the imager sees a kind of Technicolor surrealism. Colors are "more real than real"; color of grass is "greener than any grass." Detail is also sharply focused and can be acutely distinct.

The content of hypnogogic imagery is extraordinarily varied. Frequently, initial imagery consists of fields or clouds of color, geometric patterns, or life-like pictures that reflect recent periods of prolonged visual stimulation. After picking weeds in the garden, the imagery may be of plant forms. After hours of driving, the imagery may be of oncoming cars. These are not faithful memory images; memory is infused with fantasy and idealization.

Most hypnogogic content consists of dream-like fantasies whose novelty is a source of surprise to its possessor. "The surprise may be a little one," writes Van Dusen, "where one can see the associative link. Or it may be like a dream where the message of the inner is not really understandable." (For an excellent discussion of the metaphorical language of hypnogogic imagery, see Van Dusen's article in *Person To Person: The Problem of Being Human*.)

A number of artists—Richard Wagner, Edgar Allan Poe, Max Ernst, and Lewis Carroll, for example—have reported that they have utilized hypnogogic imagery as source material. In a letter to Peter McKellar, author Ray Bradbury<sup>2</sup> wrote: "Quite often I do discover some precious good material in the half-awakened, half-slumber time before real sleep. Quite often I have forced myself completely awake to make notes on ideas thus come upon."

Harold Rugg, in *Imagination*,<sup>3</sup> observes: "I find that there are, for me, two specially favored hypnoidal periods . . . One, almost daily in my experience, is during the period of slow awakening from an early afternoon sleep. The other . . . is a period of awakening from a deep sleep in the middle of the night . . . The hypnopompic state is especially favored. Then, as at no other time, all environmental pressures are off; not only is the body in repose, the mind is relaxed, too . . . The content of the imagery is succinctly conceptual, has crystal clarity, is marked by sharpness and brevity. Ideas flow in, one after another . . ."

Hypnogogic and hypnopompic imagery can be cultivated. The favorable conditions are essentially these:

### **16-1 / hypnogogic imagery**

1. Just before you fall off to sleep, consciously relax your body into a state of deep muscle relaxation (see Exercise 6-7). The hypnogogic state favors relaxation almost to the point of falling asleep. When deeply relaxed, your body will be "but vaguely felt, and even more so the contact with the bed sheets and mattress. The spatial position of the body is but poorly localized. Orientation is confused. The perception of time is uncertain."<sup>4</sup>

2. Concurrently, relax your organs of inner speech; silence verbal thinking about events of the day. Allow your mind to become quiet.

3. Also relax your eyes. Paradoxically, you cannot look for a hypnogogic image. Indeed, conscious focusing and scanning (of the sort that normally accompanies perception) will cause hypnogogic imagery to recede: "In order to prolong the phenomenon, a certain 'absence' of voluntary attention is necessary, as in the case of its generation."<sup>4</sup>

4. If you readily fall asleep, "keep your arm in a vertical position, balanced on the elbow, so that it stays up with a minimum of effort. You can slip fairly far into the hypnogogic state this way, getting material, but, as you go further, muscle tonus suddenly decreases, your arm falls, and you awaken suddenly."<sup>5</sup>

5. Record your hypnogogic experiences (see Exercise 16-3) as soon as possible after they occur; they fade from memory rapidly. Some experimenters have found it possible to record ongoing hypnogogic experience into a tape recorder.

Hypnogogic intervals are characteristically short, but can be prolonged with practice. What has been said of hypnogogic imagery also holds for hypnopompic imagery, except that reveries experienced upon waking are often influenced by the content of a previous dream.

### **daydreams**

Entrance to the "hypnogogic cinema" is purchased by relaxed willingness to retreat from sensory input and to entertain inner thoughts and feelings. People who have rigid defenses against impulse life tend to resist such an excursion inward. The opposite is the case of the daydreamer who commonly prefers inner reality to the outer reality of here-and-now. The daydreamer

has ready entrance to the hypnogogic cinema, and indeed frequently calls hypnogogic experience daydreaming.

Not all daydreaming possesses the autonomous spontaneity of hypnogogic imagery, however. The scenarios of many daydreams are enormously predictable; they are "the reverse of a present frustration," writes Frederick Perls.<sup>6</sup> "If broke, we fantasy winning the sweepstakes. If jilted, we wallow in fantasied revenge." The repetitiveness of these compensatory daydreams testifies to their inability to solve problems. While the active visual thinker directs his fantasies toward expression in reality, the compensatory daydreamer escapes from reality into fantasy, where he cycles passively and endlessly.

Not all daydreaming falls under the heading of escape-to-fantasy, however. Many visual thinkers use a form of daydreaming to think productively. This form of daydreaming possesses the paradoxical characteristic of "purposeful purposelessness."

### **16-2 / lazin' down the river**

In a quiet setting, relax and introduce a subject to your mind upon which you would like to think productively. Don't consciously direct your imagination (as you will in the next chapter). Instead, passively and gently follow your thought-stream wherever it may go.

### **dreams**

As suggested in Chapter 3, making fuller contact with dreams is important to creative visual thinking. Psychologists Stanley

Krippner and William Hughes<sup>7</sup> have collected the introspective accounts of a number of well-known thinkers who have experienced creative insights in their dreams. They relate the following dream experiences: Physicist Niels Bohr, in a vivid dream, "saw himself on a sun composed of burning gas. Planets whistled as they passed him in their revolutions around the sun, to which they were attached by thin filaments. Suddenly the burning gas cooled and solidified; the sun and planets crumbled away." This dream led Bohr to conceive a model of the atom that had enormous influence on atomic physics. Robert Louis Stevenson "discovered that he could dream complete stories and even go back to them on succeeding nights if the end was unsatisfactory." Pharmacologist Otto Loewi received a Nobel Prize for a discovery that occurred in a dream after many years of ruminating on a problem concerning the effect of nerves on heart function. When the dream first occurred, Loewi wrote it down and went back to sleep. The next morning he was unable to decipher his notes. That night he had the same dream again, awakened, and this time went directly to his laboratory where he made an experiment that verified his dream. Inventor Elias Howe, after years of abortive attempts to develop a sewing machine, dreamt that "he had been captured by savages who dragged him before their king. The king issued a royal ultimatum: if within 24 hours Howe had not produced a machine that would sew, he would die by the spear. Howe failed to meet the deadline and saw the spears slowly raise, then start to descend. Suddenly, Howe forgot his fear as he noticed that the spears all had eye-shaped holes in their tips." Howe awak-

ened and, realizing the eye of the needle of his sewing machine should be near the point, rushed to his laboratory and soon confirmed the idea. Another famous inventor, James Watt, invented a process for making lead shot for shotguns in a dream in which "he seemed to be walking through a heavy storm; instead of rain, he was showered with tiny lead pellets." Watts, correctly interpreting his dream "to mean that molten lead, falling through the air, would harden into small spheres," thereupon revolutionized the lead-shot industry.

Krippner and Hughes refer to many other well-known men who used dream material in their work: Goethe, William Blake, Edgar Allan Poe, Voltaire, Dante, Shelley, Tolstoy, and Coleridge, among writers; Mozart, Schumann, Saint-Saens, and Vincent d'Indy, among composers; Descartes, Condorcet, and Cardan, among mathematicians—and so on. The list of creative dreamers is long and prestigious, and the accounts of creative dreaming hold much in common. We can be quite sure that the phenomenon exists.

How can you experience a creative dream? The initial step toward this goal is to come into better contact with your dreams. Sleep psychologists have shown that everyone has, according to a regular pattern, four to six dreams every night. In a sleep laboratory, periods in which the sleeping subject is dreaming are recorded on an EEG machine; consequently, the dream investigator knows when his subject is dreaming and can wake him toward the close of the dream. In this laboratory situation, most dreams are remembered. In the normal sleeping conditions, however, many more

dreams are forgotten than remembered. Without bringing scientific instrumentation into your bedroom, how can you remember more of your dreams?

One way to remember more of your dreams is to contrive to sleep fitfully: overeat, for example, and your intermittent awakenings during the night will coincide with the close of more dreams than usual, and you will likely remember more of your dreams. But this is a drastic measure. A more effective way to make contact with the approximately 90 minutes of dreaming that you experience nightly is to keep a dream diary.

### **16-3 / dream diary**

1. Just before you fall asleep, repeat the following phrase to yourself several times: Tonight I dream; when I awake I will remember my dreams.
2. "When you first awaken in the morning, lie quietly before jumping out of bed. Let your mind dwell on the first thing that comes up. Do not allow daytime interests to interrupt. Your first waking thoughts may remind you of the contents of your last dream before awakening and allow you, with further practice, to remember more and more details of the dream."<sup>8</sup>
3. Keep a notebook next to your bed in which to keep a diary of your dreams. When you have a particularly vivid dream, also make a sketch of it in your diary. A bedside tape recorder is handy for recording middle-of-the-night dreams; the tape can be translated into the written record of the diary the next morning.
4. Most important, keep the diary daily.

As you accumulate dreams in your dream diary, you will notice that you remember more of your dreams. You will also find new

interest in the content of your dreams. Collected together in your diary, repeating or unfolding themes will be especially evident. Although dream interpretation is important to the process of making the unconscious conscious, decoding the metaphorical language of the dream involves knowledge and skills well outside the scope of this book. I can only recommend that the reader avoid dogmatic interpretations of dream symbols and see the references at the end of this chapter for a short list of recommended books on the subject of dreams.

Another way to attract dreams to the fore of consciousness is to discuss them with others. Kilton Stewart<sup>9</sup> describes the Senoi tribe of the Malay Peninsula: "Breakfast in the Senoi house is like a dream clinic, with the father and older brothers listening to and analyzing the dreams of all the children." The Senoi not only share their dreams, they also use them as a basis for social interaction: if a Senoi dreams of attacking someone, he must "apologize to them, share a delicacy with them, or make them some sort of toy. Thus . . . the tensions expressed in the dream state become the hub of social action in which they are discharged without being destructive." By contrast with the Senoi, our society is close-lipped on the subject of dreams. Another contrast with our society: the Senoi claim that they have not experienced violent crime, war, or serious mental illness for over two centuries.

Senoi children are also taught how to direct their dream experiences. If a child "reports floating dreams . . . he is told he must float somewhere in his next dream and find something of value to his fellows."

In other words, the Senoi are taught to dream productively.

### **16-4/ productive dreaming**

1. "Before you go to sleep at night, review the work you have done on a problem or on a question that has frustrated you. Concentrate for several evenings in a row, if necessary. If you have given the problem enough pre-sleep attention, you may find upon awakening in the morning that you can remember a dream in which the possible solution appeared.

2. "Try directing your dream thoughts as you might direct your waking consciousness . . . If your dream seems to be following a negative course, try to reverse it, either in that dream or in a continuation of the dream. If your dream is a positive one, extend it as long as you can and try to derive some use or valuable product from it."<sup>8</sup>

Productive dreaming is strongly favored by long hours of dedicated and conscious effort preceding the dream, as W.I.B. Beveridge points out in *The Art of Scientific Investigation*:<sup>10</sup> "The mind must work consciously on the problem for days in order to get the subconscious mind working on it." The dreamer must also be prepared to unravel the metaphorical language of the dream: Kekulé saw the structure of the benzene ring in the dream symbol of a snake biting its tail; Howe saw the configuration of a sewing machine needle in the symbol of a spear. And not least, the dreamer must be able to move from irrational dream-thinking to the logical, disciplined, and reality-oriented thinking necessary to verify his insight.

### **hallucination**

No discussion of autonomous imagery is complete without some mention of hallu-

ination. An hallucination is an inner image experienced as a perception. Abnormal mental states, such as schizophrenia, are characterized by involuntary hallucinations. Hallucinations can also be induced by hypnotic suggestion, by fasting, by lengthy periods of sensory or sleep deprivation, and by hallucinogenic drugs. Some occupations are hallucination-prone. Truck drivers and jet pilots, for example, report hallucinations that are likely the result of fatigue, monotony, or hypnotic stimuli in the environment.

As dreams can be creative, so can hallucinations; hallucinations are not necessarily delusions to be vigorously avoided. Mystical and visionary experiences frequently have the quality of hallucination; although we commonly deny this kind of experience today, we must nevertheless acknowledge that a visionary such as poet and painter William Blake found much of the beauty that he gave the world in an hallucinatory state of consciousness. "The great physicist Michael Faraday," writes Koestler,<sup>11</sup> "also was a visionary not only in the metaphorical but in the literal sense. He saw the stresses surrounding magnets and electric currents as curves in space, for which he coined the term 'lines of force,' and which, in his imagination, were as real as if they consisted of solid matter. He visualized the universe patterned by these lines—or rather by narrow tubes through which all forms of 'ray-vibrations' or energy radiations are propagated. This vision of curved tubes which 'rose up before him like things' proved of almost incredible fertility: it gave birth to the dynamo and the electric motor."

## **a society of half-thinkers?**

Hypnagogic imagery, daydreams, and dreams are given little attention in our outward-oriented society; similarly, the "visionary" is little tolerated. Our tendency to reject these forms of inner experience may be more sick than sane, however. Kilton Stewart's experiences with the Senoi led him to conclude "that modern civilization may be sick because people have sloughed off, or failed to develop, half their power to think. Perhaps the most important half... In the West the thinking we do while asleep usually remains on a muddled, childish, or psychotic level because we do not respond to dreams as socially important and include dreaming in the educative process. This social neglect of the side of man's reflective thinking, when the creative process is most free, seems poor education."

We need not give up our power to think logically and rationally in order to explore the thinking that occurs in our dreams. Kekulé, after telling his colleagues how he glimpsed the structure of benzene in a dream, advised "Let us learn to dream, gentlemen." "If we learn to understand, to share, and to act on our dreams, perhaps we will also learn to understand our myths, including our current myth that dreaming is socially useless and that the "waking dreams" of the visionary are akin to insanity.

Autonomous imagery is a fundamental source of creative thinking. Let us learn to dream.

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## **additional bibliography**

Jerome L. Singer's *Daydreaming* (Random House) gives a far more thorough-going treatment of this subject than is possible here. The literature on dreams consists of the theoretical and the experiential. Additional theoretical books on dreams are:

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- Foulkes, D. *The Psychology of Sleep*. Scribner's.
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Books that provide a sound experiential approach to dreaming are:

- Assagioli, R. *Psychosynthesis*. Hobbs, Dorman.
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- Martin, P. *Experiment in Depth*. Pantheon.

# 17

## directed fantasy

### controllability

With the important exceptions described in the previous chapter, the productive visual thinker controls his inner imagery, manipulates it, transforms it, and moves it along toward a desired goal. By contrast, the worrier is the passive victim of negative imaginative ventures which he cannot stop, much less direct. In this chapter, you will learn ways to "write the script" of the internal cinema of your mind's eye. You need not see clear mental pictures in order to perform the exercises that follow. Be content with whatever clarity of inner imagery you currently possess, and concentrate on developing your ability to control your imagination.

### imagination games

One way to learn to direct your imagination is by means of "imagination games," such as those suggested by Richard de Mille<sup>1</sup> in his book *Put Your Mother on the Ceiling*. Although written for one person to read to others, de Mille's imagination games are not literary fantasies. Each game casts the listener in the role of an active fantasizer: a participant, not a spectator. De Mille's games, intended for all ages, open up the freedom of possibility inherent in fantasy and challenge each participant to develop his "imaginative muscles." The following imagination game should be played in a quiet environment, free from distraction and interruption; ideally, the game is read aloud to the participants.

### 17-1 / breathing

*Note to the reader of this game:* Ask your listeners to help you obtain a sensitive reading

pace by signaling when they are ready to proceed to the next fantasy (a raised hand or nodded head). Pause at each slash (/) for the fantasy to be fully formed by the listeners. *Note to the listeners:* Loosen tight clothing and relax in a comfortable position.

"This game is called *breathing*.

"Let us imagine that we have a goldfish in front of us. Have the fish swim around. / Have the fish swim into your mouth. / Take a deep breath and have the fish go down into your lungs, into your chest. / Have the fish swim around in there. / Let out your breath and have the fish swim out into the room again.

"Now breathe in a lot of tiny goldfish. / Have them swim around in your chest. / Breathe them all out again. /

"Let's see what kind of things you can breathe in and out of your chest. / Breathe in a lot of rose petals. / Breathe them out again. / Breathe in a lot of water. / Have it gurgling in your chest. / Breathe it out again. / Breathe in a lot of dry leaves. / Have them blowing around in your chest. / Breathe them out again. / Breathe in a lot of raindrops. / Have them pattering in your chest. / Breathe them out again. / Breathe in a lot of sand. / Have it blowing around in your chest. / Breathe it out again. / Breathe in a lot of little firecrackers. / Have them all popping in your chest. / Breathe out the smoke and bits of them that are left. / Breathe in a lot of little lions. / Have them all roaring in your chest. / Breathe them out again.

"Breathe in some fire. / Have it burning and crackling in your chest. / Breathe it out again. / Breathe in some logs of wood. / Set fire to them in your chest. / Have them roaring as they burn up. / Breathe out the smoke and ashes.

"Have a big tree in front of you. / Breathe fire on the tree and burn it all up. / Have an old castle in front of you. / Breathe fire on the castle and have it fall down. / Have an ocean in front of you. / Breathe fire on the ocean and dry it up.

"What would you like to breathe in now? / All right. / Now what? / All right. / What would you like to burn up by breathing fire on it? / All right. /

"Be a fish. / Be in the ocean. / Breathe the water of the ocean, in and out. / How do you like that? / Be a bird. / Be high in the air. / Breathe the cold air, in and out. / How do you like that? / Be a camel. / Be on the desert. / Breathe the hot wind of the desert, in and out. / How does that feel? / Be an old-fashioned steam locomotive. / Breathe out steam and smoke all over everything. / How is that? / Be a stone. / Don't breathe. / How do you like that? / Be a boy (girl). / Breathe the air of this room in and out. / How do you like that?"

"What is the name of this game?"

A more advanced imagination game is "group fantasy," in which fantasy themes are spontaneously generated and shared by several people.

### **17-2/group fantasy**

1. Group size: large enough to provide diversity and small enough to allow each member to participate actively—five is ideal.
2. Environment: quiet, secure from intrusion, and preferably dark.
3. Preparation: one good group configuration is a spoke-like pattern with everyone lying on their backs on the floor, heads toward the center. (This pattern combines relaxed posture with easy communication.) Once comfortably situated, spend several minutes relaxing.
4. When relaxed, a self-elected member of the group tells the others of a fantasy he is having: he describes it as ongoing and in full sensory detail.
5. As in the previous imagination game, each member of the group actively participates in this initial fantasy episode (even joining with appropriate sound effects). Then another

member of the group takes over the role of guide, carrying the fantasy further. Then another member comes forth to lead, and so on.

6. The only rule in the game: no criticism is permitted. Fantasy and criticism are not compatible. The member who cannot contribute constructively should be asked to leave the group.

Challenging imagination games also can be self-devised. "In making up your own games," advises de Mille, "see that the rules of reality are broken as often as they are kept. Water should run uphill. Dogs should meow. Fish should fly." By defying reality, the distinction between reality and imagination is clarified and your ability to control imagination is strengthened.

### **overcoming blocks**

Everyone's imagination has built-in "blocks," defenses against thoughts that evoke fear or anxiety. Unwittingly learned and unconsciously used, blocks are difficult to detect and overcome. Self-generated fantasies usually avoid blocked areas of imagination. De Mille, however, purposefully devised his imagination games to enter the fantasizer into areas of experience that are commonly blocked. A daydreamer, for example, would normally avoid many of the imaginative experiences that you just had in the game entitled *breathing*. Breathing foreign materials into one's lungs, even in fantasy, can provoke anxiety. But de Mille encourages you to try it. You do, you find that fantasy-things in your lungs are not to be feared, and you overcome a small block. In another of de Mille's 30 imagination games, you are directed into a doctor's office: "Have the doctor say he is going to give you a shot.

/ Have him say, 'This won't hurt.' / Have him give you the shot. / Have it hurt." A daydreamer would also normally avoid fantasies of this sort. In directed fantasy, de Mille skillfully leads your imagination into other commonly blocked areas. Do you fear your mother? Put her on the ceiling. Do you have a fear of heights? Put yourself on the ceiling. By experiencing these episodes in fantasy, where no real harm can come, you obtain confidence and freedom in the use of your imagination. Removing blocks to imagination has the effect of opening doors to a marvelous realm that was there all along.

Group fantasy introduces another important and common block to imaginative freedom, the socially induced block. Few adults are able to enjoy the shared imaginative play that is common fare for children. A social situation causes many adults to block their imagination. They fear that their fantasies will appear childish to others, or even somewhat insane. They fear that they will be criticized. Group fantasy provides experience in overcoming blocks to imagination that are essentially social in nature.

While experiencing group fantasy, notice that nothing brings a flight of imagination down more quickly than does criticism. An authoritarian figure in the group who refuses to find merit in fantasies that are not his own quickly smothers the imagination of others in the group. In group fantasy, as in creative social environments generally, permission to be foolish, to be wildly imaginative, to become a child again, must be awarded equally. In an affirmative social climate, every idea has its chance in the



sun, and failed ideas are allowed to die quietly.

When working to remove blocks to your imagination, remember that blocks have a function: you have carefully, though unwittingly, constructed them to defend you against painful experience. When a block is suddenly removed, the individual must often deal with a rush of anxiety-laden experience for which he now has no defense. For this reason, I do not recommend amateur or self-administered "block-busting." Minor blocks can be self-removed by patient and gentle effort. Major blocks should be removed only with expert guidance.

### **step-by-step achievement**

What should you do when you come upon a block that you cannot readily overcome? De Mille suggests a technique for overcoming imaginative blocks which he calls "step-by-step achievement." Suppose that you become anxious when asked to imagine yourself floating up to the ceiling. After reminding you that anything is possible in the world of fantasy (frequently this reminder alone will overcome the block), de Mille would ask you to imagine "a lesser, similar event." In this instance, the first step might be to imagine a balloon floating to the ceiling. Once this easy feat is accomplished in fantasy, progressively more difficult ones are suggested: elevate a book to the ceiling, then a suitcase, a chair, and eventually yourself. "The trick," observes de Mille, "is to find the first, easy step."

Step-by-step achievement can also be used to overcome obstinate imagery. If you

have difficulty changing a blue hat into a red one, just change it step by step: simply add a red button to the hat, then two, and so on, until the hat is red. This system can also be used to overcome extremely difficult blocks: psychologist John Wolpe uses step-by-step visualization as a form of psychotherapy.

In Wolpe's "behavior therapy," the phobic (fearful) patient is first trained in deep muscle relaxation. (As discussed in Chapter 6, relaxation is anxiety-inhibiting.) "Concurrent with this training," writes Wolpe,<sup>2</sup> "we explore the real-life situations that aroused the phobic reaction. We rank the situations in a hierarchy according to how much they disturb the patient. A person with a death phobia, for example, might place human corpses at the top of his list, with funeral processions and dead dogs ranking further down. Then we ask a patient, while he is relaxing, to imagine the weakest item—the one at the bottom of his list. Perhaps this causes some anxiety. Then we ask him to put it temporarily out of his mind and concentrate on relaxing; after about 20 seconds, we ask him to imagine it again. Each time, there is less anxiety, until finally the patient can imagine the scene without anxiety. When the whole list has been treated similarly, it will be found that the real-life situation that created the phobia has also lost its power to produce anxiety."

The fundamental notion underlying hierarchical listing and step-by-step achievement is that anxiety-provoking imagery should neither be avoided nor confronted head-on. To avoid a blocked area of imagination is frequently to avoid imagining;

to experience the blocked emotion fully and directly usually has the same result. By approaching a block slowly, gently, and by means of fantasy, imagination is utilized to expand itself.

### **17-3 / step by step**

To overcome a block that prevents you from directing your imagination:

1. Describe the block in writing.
2. Decide on an image related to the blocked image but much easier to imagine. Decide on several other related images that are increasingly difficult to imagine. Arrange these in a hierarchy that begins with the easy image and progresses in difficulty toward the blocked image.
3. Relax (see Exercise 6-7/ *Deep Muscle Relaxation*).
4. Imagine the easiest (first) image on your list. If you experience anxiety in relation to this image, put it away from your mind's eye, and relax once more. Then try again. Repeat the cycle of imagine-then-relax until you are able to view the first image without difficulty.
5. Go to the next-most-difficult image, and repeat the process until you are able to view this image without anxiety. So on up the hierarchy of images: approach the blocked image step-by-step, gently and patiently.

So far, we have been discussing the inhibitive block that prevents entrance to an area of imagination. Now consider the compulsive block: imagination can also be blocked by a persistent train of images that will not give way to another line of thought. Wolpe suggests two ways to deal with undesired, persistent imagery: "One is 'thought stopping.' The patient puts a recurrent and anxiety-producing train of thought into words. Suddenly the therapist

shouts: Stop! We show the patient that his thoughts, in fact, do stop, and eventually he can stop thought on his own." The other method is quite the opposite: "We 'flood' a patient with strong anxiety-eliciting stimuli until his original anxiety is extinguished." Both methods can be self-administered.

### **17-4 / stop!**

Unable to stop or alter imagery:

1. Verbalize the scenario of the image, then snap your fingers and order it to "Stop!"
2. Then concentrate on here-and-now sensory reality. (An excellent way to stop insomnia-producing fantasies is to attend to here-and-now body sensations such as progressively relaxing muscles.)

### **17-5 / flood**

Presented with persistent imagery:

1. Create "more images of the same kind, increase the supply, alleviate the scarcity, and thus reduce the demand."<sup>1</sup>
2. Move closer to the imagery, then further away; examine it in great detail and from every angle, until the mind is exhausted.

### **self-directed fantasy**

Once you have the feel of directed fantasy, and a sense of how to encounter inobedient imagery, you can exercise controllability in many ways. Play imagination games with your environment. (I just ordered my coffee cup to grow wings and fly acrobatic maneuvers overhead.) You can direct your fantasies to change direction rapidly (have a new fantasy every half minute) and then to stop altogether. Worrying is an excellent

reminder to develop your skill at directed fantasy. Whenever you find yourself worrying, apply a bit of "imaginative muscle" to this negative form of fantasy, and turn it around.

### **17-6 / worry-in-reverse**

Come into control of passive, negative worry and actively direct it into a productive form of fantasy. Worrying about a possible failure, envision the pleasurable opposite. Worrying that you'll miss a deadline, direct yourself in fantasy to the enjoyable experience of meeting it on time. Then, in a final test of skill, order your fantasies to stop, and direct your attention toward experience of the here-and-now.

"Man becomes great to the extent that he controls his imagination," writes Rolf Alexander,<sup>3</sup> "and impotent to the degree that his imagination controls him."

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### **additional bibliography**

Roberto Assagioli's *Psychosynthesis* (Hobbs, Dorman) suggests a number of directed fantasy themes that are psychotherapeutic, even spiritual, in intent. Joseph Wolpe, in *The Practice of Behavior Therapy* (Pergamon Press), presents, by means of a number of fascinating case studies, the use of fantasy and deep relaxation to desensitize phobia. The student of Eastern religious practices will find references in this literature to visual meditation fantasies directed toward the goal of spiritual realization. A. R. Orage's *Psychological Exercises and Essays* (Janus Press) contains many brief fantasy exercises similar to those in this chapter.

# 18

## structures and abstractions

### beneath the surface

Implicit in every act of perception is knowledge of what lies beneath the visible surface. I look at an orange: I perceive that it is not hollow, like a child's rubber ball, but that its skin contains fruit. I look at my hand: I perceive skin, hair, and fingernails, but my imagination contributes more: I also perceive that my skin envelops a living structure of bones, tendons, blood vessels, nerves, and muscles. I look at my desk: I perceive that it has a backside and that inside its drawers are papers, pencils, and other possessions. I don't experience the orange, my hand, and my desk as mysterious, opaque balloons. Memory joins with sensation of outer surfaces, and I perceive objects that have insides as well as outsides.

In the next exercise, do what the young man in Cobean's cartoon (Figure 18-1) is doing: explicitly peel away surfaces to imagine what is underneath. But go further: remove all outer layers to visualize internal structure. Ability to comprehend structure is essential to visual thinking. Thinking that occurs only in relation to visible surfaces is superficial; it is only skin-deep.

### 18-1/x-ray vision

1. Overlay a magazine photograph with tracing paper, and draw (to the best of your knowledge) what lies beneath the visible surface. As you seek the internal physical structure of the



Drawn by Cobean; copyright © 1950 The New Yorker Magazine, Inc.

Figure 18-1.

object (using several colors, if necessary) also comprehend it. Ask yourself, for example: "What does this bone do?" or "What is this connection for?"

2. Perform the same operation on an actual object; in your mind's eye, visualize the internal structure of your hand, for example. Try doing it with your eyes open: juxtapose a mind's-eye image of inner structure upon a perceptual image of external surfaces.

In Section V, you will explore the penetrating and dissecting capabilities of your visual imagination further, in the context of idea-sketching. The visual languages of perspective and orthographic projection are especially well-suited to exploring internal structure.

### **manipulating structure**

Visual thinking is actively operating upon structure, not only to see what is inside, but also to manipulate the component parts of structure in relation to each other. Adjusting proportion relationships is one kind of manipulation of structure in which only sizes are changed.

### **18-2 / ratio**

Imagine that you have a magic powder called Ratio, which makes things larger or smaller, as you wish. In your mind's eye, sprinkle Ratio on a friend, shrinking him to half-size. Ratio can also be applied selectively, increasing the size of one part, decreasing the size of another. Experiment with your current setting: for example, make the ceiling higher, the windows larger, the furniture more delicate in scale, and so on.

A somewhat more difficult mental manipulation involves keeping proportion the same while changing the position of the structure's component parts. An automo-

tive engineer who looks under the hood of an automobile not only "sees through" to internal structure, he also visualizes parts moving in relation to each other. In his mind's eye, he sees pistons going up and down, the crankshaft going around, and so on. The same kind of imaginative manipulation of structure is important in many activities: the doctor visualizes a moving knee joint, not a fixed one; the physicist sees particles in motion; the businessman imagines cash flow. The following two-dimensional exercise, taken from a psychological test, gives excellent training in visualizing changes in structural position.

### **18-3 / changing places**

You will need the assistance of another person and the use of a prop, ideally a large square piece of white felt and five small shapes of colored felt (a yellow triangle, a blue circle, a black square, a purple cross, and a red diamond).

1. Randomly place one colored shape in each corner of the white square, and the remaining shape in the center. (Felt coheres, permitting this assembly to be fastened vertically so that a number of people can see it.)

2. Take one minute to commit your arrangement of colored shapes to memory. Then close your eyes.

3. The individual who is assisting this exercise should change the position of two shapes, announcing, for example: "I am switching the black square and blue circle." After a five-second pause to allow the others to make this manipulation mentally, another switch should be made and described verbally.

4. Without looking up, open your eyes and draw your mental image of the current arrangement of colored shapes. Then check your drawing with the actual arrangement.

5. If the exercise is too difficult, reduce the number of shapes to three and the number of switches to one, and increase difficulty gradually. With repeated practice, this mental operation becomes easier. When you master this exercise, you'll be able to track manipulations of the five-shape pattern indefinitely in your mind's eye.

Don't be surprised to find that mental manipulations of structure are difficult to make. The sculptor who physically changes the proportion of a clay figure performs work. The visual thinker who does the same operation mentally also performs work. The husband who physically moves the living room furniture around until his wife is satisfied with a new arrangement uses his muscles. Similarly, moving component parts in your mind's eye requires "imaginative muscles"; indeed, you may actually experience sensations in your muscles (kinesthetic imagery) while mentally manipulating structure. Whether performed physically or mentally, moving things around requires active effort. It is always easier to leave things as they are.

### **complex mental manipulations**

Mental tasks become more arduous with every step toward complexity. Three-dimensional tick-tack-toe is more difficult than the two-dimensional child's game. Chess, with 64 positions, requires far more mental effort to master than the previous exercise, which has only five. Let us briefly consider the complex game of chess.

Newell, Shaw, and Simon, pioneers in the development of computer analogues of hu-

man thinking, have observed that "If one could devise a successful chess machine, one would seem to have penetrated to the core of human intellectual behavior." Other psychologists, inspired by the same notion, have studied the mental processes of chess grandmasters. What they have learned is instructive to students of visual thinking.

You perhaps have heard about the incredible long-term memories of chess masters; some are apparently able to recall every move of every game they have played. Dutch psychologist de Groot has found that the short-term memories of chess masters are equally acute. He showed an unfamiliar chess situation to chess players of various strengths for five seconds; expert and average players made many errors in reconstructing the chessboard from memory, while players such as ex-world-champion Max Euwe recalled each situation perfectly.

Binet and others have found that chess masters rarely see a realistic and detailed memory image of the chessboard, however. Instead, they commonly see a gestalt-like image made up of strategic groupings. Their inner imagery is pattern-like (see Chapter 10). Pillsbury reported a "sort of formless vision of the positions"; Alekhine said he visualized the pieces as "lines of force." The game of chess is extremely complex: each move is made in relation to an incredible number of alternative moves and countermoves. The expert chess player cannot allow his thinking to be distracted by irrelevant details; he thinks in relation to an abstract sensory image, not a concrete one.

Much more has been written about thinking in chess, but these brief observations are sufficient to introduce an important characteristic of thinking by visual images. Complex thinking operations often require imagery that is abstract and pattern-like. Which is not to say that abstract imagery is more important than concrete; rather, abstract and concrete imagery are complementary. The flexible visual thinker moves readily back and forth between the two.

So far, you have dwelt on the experience of concrete mental pictures. Now let's try to build a case for abstraction, for imagery that embodies the essence of structure without its sensuous qualities of detail. "The test of an image," writes Gombrich,<sup>2</sup> "is not its likeliness but its efficacy within a context of action." Ehrenzweig<sup>3</sup> alludes to a similar point when he observes that "at a certain point which has to do with the awakening of creativity, the student has to learn to turn about resolutely and blur his conscious visualization in order to bring into action his deeper faculties."

### **abstract visual thinking**

Napoleon is said to have held that individuals who think only in relation to concrete mental pictures are unfit to command. He reasoned that the commander who enters into battle with a detailed image of his battle plan fixed in his mind finds that image difficult to modify quickly to accommodate sudden and unpredictable changes on the battlefield. Bartlett<sup>4</sup> concurs: "Too great individuality of past reference may be very nearly as embarrassing as no individuality of past reference at all." McKellar<sup>5</sup> suggests why this is frequently

so, pointing to the tendency of concrete imagery to solidify thinking prematurely: "Intelligent thought is inhibitory in the sense that it has stopped short of greater concretization, and been halted at a point which still allows a wide choice from a range of alternative responses."

What is the nature of an abstract inner image? According to Arnheim<sup>6</sup> it is, first of all, "often faint to the extent of being barely observable"—indeed so faint that it "may not be readily noticed by persons unaccustomed to the awkward business of self-observation." Abstract visual imagery especially eludes the introspective observer who equates mental pictures with photographic realism. The pattern-seeking exercises in Chapter 10; in which the gestalt of the image is prominent and details are subordinated, give the reader a clue to the nature of abstract inner imagery. The schematic idea-sketches in Chapter 21 offer another lead; abstract inner images are similar to schematic drawings. Topology, a branch of geometry that deals with spatial equivalents, suggests another analogy. Topologically, the earth and an apple are the same; so are a doughnut and a lifesaver: the generic sphere and torus embody only the abstract essence of structure, and not its specific attributes.

These examples, however, do not afford an experience in *thinking* in relation to abstract inner images. The distinction between abstract images used as symbols for communication and abstract images used as vehicles for thinking is a vital one. I will attempt to illustrate this difference by going directly to the use of stereotyped abstract images in language and, from there, to the

formation of abstract images in productive thinking.

### **the visual stereotype**

Abstract visual images are closely allied to words. Take the word "flower," for example. As a sound issuing from your mouth, or as a series of ink marks on this page, the word "flower" is meaningless. The meaning of the word "flower" is not in the word but in the image to which the word refers. This image, at least initially, is simple and stereotyped; it is an image that captures the essential similarity of all flowers. In Chapter 4, I mentioned how stereotyped use of language can diminish sensory experience: out of laziness and habit, many of us see "word trees," green blobs on a stick. Little effort is required to experience stereotyped imagery of this sort. A well-known abstract image for birds-in-general looks something like:



Ancient languages, such as Chinese, contain many such abstract, pictographic symbols.

The following exercise is intended to help you seek abstract inner imagery associated with language; from this experience you may more easily recognize abstract imagery that is a vehicle of thinking.

### **18-4/abstract word-images**

Take the following abstract words one by one and experience the abstract inner image elicited by the word. Make an abstract sketch of each image.

1. Nouns: chair/ tree/ house/ car/ animal.
2. Verbs: thrust/ shut/ penetrate/ collapse/ swing.

3. Adjectives: turbulent/ sharp/ voluptuous/ decayed/ lively.

Did you find the previous exercise difficult to perform? Did you, for example, find that a specific chair comes to your mind's eye when the word "chair" is mentioned, but not an abstract visual image of "universal chairness"? Did you experience even more difficulty with the verbs and adjectives? "Shut" can refer to many things: shut the door, shut your eyes, shut off the water. "Turbulent" what? turbulent air, turbulent water, turbulent times?

One way to perform the previous exercise is to remember a previously seen abstract graphic representation of the word. A kindergarten could interpret the nouns this way. The verbs and adjectives are more difficult: let's see, an arrow for "thrust," but what for "shut"? Another way is to rummage about in memory for the concrete instances that the word suggests: the word "bird," for example, elicits images of canaries, eagles, ostriches, flapping wings and pecking beaks, bird songs, geese migrating in formation, a hawk soaring effortlessly, a pelican plummeting into the ocean for a fish, and so on. With some effort I can abstract from these concrete instances a visual essence that has a universal quality of "birdness." The latter course, more likely to produce an original result, characterizes one kind of search for abstract imagery in art.

Seeking abstract visual imagery to represent existing concepts is one thing; using it to *develop* new ideas is quite another. The visual thinker who uses abstract inner imagery to develop an idea uses it dynam-

ically, much as you manipulated the colored shapes in the experience earlier in this chapter. Such imagery may be an abstract three-dimensional structure; it may also be a vague "pattern of forces" such as reported by the chess player. Another extremely important function of abstract inner imagery is in the *discovery* of new ideas. Let's turn now to this crucial mental operation.

### **hidden likenesses**

Bronowski<sup>7</sup> writes: "The discoveries of science [and] art are explorations—more, are explosions of a hidden likeness. The discoverer or artist presents in them two aspects of nature and fuses them into one. This is the act of creation, in which an original thought is born, and it is the same act in original science and original art."

Once discovered, hidden likenesses can be described in many ways. In the verbal arts, a hidden likeness is encoded in a simile, analogy, or metaphor. Similes and analogies point to likenesses explicitly (for example: "The Renaissance was like the opening of a flower"); metaphors do so implicitly ("The Renaissance blossomed.")

On the usual conscious level of language, of course, there is no likeness between flowers and the Renaissance. The hidden likeness is on a deeper level, beyond words, where sensory and emotional memories associated with the two words overlap. The correspondence between a flower blossoming and the rebirth of human spirit that occurred in the Renaissance enriches the meaning of language in a sensory way. But the correspondence itself is initially seen abstractly. The inventor of the meta-

phor suddenly sees an abstract connection between the two concepts—a hidden likeness barely glimpsed in a bridging abstract image. The power of the metaphor is usually proportional to the dissimilarity of the ideas that the metaphor links.

As you will remember from Chapter 15, access to vivid sensory and affective memories, to that portion of memory containing material for the discovery of vivid and illuminating hidden likenesses, often requires the relaxation of conscious control. The poet will confirm this: the creative metaphors with which the poet takes language by surprise are rarely the product of conscious and logical effort. Thus the productive abstract image is vague and even subliminal because it occurs subconsciously. As Kubie<sup>8</sup> observes: "Preconscious processes are not circumscribed by the more pedestrian and literal restrictions of conscious language . . . preconscious processes make free use of analogy and allegory, superimposing dissimilar ingredients into new perceptual and conceptual patterns, thus reshuffling experience to achieve that fantastic degree of condensation without which creativity in any field of activity would be impossible. In the preconscious use of imagery and allegory many experiences are condensed into a single hieroglyph." (For "hieroglyph" read "abstract inner image.")

Is it possible, then, to direct your conscious attention to an abstract inner image that is not stereotyped? Probably not until you have grappled for awhile with the elements of a problem that is meaningful to you. Also probably not until your usual conscious mode of thought is relaxed, or

put off-guard. Exercise 8-5/*Visual Similes* will give you practice in finding hidden likenesses in the context of seeing. Decoding your own dream imagery provides a related but reverse experience. The language of dreams is metaphorical, but a dream presents only one element of the metaphor. You discover the other when you consciously interpret the meaning of the dream. Another way to obtain experience in forging abstract, metaphorical resemblances is provided by a thinking strategy called "Synectics."

### **synectics**

Synectics is a problem-solving technique that uses metaphors and analogies to generate creative ideas. I cannot do justice to the rationale of Synectics here; the method is treated fully in *Synectics* by W. J. J. Gordon<sup>9</sup> and *The Practice of Creativity* by George M. Prince.<sup>10</sup> Nevertheless, follow along on a "Synectics excursion."

### **18-5 / synectics excursion**

Imagine that you are a silent member of a small group as it explores metaphors related to a problem. As you do, be aware of inner imagery, concrete *and* abstract, that is elicited by the dialogue.

A Synectics group was asked to invent a new kind of roof. Analysis of the problem indicated that there might be an economic advantage to a roof that is white in summer and black in winter. The white roof would reflect the sun's rays in summer so that the cost of air conditioning could be reduced. The black roof would absorb heat in winter so that the cost of heating could be minimized. The following is an excerpt from the session on this problem:

"A: What in nature changes color?

B: A weasel—white in winter, brown in summer; camouflage.

C: Yes, but a weasel has to lose his white hair in summer so that the brown hair can grow in. Can't be ripping off roofs twice a year.

E: Not only that. It's not voluntary and the weasel only changes color twice a year. I think our roof should change color with the heat of the sun. There are hot days in the spring and fall—and cold ones too.

B: Okay. How about a chameleon?

D: That is a better example because he can change back and forth without losing any skin or hair. He doesn't lose anything.

E: How does the chameleon do it?

A: A flounder must do it the same way.

E: Do what?

A: Hell! A flounder turns white if he lies on white sand and then he turns dark if he lands on black sand—mud.

D: By God, you're right: I've seen it happen! But how does he do it?

B: Chromatophores. I'm not sure whether it's voluntary or nonvoluntary . . . Wait a minute; it's a little of each.

D: How does he do it? I still don't plug in.

B: Do you want an essay?

E: Sure. Fire away, professor.

B: Well, I'll give you an essay, I think. In a flounder the color changes from dark to light and light to dark. I shouldn't say "color" because although a bit of brown and yellow comes out, the flounder doesn't have any blue or red in his register. Anyway, this changing is partly voluntary and partly nonvoluntary, where a reflex action automatically adapts to the surrounding conditions. This is how the switching works: in the deepest layer of the cutis are black-pigmented chromatophores. When these are pushed toward the epidermal surface the flounder is covered with black spots so that he

looks black—like an impressionistic painting where a whole bunch of little dabs of paint give the appearance of total covering. Only when you get up close to a Seurat can you see the little atomistic dabs. When the black pigment withdraws to the bottom of the chromatophores, then the flounder appears light colored. Do you all want to hear about the Malpighian cell layer and the guanine? Nothing would give me greater pleasure than to . . .

C: You know, I've got a hell of an idea. Let's flip the flounder analogy over onto the roof problem. Let's say we make up a roofing material that's black, except buried in the black stuff are little white plastic balls. When the sun comes out and the roof gets hot the little white balls expand according to Boyle's law. They pop through the black roofing vehicle. Now the roof is white, just like the flounder, only with reverse English. Is it the black pigmented part of the chromatophores that come to the surface of the flounder's skin? Okay. In our roof it will be the white pigmented plastic balls that come to the surface when the roof gets hot. There are many ways to think about this . . ."

As the Synectics excursion continues, the participants seek additional analogies between their problem and such diverse realms as biology, art, and history. If they are fortunate, a metaphor connects: in a flash of excitement, a "hidden likeness" is found that illuminates a solution to the problem. The connecting image is abstract, but it is not stereotyped. As this abstract inner image rises into conscious awareness, it is recognized as the essential structure of a new idea.

Bergson<sup>11</sup> expresses only half of the truth when he says, "To work intellectually consists in conducting a single representation through different levels of consciousness in a direction which goes from the abstract

to concrete, from schema to image." Arnheim<sup>6</sup> gives us the other half: "the mind is just as much in need of the reverse operation. In active thinking, notably in that of the artist or the scientist, wisdom progresses constantly by moving from the more particular to the more general." Abstract inner images don't come out of the blue; they are the refined product of concrete experience. New concrete ideas also don't come out of the blue; they are the developed product of a newly glimpsed abstract inner image.

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# 19

## foresight and insight

### man's distance sense

Taste, touch, and kinesthesia enable you to sense only what is within reach of your body. Hearing and smell extend your sensory domain several miles at most. Yet you can see the stars: vision is by far man's most effective "distance sense." The following exercise challenges you to use your visual sense predictively—that is, to look ahead in time as well as space.

### 19-1/look ahead

Starting with the entrance arrow on the left of the maze in Figure 19-1, draw a pencil line to the exit arrow in the lower right. If you enter a blind alley, return to where you entered it and continue on. The pencil line may not, of course, cross any "wall" of the maze. You may wish to overlay a piece of tracing paper.

### two kinds of foresight

To foresee is to have a mental picture of something to be, to imaginatively envision the future. I am not describing a rare, occult power. Virtually everyone exercises foresight: worry and anxiety could not exist without it. Few people know how to use foresight productively, however. Foresight is extremely powerful when used to envision *future goals*. And it is also an invaluable faculty when used to envision alternative *future consequences* of present plans. In this chapter, you will experience these two kinds of foresight. Subsequently you will see how foresight and creative insight are related.

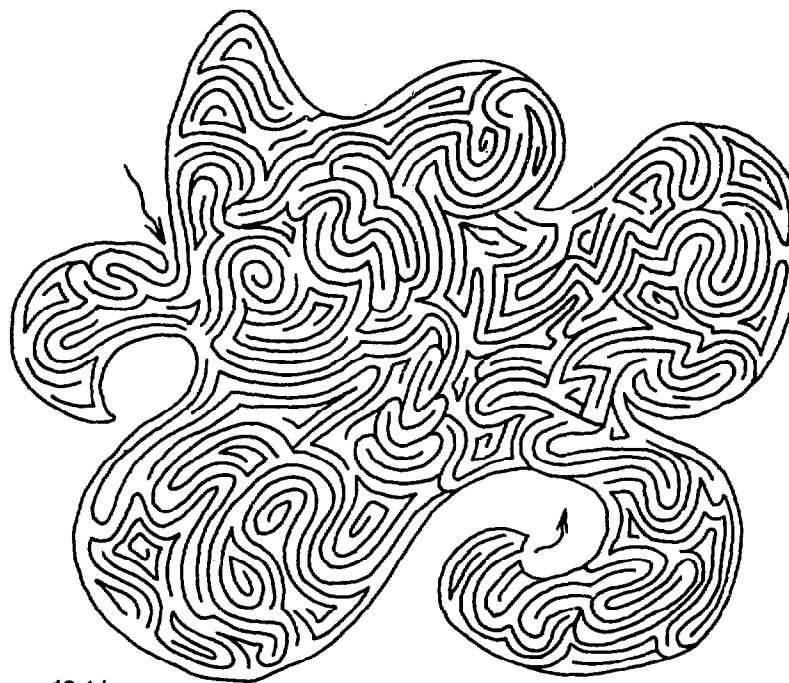


Figure 19-1.

## old-fashioned "vision"

Leaders in every field of endeavor are often men with a powerful vision of the future. The terms "vision" and "visionary" may seem rather out-of-date; nevertheless, history has been written by men with impossible dreams, men so effective that they may seem to some to possess mysterious powers. Perhaps the only mystery is how visionaries learn to use their foresight creatively, while most of us, influenced by an education that dwells mainly on the past, never learn the immense power of envisioning future goals.

Maxwell Maltz, in his popular book *Psycho-cybernetics*,<sup>2</sup> develops a sound psychological case for the effect of sustained and affirmative foresight upon behavior. The basic premise of psycho-cybernetics is that the human psyche is goal-seeking and that imagination is the psyche's "steersman" ("cybernetics" comes from a Greek word meaning steersman). Writes Maltz: "We act, or fail to act, not because of will as is commonly believed, but because of imagination."

The technique of psycho-cybernetics is disarmingly simple. First, decide upon a goal: let's say, for example, that you desire to be more skillful in drawing. Second, regularly imagine yourself, as vividly as possible, having fully attained your goal. In this example, imagine yourself drawing skillfully. In a recent psychology experiment a number of basketball players were divided into two groups of equal skill. The first group was asked to spend a period of time each day on the court, improving their skill shooting baskets. The second group

was asked to spend the same period of time off the court, *vividly imagining themselves shooting baskets with breathtaking accuracy*. Afterwards, both groups were tested to determine which one had actually improved the most. The team who had practiced in their imagination was significantly the most improved.

The theoretical foundation and experimental validation of psycho-cybernetics is documented in Maltz' book and elsewhere in psychological literature. It does work, if you practice it faithfully (Maltz suggests that you reserve judgment for a minimum period of 21 days of sustained practice). Try the technique first on a minor goal. As you get a feel for it, increase the magnitude of the goal in the direction of an impossible dream.

## 19-2 / envision a goal

1. Envision a goal, from desired new behavior to an impossible dream.
2. Set aside 10 minutes just after waking and 10 minutes just before retiring to experience this goal in your imagination. Relax comfortably in a seated position. Close your eyes.
3. Picture yourself having attained your goal *here-and-now*. Don't imaginatively observe yourself in some distant place or time.
4. "The important thing is to make these pictures as *vivid* and as *detailed* as possible . . . The way to do this is to pay attention to small details, sights, sounds, objects, in your imagined environment . . . If the imagination is vivid enough and detailed enough, your imagination practice is equivalent to an actual experience, in so far as your nervous system is concerned."<sup>2</sup>
5. Along with an intense sense of being there, imagine the *positive feelings* evoked by the realized accomplishment.

6. That's all there is to it. Don't dwell on the goal, don't make a time-tabled plan to achieve it, and don't constantly evaluate how you are progressing. Outside your daily experience of goal-setting, stay in the "here-and-now."

You may want to return to Chapter 15, Visual Recall, and Chapter 17, Directed Fantasy, to obtain additional hints relevant to this exercise. The exercises in the chapter on visual recall are directed toward obtaining *clarity* of imagery, the directed fantasy exercises toward obtaining *control*.

## alternative futures

Henry Ford clearly foresaw a need for mass-produced automobiles. Ford and his colleagues were markedly bereft of foresight, however, regarding the future consequences of a society on wheels. With 20/20 hindsight, you and I can make a lengthy list of these positive and negative consequences. The question is: Could these consequences have been foreseen?

Living with unforeseen consequences such as smog and traffic congestion, we are coerced into awareness of a need for foresight about the possible effects of present plans. What will be the ecological effect of new forms of air transportation? Is current education preparing our children for the future? How will the computer and automation influence patterns of employment? In an era of rapid change, we badly need to foresee the future in order to prepare for it.

Awareness of the need for foresight is not the same as the ability to foresee, however. Envisioning future consequences is an art form that badly needs to be edu-

cated. Richard de Mille<sup>3</sup> suggests a way that history could be taught to educate this kind of foresight. Instead of merely teaching that Matthew Perry's visits to Japan in the 1850s brought Japan into the modern world, de Mille suggests that the teacher might ask "What would have happened if, in 1808, Perry had joined the Army instead of the Navy?" At this point, students could foresee many alternative possibilities: "Japan might still be feudal today. Or Japan might now be part of the Soviet Union. Since there would be no Pearl Harbor attack, the United States would have stayed out of World War II." And so on. De Mille's suggestion has many advantages, not the least of which is the way it orients the student creatively to the future as well as to the past.

One can rarely foresee the actual future consequences of present plans, of course. Most plans are realized in a context that contains many variables and even surprises over which the foreseer has little or no control. One can only foresee "alternative futures," a bracketed set of possibilities within which the future will likely occur.

A new profession of "futurists" is currently developing. Using techniques which are too varied and complex to be described here, these men are currently writing "scenarios" or alternative histories of the future (of the world to the year 2080, for example). A "futurist" spends his full time foreseeing alternative futures, usually in the context of incredible complexity. The following exercise, involving a simpler context, is intended to give you a mini-experience in being your own futurist.

### 19-3/envision consequences

1. Conceive a decision that would likely influence your personal future. Commit the decision to writing.
2. Also write down approximately a dozen conditions that will likely influence the outcome of your decision (health and economic factors, for example).
3. By varying these dozen conditions (say your health becomes better, stays the same, or deteriorates) and by combining them into different patterns, write several "scenarios" describing the possible year-by-year consequences of your decision over the next five years.
4. Has this exercise in foresight influenced your initial decision?

### insight: like lightning

"One hears—one does not seek; one takes—one does not ask who gives: a thought flashes out like lightning inevitably without hesitation—I have never had any choice about it." *Like lightning*. Nietzsche's simile captures the essence of insight. We have discussed foresight. Now let us examine three of the conditions that foster insight: preparation, incubation, and the off-conscious state that usually accompanies an "intuitive leap."

Intensive *preparation* almost always precedes the sudden flash of vision known as insight. "Discovery," writes Bruner,<sup>4</sup> "favors the well-prepared mind." The insights obtained in the creative dreams discussed in Chapter 16 were obtained by dreamers who had long struggled with their problems. Sudden illumination is rarely easily obtained: though brief itself, insight usually follows a prolonged contest with the elements of a problem.

Insight also follows *incubation*, a period of time during which thinking proceeds subconsciously. Most creative people know the value of putting their work aside and turning to something else for awhile. Relaxing, taking a walk, or "sleeping on it" can be extremely productive, although it may appear to be mere laziness to those who identify thinking solely with hard work. Incubation is preceded by hard work: the baker who rests while the oven bakes the bread must first mix the ingredients and knead the dough.

### 19-4/incubate

After intensively working on a problem, put it aside for awhile and let your subconscious do the work. Attend another task. Better yet, relax. Even better, sleep on it.

Kelly<sup>5</sup> observes that "It is curious that modern psychology cannot account wholly for flashes of insight of any kind, sacred or secular." Insight, in its unpredictability and infrequency, is clearly not the sort of mental phenomenon that can be readily observed and replicated in a psychology lab. But even more important, insight rarely occurs in the "normal" state of waking consciousness. As Tyrell<sup>6</sup> suggests, "those creations of the human mind which have borne pre-eminently the stamp of originality and greatness have not come from the region of consciousness. They have come from beyond consciousness, knocking at its door for admittance." Modern psychology has learned little about insight largely because it has been concerned primarily with waking consciousness, virtually ignoring that this "is but one special type of consciousness, while all about it, parted from

it by the filmiest of screens, there lie potential forms of consciousness entirely different.”

Creative insights are rarely found by straining for them consciously. They come when normal waking vigil is relaxed—in an off-guard reverie, in a daydream, in a dream. Most of all, they come unexpectedly, not as the foreordained product of step-by-step reasoning but as the uncalculated result of an “intuitive leap.”

What can be said, then, about the experience of insight itself? Reread what was said in the previous chapter about the discovery of hidden likenesses. Then understand that insight comes most readily to those who are open to the possibility of intuitive leaps. “My advice,” writes Bruner, “in the midst of the seriousness, is to keep an eye out for the tinker shuffle, the flying of kites, and kindred sources of surprised amusement.”

### **19-5 / leaping**

Guesses, hunches, or intuitions are skilled leaps over territory usually crossed by short steps. As with any skill, intuitive ability improves with informed experience. Practice making guesses before information is dutifully worked over, and listening for answers that emerge from subconscious levels of thinking. Gradually you will learn to trust hunches that arrive unexpectedly by avenues that do not appear on the logician’s map of the intellect.

### **foresight and insight are related**

Insight follows preparation and incubation. But preparation presumes a problem. How

did the thinker find a problem worth thinking on? Someone, perhaps not the problem-solver himself, foresaw the need for an answer and set a goal. Insight cannot occur without foresight. Insight, sudden and brief, is a mental explosion of energy provided by foresight, long and sustained.

Indeed, foresight provides goal-tension that pervades every mental operation of problem-solving. Foresight influences what is seen and not seen in a problem situation, and which potential solutions are valued and which are rejected. In short, foresight, however vague, pulls the thinker in the direction of a solution. When problems are “assigned,” as they commonly are in school and in industry, the impetus provided by foresight is usually diminished and distorted. Specialization that separates problem finders from problem solvers (say market researchers from designers) can severely hinder the energy and integrity that foresight imparts to insightful problem-solving.

Finally, foresight is related to insight after-the-fact. Is the insight valid? Envisioning “alternative futures” for an insight is an important way to test its merit.

### **limits to imagining**

At the end of the last section, I described several important limitations of seeing as a mode of visual thinking. What are the limitations of imagining? A major deficiency is imagination’s ephemeral nature. The internal cinema rarely plays twice: inner imagery is always reconstructed differently for each re-viewing, and some-

times (as with dreams) cannot be reviewed at all. Bartlett<sup>8</sup> describes another pitfall: “When once the image method has been adopted and practiced . . . it tends itself to become a habit . . . a typical visualizer, for example, often seems to have a great wealth of images . . . so that he is tempted to stop and describe them—often to his own and others’ aesthetic enjoyment—instead of concentrating upon the problem that they are there to help him to solve. Before long his images themselves get into ruts . . . and lose their touch with [the environmental reality] without which the method of images would originally never have developed at all.” And Miller, Galanter, and Pribram<sup>9</sup> add: “Unless you can use your image to do something, you are like a man who collects maps but never takes a trip.”

In this section, I have suggested ways to avoid cyclic and unproductive imagining. I have also shown that here-and-now sensory experience is essential to vital imagination. But it is also extremely important to use imagining *to do something*. Idea-sketching is an important kind of doing that clarifies and records inner imagery, links imagining with seeing (by making ideas visible), and adds an element of action to thinking itself. As you will see in the next section, idea-sketching fills in especially where seeing and imagining are limited, making visual thinking more effective.

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# V

## idea-sketching

Idea-sketching is the faculty of visual thinking that gives birth to ideas. The following three chapters treat the iterative nature of idea-sketching, the importance of fluency in graphic language to the development of visual ideas, and the use of strategies to stimulate fuller idea-exploration.

- 20. ETC. Express/Test/Cycle
- 21. Out of the Language Rut
- 22. Your Strategy Repertoire

# 20

## etc: express/ test/cycle

### graphic ideation

An idea is an object of the mind. I look at my hand. My hand is not an idea, but my perception of my hand is. I look at the words that I am currently putting down on paper; these squiggly marks are not ideas, but the images and verbal concepts now running through my head are. Ideas are *internal* constructs of perception, imagination, and thinking.

"Ex-press" means to *press out*. Idea-sketching is a way to express visual ideas, to literally press them out into tangible form. Visual ideas can be expressed by acting them out, talking about them, writing them down, constructing them directly into a three-dimensional structure—and drawing them. In this section, I will concentrate on one form of idea-sketching: *graphic ideation*, idea-generation and expression by means of drawing.

Graphic ideation has two basic modes: exploratory and developmental. In the exploratory mode, the visual thinker probes his imagination with his marker, seeking to touch and record the vague and elusive imagery that usually accompanies the conception of a new idea (see Chapter 18). In the developmental mode, the visual thinker gradually evolves a promising, though initially embryonic, concept into mature form.

The exploratory and developmental processes of graphic ideation are different from those involved in "sketching from life." In the section on *seeing*, you drew from a model that was visible, fully formed, and accessible for prolonged and repeated viewing. By contrast, the model for idea-

sketching is an inner event visible only to the mind's eye, rarely fully formed, and easily lost to awareness. The visual thinker who uses drawing to explore and develop ideas makes *many drawings*; idea-finding and formation is not a static, "one-picture" procedure. He also *draws quickly*; ideas rarely hold still; they readily change form and even disappear. In both the exploratory and the developmental mode, the graphic ideator also uses *many graphic idioms*. The individual who is sketching from life or is communicating a visual idea to others can be content to use one graphic idiom. The individual who is exploring ideas must be more flexible in his use of graphic language, as is discussed in the next chapter.

The quickly executed, formative processes of graphic ideation are favored by "sketching." What are the characteristics of a sketch? Actors perform "sketches" that are customarily short and informal; writers "sketch out" their ideas in outline form and in rough, preliminary drafts; sculptors make rapidly executed "three-dimensional sketches" before proceeding to the final expression of their idea. In whatever form it takes, a sketch is typically (1) self-intended or directed to a small in-group, (2) concerned more with chief features than with details, and (3) performed spontaneously and quickly. Sketches that record the excitement of idea-generation and formulation also often possess a vitality and freshness lacking in the final communication.

As suggested in Chapter 1, graphic ideation is not to be confused with graphic

communication. The former is a formative process concerned with *conceiving and nurturing ideas*; the latter is an explanatory process concerned with *presenting fully formed ideas to others*. Graphic ideation is visually talking to oneself; graphic communication is visually talking to others. Graphic ideation precedes graphic communication in most instances: the visual thinker must first discover and develop an idea worth communicating. Being his own audience, the graphic ideator enjoys certain freedoms denied the graphic communicator: he can sketch freehand, quickly

and spontaneously, leaving out details that he already understands or that he believes might concretize his thinking prematurely; he can use whatever graphic idiom furthers his thinking, without concern that others be able to understand him; he feels free to fail many times on the way to obtaining a solution. The drawing on the left in Figure 20-1, from the notebook of Thomas Alva Edison, is an example of *graphic ideation*, a visible step in the birth of an idea. The drawing on the right, from a General Electric catalog, is an example of *graphic communication*, a presentation to others of an idea already fully formed.

### a feedback loop

Graphic ideation utilizes seeing, imagining, and drawing in a cyclic feedback process that is fundamentally iterative. I have given this "feedback loop" the acronym *ETC* (etcetera) to dramatize the importance of repetitive cycling to the graphic development of visual ideas.

The diagram in Figure 20-2 illustrates how *ETC* works. Note first the "arrow in" and the "arrow out": these represent an input and an output of information. An input of information is typically a statement of a problem and information relative to the

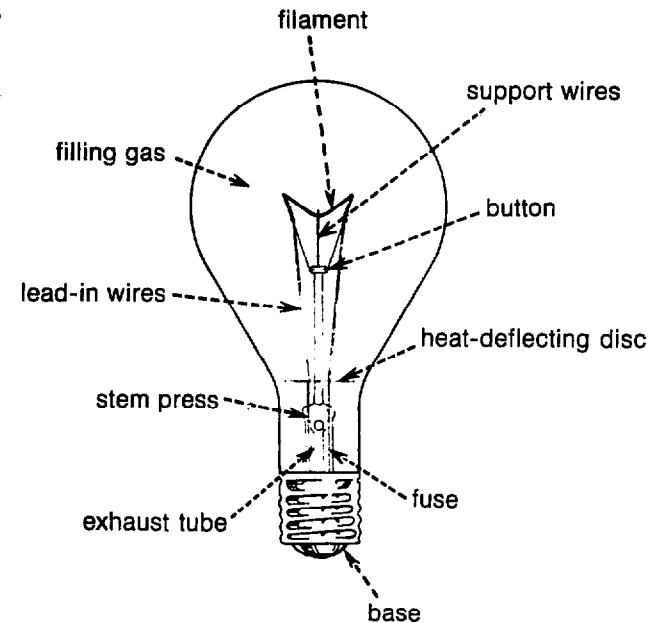
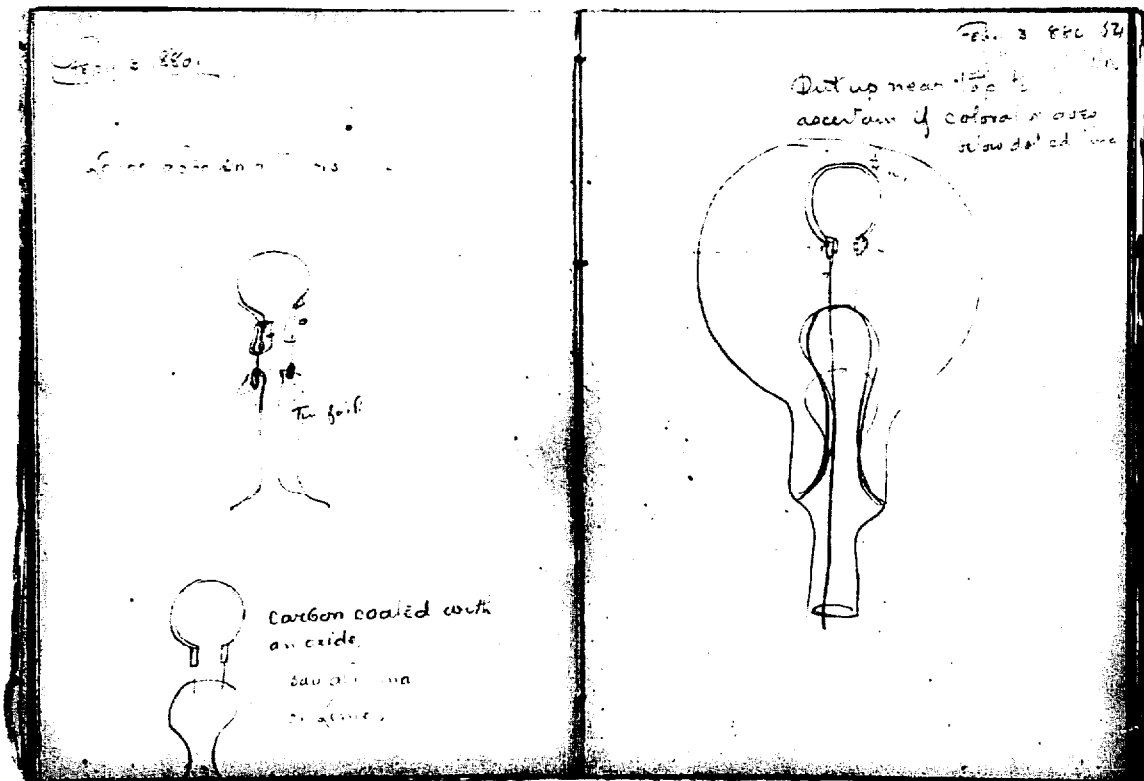


Figure 20-1.



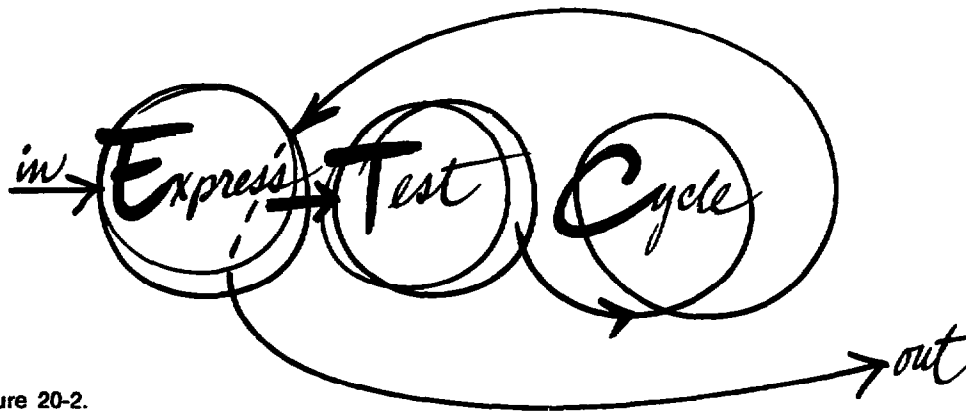


Figure 20-2.

problem; an output is usually a communication of a solution. The first step in graphic ideation is expression of ideas (*Express*). Next, ideas are carefully evaluated (*Test*). Then the thinker returns to another round of idea-expression with information gained in testing and frequently with another strategy for generating ideas (*Cycle*). The *ETC* loop in the center of the diagram presumes information *in* and excludes information *out*; graphic ideation, by means of *ETC*, is concerned primarily with *processing*, not with collecting or communicating, information. In other words, graphic ideation is the idea factory; it is not the supplier of raw materials and not the marketer of the finished product.

The basic process of graphic ideation is to *Express*, then *Test*, then *Cycle*, *ETCetera*, *ETCetera*, until the desired idea is fully conceived, at which point the final letter is *E*: *Express*. For reasons that I will now develop, expression, testing, and cycling should be treated as distinct and sequential steps in a developmental process, and not

as steps that can be performed simultaneously.

### expression of ideas

Most students of graphic ideation find the expression of ideas to be the most difficult part of *ETC*. The paper is menacingly blank; imagination falters; whatever is expressed in a sketch comes out differently than intended. In the next few paragraphs, I will treat four basic principles intended to help open the flow of ideas onto paper: (1) fluency and flexibility of ideation, (2) deferred judgment, (3) unhesitating response, and (4) skill in drawing.

J. P. Guilford,<sup>1</sup> a pioneer in the psychology of creativity, suggests the idea-releasing principle of *fluent and flexible ideation*. Fluent ideation is demonstrated by the thinker who generates many ideas; the yardstick of fluency is *quantity*—not quality or originality. Flexible ideation is exhibited by a thinker who expresses diverse ideas; the measure of flexibility is *variety*.

The following exercise, taken from a psychological test, will enable you to evaluate your ideational fluency and flexibility. Should you find yourself lacking, repeated practice in generating a large number of varied ideas on a given theme in a short time period (say 5 minutes) will improve your ability in this important skill.

### 20-1 / thirty circles

1. On newsprint, draw 30 freehand circles, each approximately 1" in diameter and 2" apart.
2. In 5 minutes, draw a few sketchy details into or around each circle to make it an identifiable image (such as the planet Venus, a baseball, or a teapot). Work rapidly; fill one circle every 10 seconds and you will complete all 30 in 5 minutes.
3. How fluent in graphic ideation were you? Did you complete all 30 circles? How flexible were you? Did you fall into ruts (such as drawing four faces) or did you represent a variety of ideas?

A second idea-generating principle is *deferred judgment*. Attempting to express and to judge ideas simultaneously is comparable to trying to drive a car with one foot on the accelerator and the other on the brake. Alex Osborn, the inventor of brainstorming, found this interesting instruction in a letter written by Friedrich Schiller<sup>2</sup> to "a friend who complained that he was unable to generate ideas."

The reason for your complaint lies, it seems to me, in the constraint which your intellect imposes upon your imagination . . . Apparently it is not good—and indeed it hinders the creative work of the mind—if the intellect examines too closely the ideas already pouring in, as it

were, at the gates . . . In the case of the creative mind, it seems to me, the intellect has withdrawn its watchers from the gates, and the ideas rush in pell-mell, and only then does it review and inspect the multitude. You worthy critics, or whatever you may call yourselves, are ashamed or afraid of the momentary and passing madness which is found in all real creators . . . Hence your complaints of unfruitfulness, for you reject too soon and discriminate too severely.

Schiller gave this valuable advice in 1788; the importance of deferred judgment to unfettered idea-generation has been long recognized.

## 20-2 / visual brainstorming

Brainstorming is an idea-generating activity that can be performed individually or in a group. Although brainstorming is usually verbal, it can also take other forms: a dancer can brainstorm directly in dancing, a composer can brainstorm musically—and a visual thinker can brainstorm visually. The two basic principles of brainstorming are:

1. *Defer judgment.* (Whether brainstorming solo or with others, don't criticize ideas until the brainstorming session is over.)
2. *Reach for quantity.* Take a simple problem that interests you. Generate a series of thumbnail idea-sketches on that problem. Set a quantity goal (say 30 idea-sketches in 60 minutes). Also keep a tally of every time you find yourself judging an idea while brainstorming.

William James wrote that "whenever a movement unhesitatingly and immediately follows upon the idea of it, we have ideomotor action. We think the act and it is done." Most habitual behavior follows this description: I have the idea that I'd like another sip of coffee—no sooner thought

than done, my hand quite automatically reaches for the cup. More complex performances, such as piano playing, illustrate the same phenomenon: an accomplished pianist experiences no lapse between his awareness of a musical idea and a corresponding motion of his fingers across the keyboard. The flow from idea to expressive motor action is *unhesitating* and *immediate*.

Quick and spontaneous release of idea into sketch is especially important to graphic idea-expression. For one thing, new and undeveloped ideas are ephemeral: Graham Wallas tells of a man who had so marvelous an idea that he rushed into his garden to thank God for it; upon rising from his knees, he realized he'd forgotten the idea. Better to draw first and pray later! Ideas also frequently appear in rapid succession. Unhesitating sketching response to each idea that arises creates a momentum in which expression is apace with thinking. Finally, immediate graphic response to each idea helps to prevent the intervention of conscious, judgmental processes. The hyphen in the term ideomotor action represents a natural and spontaneous flow that is easily blocked by the intrusion of judgment—or of another idea.

## 20-3 / idea log

Unhesitating expression of visual ideas into sketch form is encouraged by keeping an "idea log." Such a log can take many forms. You may find that sketches on separate sheets of paper or file cards are easier to compare and to group in the Test phase of ETC. Or you may find that sketching on long scrolls of paper encourages idea-flow. Whatever form it takes, keep your idea log always with you

(even by your bed, ready to record an insight gained in a dream).

Fluent and flexible ideation, deferred judgment, and unhesitating translation of idea into sketch are important ways to open the gates that hold back ideas. However, the importance of *drawing skill* to the full expression of visual ideas must not be overlooked. Inadequate drawing ability has three negative effects on the Express phase of ETC: (1) a clumsy sketch usually evokes judgmental processes that restrict or stop idea-flow, (2) ideas that cannot be adequately recorded in sketch form are often lost, and (3) attention devoted to problems of drawing is attention diverted from idea-generation.

Unfortunately, there is no easy shortcut to drawing skill. Return to Chapter 5: have you found drawing materials that give you pleasure to use and that don't incur frustration? Have you developed a well-organized working environment? Return to Chapter 6: idea-sketching is best performed in a state of relaxed attention. Return to Chapter 9: are you making daily entries in your interest book? Return to Chapter 10: practice in making thumbnail sketches is especially relevant to idea-sketching. Return to Chapters 15 and 16: drawing from memory and making sketches of your dreams will give you practice in recording inner imagery. You would not expect to be able to express your ideas verbally without verbal language skill acquired by many years of schooling and practice. Similarly, don't expect satisfying visual idea-expression without well-informed and sustained practice in the use

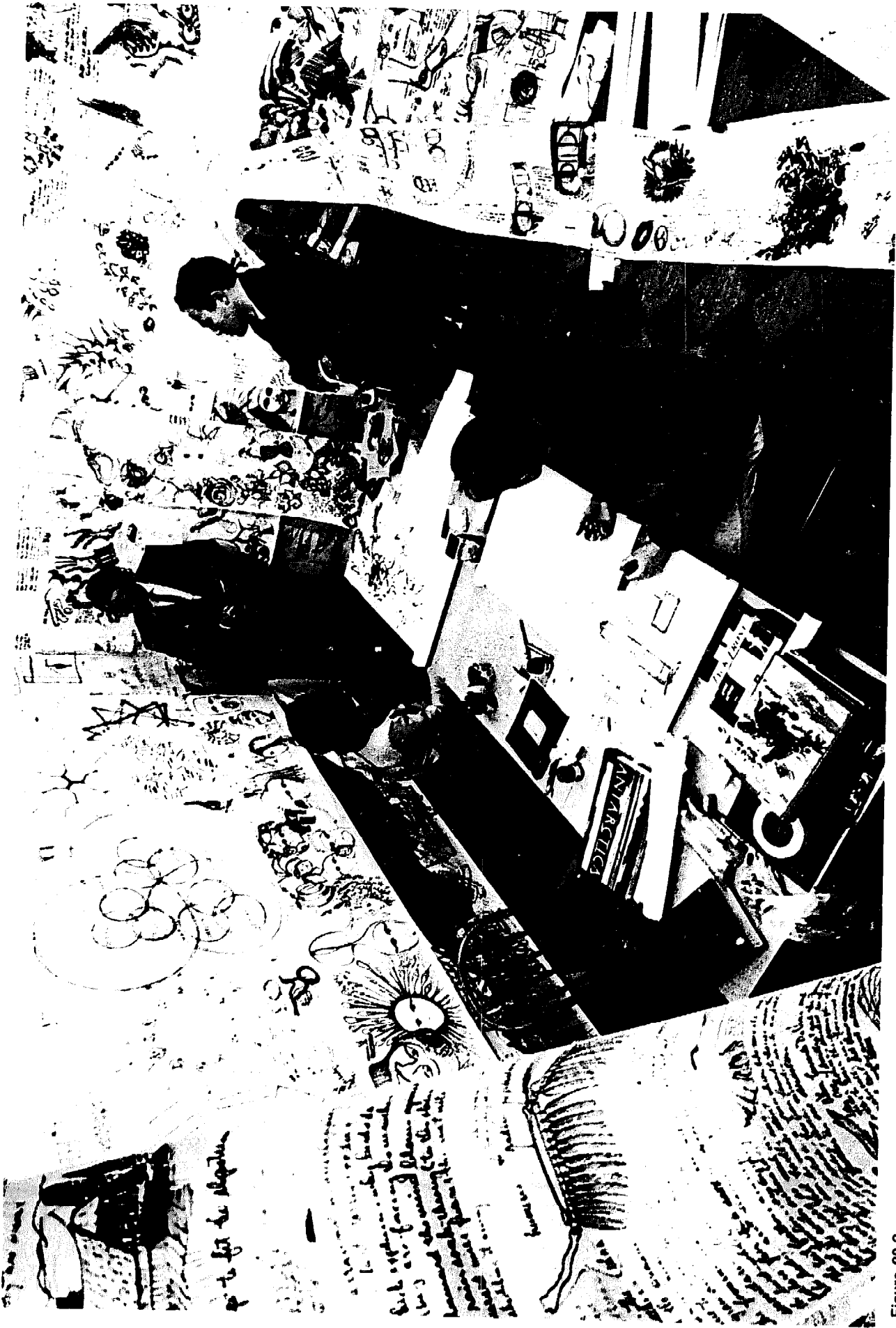


Figure 20-3.

of graphic language. As William Lockard<sup>4</sup> puts it: "If you would learn to draw, hold the [drawing] instrument often—and hold it with your head."

### **time for testing**

Once you have expressed a number of ideas in sketch form, you are ready to evaluate them. Judgment, deferred in the Express phase of *ETC*, is fully exercised in the Test phase. Now is the time to be self-critical, not before. Testing involves (1) *seeing* your sketches fully and imaginatively, (2) *comparing* sketches, (3) *evaluating* each idea in relation to present criteria, and (4) *developing new criteria*.

Idea-sketches are a remarkable extension of imagination, a kind of *visible graphic memory*. Like actual memory images, however, idea-sketches are useful only to the extent that they are accessible. An idea-sketch that is tucked away in a file or lost is comparable to a memory image that cannot be recalled. A missing sketch is the victim of "graphic amnesia." The first step in the Test phase of *ETC* is to display all of your idea-sketches side-by-side. Once displayed, your graphic memory is fully available for the active operations of testing.

In Figure 20-3, William Katavolos and his students are fully enveloped in, and have full visual access to, a collective graphic memory of ideas generated on a design problem.

### **20-4/display your graphic memory**

Place all of your idea-sketches on a wall, table, or floor. Step back for an overview.

As you view your idea-sketches, attempt to see them as fully and imaginatively as possible, recentering the way you see into a variety of viewpoints.

### **20-5/recenter**

1. The most crucial imaginative act in the Test phase is moving from the viewpoint of creator to the viewpoint of critic. As you view your sketches, imagine yourself in the role of a constructively critical person who is seeing them for the first time.

2. In the role of constructive critic, try other modes of recentering described in Section III. Turn some of the sketches upside down; critically look at the gestalt of the entire display; project alternative images or specific sketches.

Still in the recentered viewpoint of critic, compare your sketches. As you do, notice another important characteristic of fluent and flexible ideation. In addition to freeing the flow of idea-expression, numerous and varied sketches provide valuable material for comparison. Also note how the format of your idea-log influences your ability to compare. A bound notebook makes comparison clumsy; a continuous scroll of sketches prevents side-by-side comparison. Comparison, essential to the act of evaluation, is facilitated by a loose-leaf format that permits you to juxtapose and group your sketches freely.

### **20-6/compare**

In the spirit of wine-tasting (see Chapter 11), compare austere ideas with the most complex, and compare each idea in relation to your criteria. Physically grouping and regrouping the sketches usually facilitates comparison. Moving your sketches out of the order in which they were expressed and into new juxtapositions also often causes ideas to be seen afresh.

As you compare your idea-sketches, you automatically begin to evaluate them. Make written notes and sketches *immediately* to catch the essence of each evaluation. Use a different-colored marker (some like red) to denote the Test phase. The act of putting your evaluations into writing enforces a thorough review, reinforces a critical viewpoint, and assures that judgments are not forgotten.

### **20-7/colored notations**

Use a different-colored marker to record evaluations, comments, and ideas obtained in Testing.

Testing, of course, implies criteria. In the early rounds of *ETC*, criteria are usually imprecise, incomplete, and implicit. Initial criteria are also frequently inaccurate. The final function of the Test phase is to review criteria and to state them more exactly. Try using your idea-sketches to question earlier judgments about criteria. For example, have an idea-sketch "ask" whether this or that criteria is as important as you first deemed it to be or whether you have overlooked an important criteria (for example, is something missing?). As you formulate and refine your criteria, record them in writing. A revised statement of criteria is an invaluable aid in the next round of *ETC*.

### **20-8/criteria-formulation**

At the end of the Test phase, formulate your new understanding of problem-criteria in writing.

### **now cycle**

The first round of idea-sketching rarely produces an idea that fully meets your test. After evaluating your first concepts, you

are ready to return to idea-sketching. At this point, it is often valuable to pause and consider the next strategy you will use in search of a solution. Cycling, the third step in *ETC*, is more than a return to another round of idea-expression; it is a return with an idea-generating strategy in mind.

What is a strategy for graphic ideation? An individual who decides to develop one concept in considerable detail has decided on a strategy. Another who opts to generate more ideas before delving into detail with one has decided on another strategy. Choice of strategy is rarely deliberate. We all possess a "strategy repertoire" which we use to solve problems of every sort. Most of us apply this repertoire habitually, without being aware that we are using it. The value of Cycling in *ETC* is the focus it gives to the selection of idea-generating strategies.

### **20-9/cycling**

A number of strategies for graphic ideation are described in Chapter 22. Select the strategy that you believe will most likely help you into the next round of *ETC*.

Once you have chosen a strategy and enter again into the *Express* phase of *ETC*, remember the principle of deferred judgment. Do not attempt to judge whether the strategy is working; focus your attention upon idea-generation. You will have ample opportunity to assess the value of the chosen strategy in the next *Test* phase.

### **develop your own style**

At first, *ETC* may seem mechanical and

unnatural. Like any skilled behavior, *ETC* is clumsy and difficult until it has been practiced for a period of time. Eventually, however, the visual thinker develops facility in idea-expression, rigor in evaluating his own ideas, and an enlarged repertoire of idea-generating strategies. He develops a style of graphic ideation that is distinctly his own.

The exploratory and developmental processes of graphic ideation can be expressed in many ways. Paul Klee<sup>5</sup> wrote: "A certain fire flares up; it is conducted through the hand, flows to the picture and there bursts into a spark, closing the circle whence it came, back into the eye and farther." And Edward Hill<sup>6</sup> observed that "generally the process is one of evolution. Blurred mental images, once projected onto paper, are immediately given a new identity by line. The original idea may now be judged by the eye, developed, and resolved if resolution proves possible—altered or discarded if it does not." *E*, *T*, and *C* are intended merely to remind you of the basic structure of an idea-formulating process that you will, with practice, form and refine into a form-giving act that is uniquely your own.

"Any ideas I have immediately become concrete in sketches," observed film writer and director Federico Fellini.<sup>7</sup> "Sometimes the very ideas are born when I'm drawing."

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# 21

## out of the language rut

### many graphic languages

Men communicated with pictures long before they developed the kind of non-pictographic language that you are currently reading. Pictographic symbols record various degrees of abstraction. The cave painting in Figure 21-1 symbolizes a specific animal; the Egyptian hieroglyph and the more abstract Chinese calligraph symbolize "man"; the American Indian circle-symbol represents the highly abstract idea of "all."

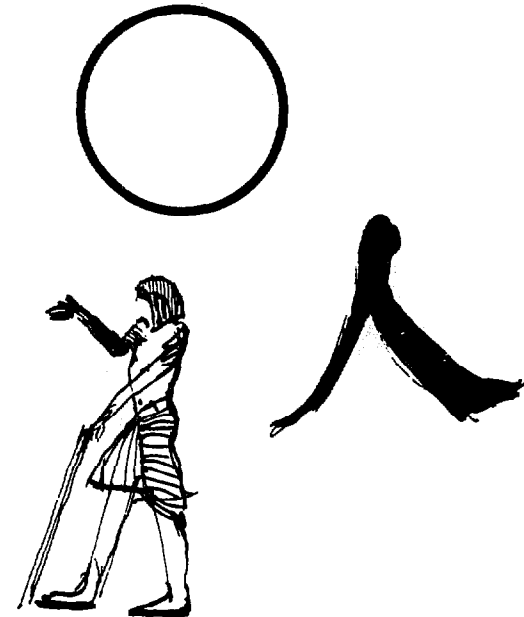


Figure 21-1.

Although contemporary alphanumeric languages evolved from pictographic languages, we should not infer that words and numbers are necessarily more recent or advanced. Perspective and orthographic projection, for example, postdate the alphabet by many centuries; a latest advance in computer technology is the graphic computer. The computer presentation in Figure 21-2 is an example of a contemporary application of graphic language: it is an isometric diagram of the 1960 population distribution in the United States, developed by geographers Waldo Tobler and Frank Rens. Many professions currently use graphic languages: physicists draw diagrams and graphs, businessmen draw organization charts, and physiologists draw cross-sections. Indeed, you will find graphic-language expressions on the blackboards of almost every department of a university, from aeronautics to zoology. Visual and verbal-mathematical modes of thinking and communicating are *complementary*: one is not higher than the other.

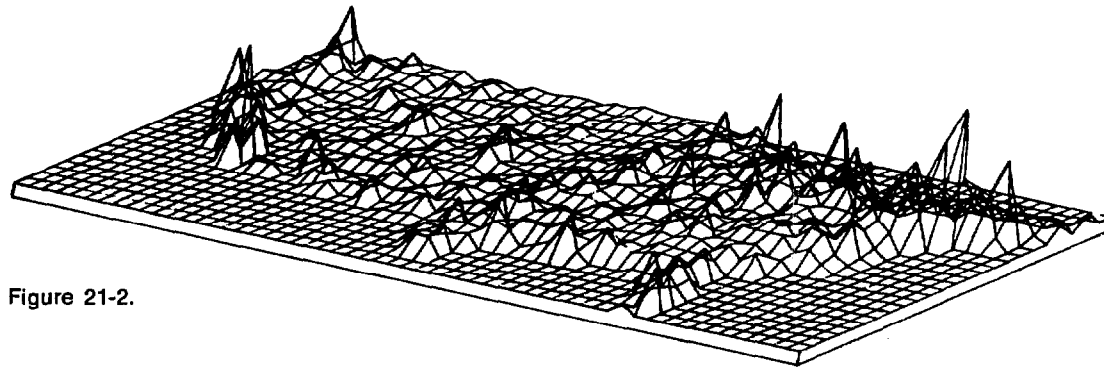


Figure 21-2.

The basic purpose of this chapter is to encourage literacy in many graphic languages as a means toward thinking flexibly. The thinker who has a broad command of graphic language not only can find more complete expression for his thinking but also can recenter his thinking by moving from one graphic language to another. Unlike the verbal thinker who usually learns only one language, the visual thinker can very easily acquire a variety of graphic languages, some ancient, others modern, some abstract, others concrete. Through his ability to change languages, the visual thinker can largely avoid the "language rut" that holds thinking to a fixed viewpoint and a limited set of mental operations.

### thought and language interact

What is the relationship between visual thinking and graphic language? Vygotsky' writes that "schematically we may imagine thought and speech as two intersecting circles. In their overlapping parts, thought

and speech coincide to produce what is called verbal thought." By the same analogy, visual thinking and graphic language also interact in graphic ideation, as illustrated in Figure 21-3.

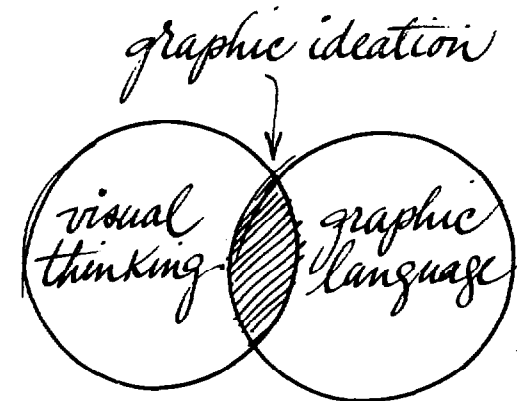


Figure 21-3.

The overlapping circles in Figure 21-3 dramatize two important observations about the interaction of thinking and language. First, not all visual thinking is language thinking: visual thinking can utilize operations (such as the act of synthesis), can be represented by imagery (such as perceptual and mental imagery), and can occur at levels of consciousness (such as dreaming) outside the realm of language thinking. Second, not all use of graphic language involves thinking: a major use of graphic language is to communicate the *result* of thinking to other people.

The actual neurological interaction between thinking and language is extremely complex and little understood. The overlapping circles in Figure 21-3 stand for this reality, or, more correctly, stand for a mental concept of this reality. In this regard, the

two circles are similar to any graphic statement. A perspective sketch of a building is not the building itself; the actual building is far more than the sketch. Graphic symbols, whether abstract or concrete, are always less than they represent.

Further, a given graphic symbol represents only one of many ways to view an idea. Ogden and Richards,<sup>2</sup> for example, chose to illustrate their notion of the relation of thought and language not with circles but with the triangle shown in Figure 21-4.

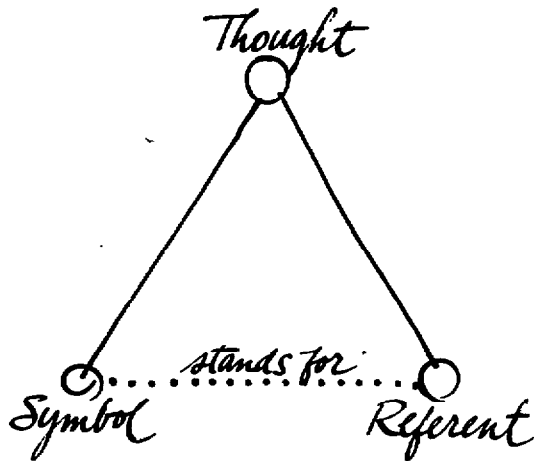


Figure 21-4.

Notice that the triangle allowed Ogden and Richards to introduce a third element, the "referent" (the actual thing or event to which thinking or symbolization refers) and also the notion that a language symbol stands for, but is not the same as, the referent. Notice also that Vygotsky's overlapping circles and Ogden and Richards' triangle stand for essentially the same concept, but cause us to view that concept somewhat differently.

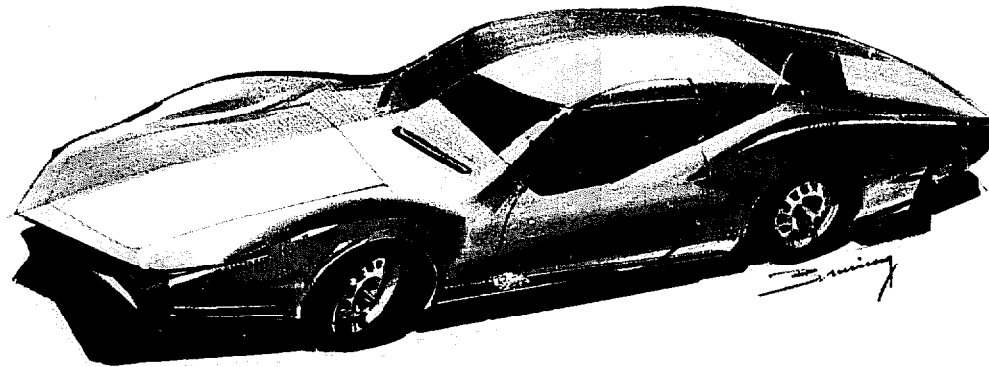


Figure 21-5.

Similarly, the perspective sketch of a car in Figure 21-5 represents only one way of looking at a car. We could as well view the car orthographically, from above or below, in cross-section, in a schematic drawing that shows its electrical system, in a diagram that shows it as an abstract element in a larger transportation or ecological system, and so on. Each graphic expression would be less than the actual car, and each would represent only one way of looking at the car. Taken together, however, they would form a composite picture more real than the "realistic" drawing in Figure 21-5.

Translating thinking into graphic language also involves the visualizer in a certain set of mental operations. The individual who drew the orthographic views in Figure 21-6, for example, was literally forced to consider his concept in detail and true proportion; he also necessarily rotated the form and cut through its structure. On the other

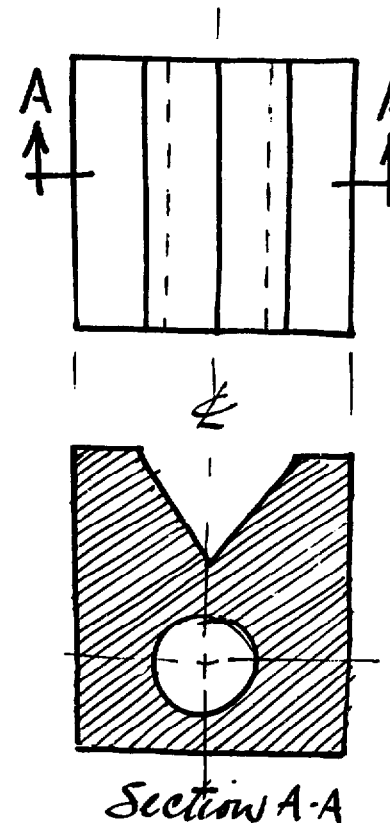


Figure 21-6.



hand, the individual who drew the diagram in Figure 21-7 was directed, by the operations inherent in this more abstract graphic language, to consider overall relationships and to ignore specific and concrete details of structure. By moving from one graphic language to another, the visual thinker automatically applies the built-in mental operations of each language. In effect, he uses language to expand the range of his thinking.

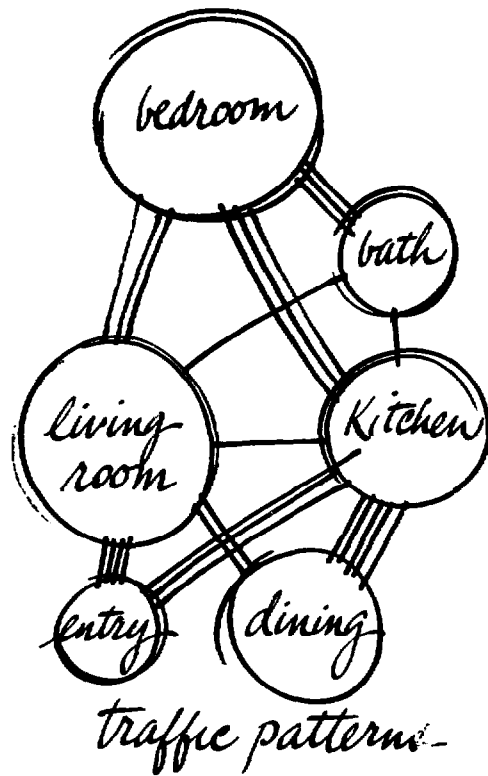


Figure 21-7.

What have I said so far about the relation of visual thought and graphic language? First, not all visual thinking is language thinking. Second, a graphic symbol is always less than what it represents; "the word is not the thing" and the graphic symbol isn't either. Third, every graphic expression embodies a viewpoint, a single way of looking at reality; by encoding an idea in a variety of graphic languages, the visual thinker represents the idea more completely. Fourth, every time the thinker changes graphic languages, he submits his idea to a new set of built-in mental operations.

To be sure, the relation of thinking to language poses a number of pitfalls. Embryonic ideas can readily be deformed in the act of expression into language: lack of language skill or inappropriate choice of language can be especially damaging to tender new concepts. The thinker is also easily tempted to mistake graphic images for the reality that they represent: a science student who graphically represents a magnetic field as a pattern of lines may be misled to believe that magnetism is actually linear; the designer who has glamorized an idea in a drawing may come to believe his own graphic illusion. Further, language can enable the thinker to conceal what he should seek to reveal: an architect may be reluctant to choose a perspective viewpoint that exposes a "bad side" or unsolved problem; he may also persist in the use of perspective to consider external appearance, when in fact he should be using another graphic language as a tool to investigate internal structure or human activities inside the building. Finally, the

thinker who habitually uses only one or two graphic languages is often led by language to avoid the kinds of mental operations that he most needs to solve his problem.

In short, the relation between visual thinking and graphic language can be both beneficial and harmful. To use graphic language as a tool for thinking, and at the same time to avoid the pitfalls and ruts of language, the visual thinker must learn to use graphic language *flexibly*.

### graphic language flexibility

Language, writes Jerome Bruner,<sup>3</sup> "predisposes a mind to certain modes of thought . . . Also, languages differ in their capacity to absorb and facilitate new ideas." Ernst Cassirer<sup>4</sup> elaborates: "Each language draws a magic circle around the people to which it belongs, a circle from which there is no escape save by stepping out of it into another." Visual thinkers are fortunate that they have relatively easy access to a variety of graphic languages; if one language does not facilitate their thinking, they can step out of it and into another.

The ability to use language to express a wide range of subjective-to-objective meanings is one kind of graphic-language flexibility. Picasso<sup>5</sup> claimed "What I want is that my picture should evoke nothing *but* emotion." Compare the idea-sketch by Picasso for his famous painting "Guernica" in Figure 21-8 with some of the more objective sketches reproduced in this chapter, and with your own sketches. How able are you to move freely between subjective and objective graphic expression?

## 21-1 / expressive flexibility

Edward Hill<sup>6</sup> observed that "Such is the physiognomy of a student's drawing that it reveals the temperament . . . of its author. By temperament we mean his timidity or temerity, dullness or sagacity, frivolity or earnestness."

1. With a teacher or friend, look over a collection of your recent drawings. Can you diagnose a quality of emotional expression in them that



Pablo Picasso, *Horse and Bull*, early May, 1937. Study for *Guernica*. Pencil on 10" paper, 8 1/2" x 4 1/4". On extended loan to The Museum of Modern Art, New York, from the artist.

Figure 21-8.

bears to be loosened up, to be made more flexible? For example, are most of your sketches tight, dry, and over-concerned with objective description? Or are they subjectively oriented and short on logical, careful concern for structure?

2. Devise an experience intended to help you achieve more flexibility of expression.

Freedom to break out of established graphic languages and to create new ones is another important kind of graphic-language flexibility. Usually the need for this kind of flexibility is experienced in relation to a language that is felt to be constricting. Perspective, for example, was invented to free Renaissance artists from the limitations of medieval language forms, and Cubism was invented to release contemporary artists from the limitations of perspective. Today, many designers dislike the limitations on spatial thinking imposed by languages based on Cartesian coordinates. When a non-Cartesian language is developed that successfully unhinges thinking from T-square and triangle, design thinking (and the quality of our designed environment) will be substantially influenced.

The student of visual thinking who is not yet fully acquainted with the advantages and disadvantages of current graphic-language forms is rarely prepared to invent new ones, however. Inventiveness in graphic language requires a modicum of graphic literacy. A way to obtain literacy, and at the same time to acquire language flexibility, is to learn how to use graphic language to move thinking and expression from abstract to concrete meanings and back. Indeed, ability to move from one

graphic language to another, along the dimension of abstract-to-concrete, is probably the most useful kind of graphic-language flexibility.

## abstract-to-concrete

In this book, you have experienced the dimension of abstract-to-concrete in a number of contexts. In the context of seeing, you have witnessed your tendency to seek overall visual patterns and then to analyze these gestalten in detail. In the context of imagining, you have glimpsed abstract inner imagery and the more vivid and representational imagery that accompanies dreams and visual recall. In the context of drawing, you have drawn abstract thumbnail sketches and then developed specific spatial features in perspective drawings. The dimension of abstract-to-concrete pervades all cognitive activity; as Ulric Neisser<sup>7</sup> puts it, all cognition consists of a *two-stage act of construction*: "the first is fast, crude, wholistic, and parallel, while the second is deliberate, attentive, detailed, and sequential."

Mental activities of abstraction and concretization, by the interaction of thought and language, have naturally been embodied into language form. Hayakawa demonstrates the abstract-to-concrete dimension of verbal language with the "abstraction ladder" shown in Figure 21-9. At the bottom of the ladder is reality—not the reality that you can perceive (that's Step 2) but the reality of whirling atomic particles and energy processes that cannot be perceived even with the finest of scientific

### VERBAL ABSTRACTION LADDER

Start reading from the bottom UP

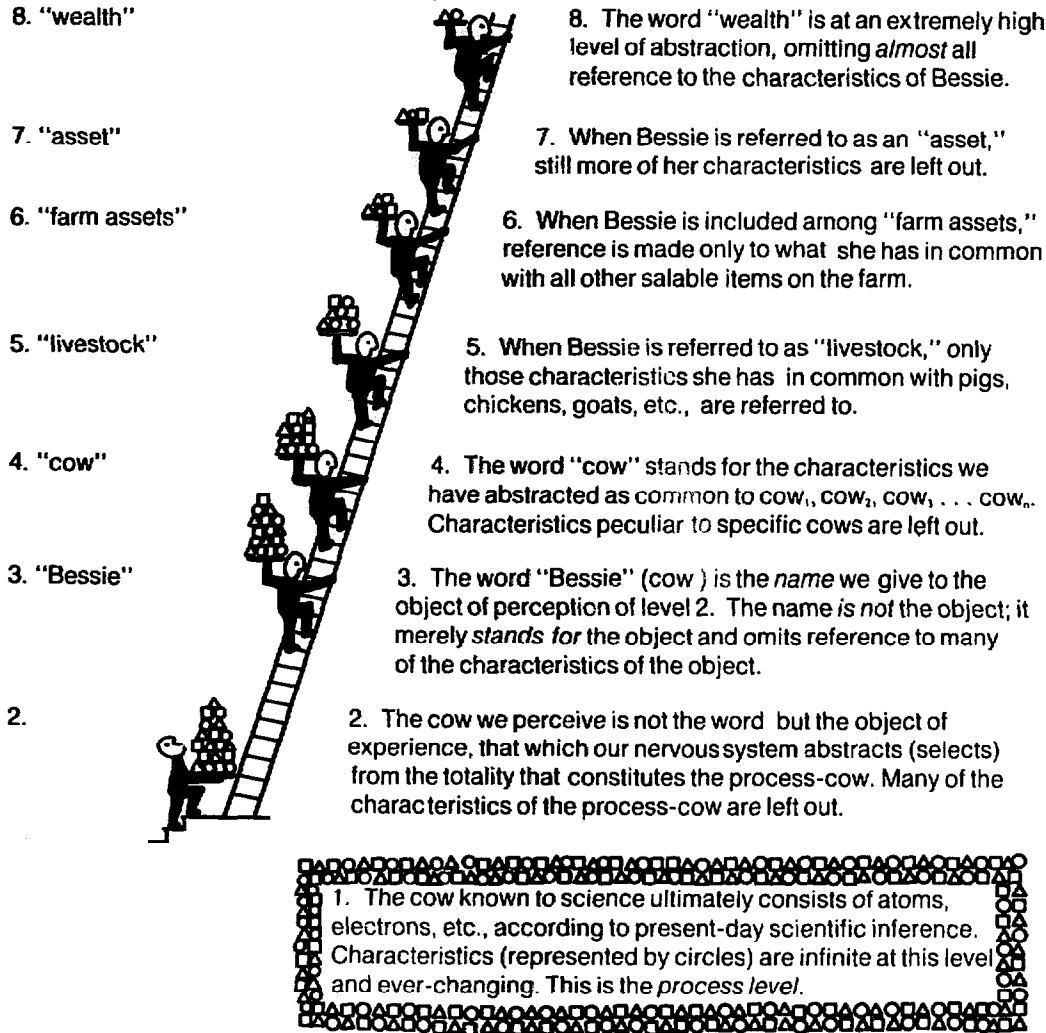


Figure 21.9.<sup>1</sup>

instruments. As you proceed up the abstraction ladder, each word is more abstract—that is, it makes less reference to a particular thing or event. As Hayakawa<sup>8</sup> points out, "This process of abstracting, or leaving things out, is an indispensable convenience." An abstract word such as "wealth" allows thinking to embrace far larger meanings than does the concrete word "Bessie."

In Figure 21-10, I have organized graphic languages in a hierarchy of abstraction, much like Hayakawa's verbal one. In the next few pages, I will describe and provide examples of each of these kinds of graphic language. Notice, in Figure 21-10, the line that demarks abstract graphic languages from concrete ones. The dichotomy that mistakenly links verbal thinking with abstraction and visual thinking with concretization was undoubtedly conceived by individuals who identified visual imagery with postcard realism and failed to observe visual abstraction as expressed in contemporary art and abstract graphic-language forms. Abstract graphic languages encode abstract ideas, not concrete things. By distinguishing abstract from concrete graphic languages, I hope particularly to dramatize the abstract dimension of graphic-language thinking.

### abstract graphic languages

In an important sense, all graphic symbols are abstract—that is, all leave out part of what they represent; one cannot draw without being selective. However, some graphic symbols are far more abstract than others. The "yin and yang" symbol in

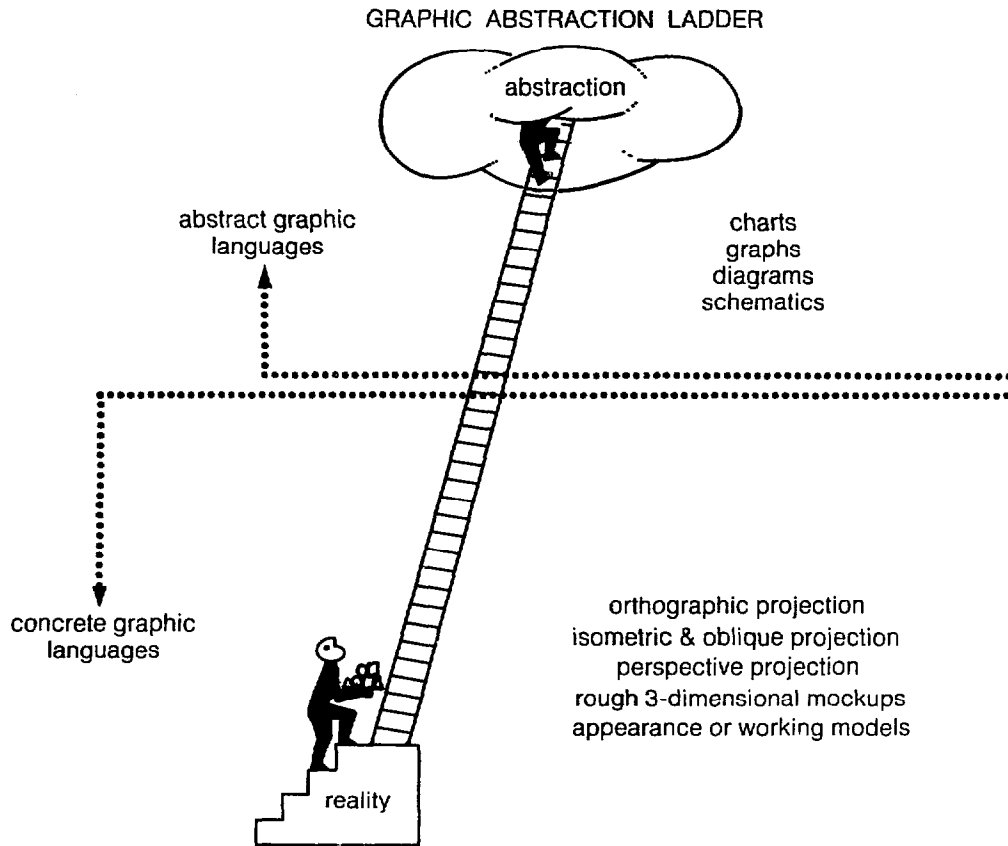


Figure 21-10.

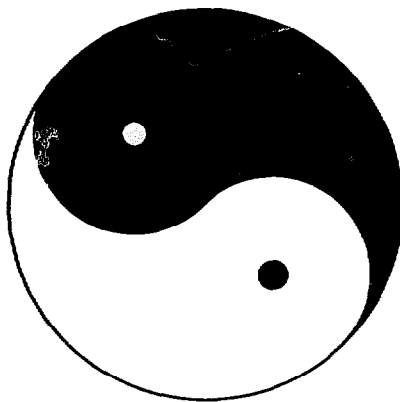


Figure 21-11.

Figure 21-11, for example, refers to the abstract notion that the interplay of contrasting and complementary forces engenders and sustains the universe. Such a symbol represents an abstract concept, not a thing, and is comparable to an abstract word.

A single graphic symbol, however, does not constitute a graphic language. A language consists of a set of rules by which symbols can be related to represent larger meanings. A simple example of an abstract graphic language is the *Venn diagram* illustrated in Figure 21-12 (and also in Figure 21-3). The basic grammatical rule of the Venn diagram is that overlap means relationship. Used to illustrate rules of logic, additional rules are added—shading, for example, to describe *kinds* of relationship. As with all language forms, the Venn diagram can articulate a large variety of meanings. Additional circles can be added, and the rules are not changed when the overlapping symbols are not circles.

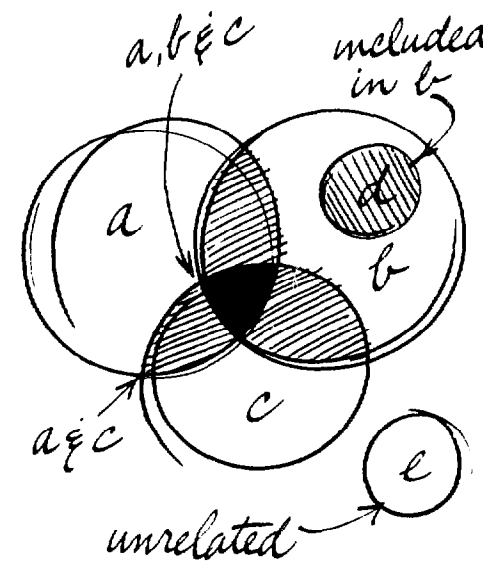


Figure 21-12.

Another abstract graphic-language form is the *organization chart* in Figure 21-13.

An organization chart can represent many things: a family tree, a management hierarchy, a system for making decisions, and so on. (A language can always encode a variety of contents.) The grammatical rules of this particular language are (1) the higher an item is on the page, the more important it is, (2) equal rank is positioned on the same horizontal level, (3) like functions are grouped together, and (4) lines represent connectedness (of genes, of power, of information). An organizational chart is an abstract language because it helps to describe the structure of an idea, not a thing.

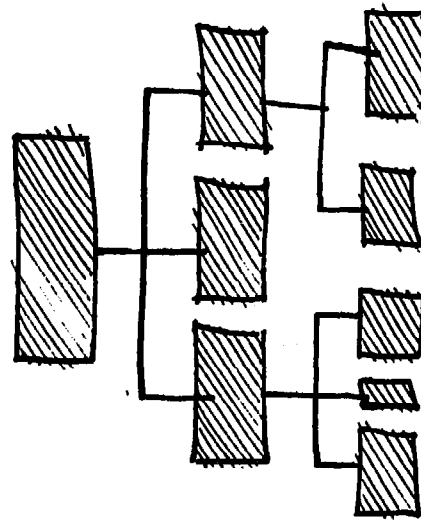


Figure 21-13.

The flow chart in Figure 21-14 is an elaboration of the organization chart. In this language, the arrow symbolizes direction of flow between elements. Notice that the elements in this case are no longer boxed words but highly abstract representations.

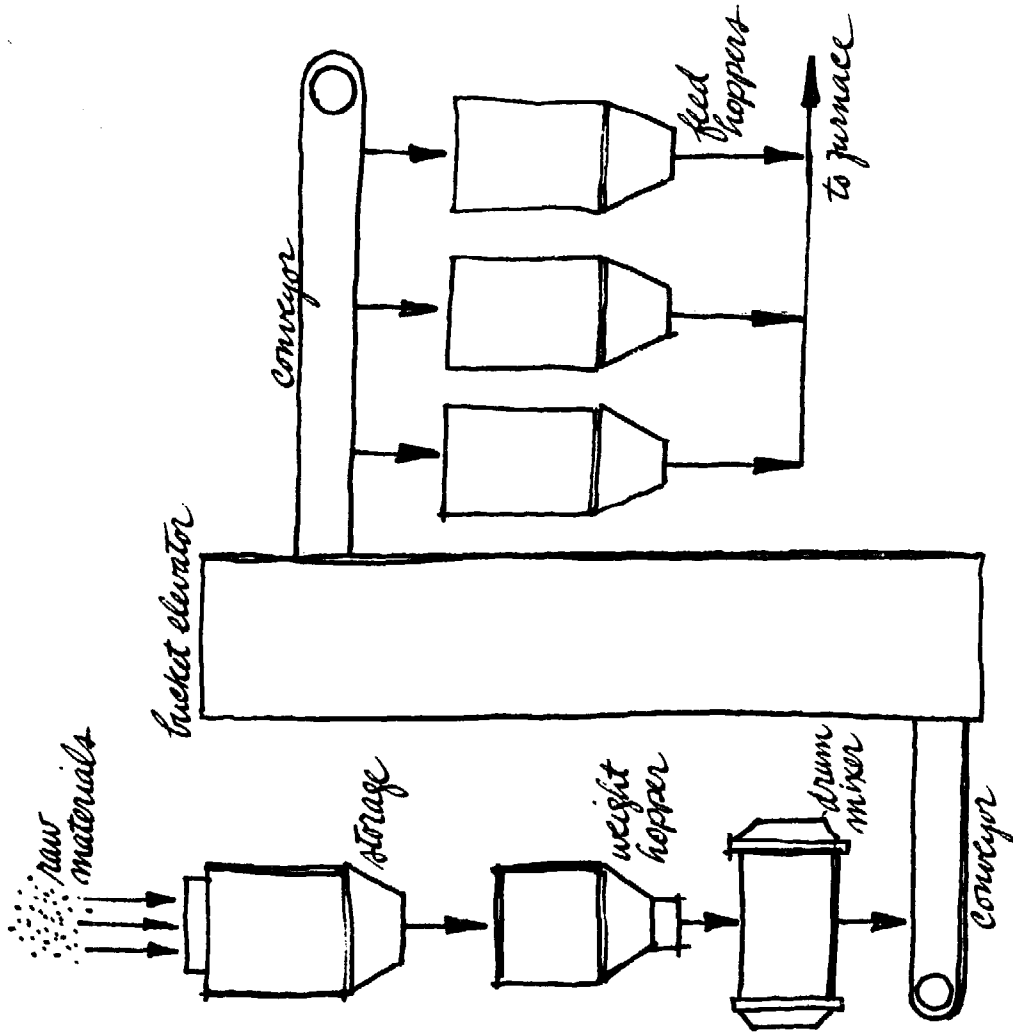


Figure 21-14.

Pattern language was invented by architects Alexander, Ishikawa, and Silverstein to aid in the design of buildings. Pattern language consists of a number of abstract visual symbols comparable to newly conceived words. A typical symbol, shown in Figure 21-15, visually represents a desired relationship or attribute; in this example, the symbol represents the designers' desire that "windows near places where people spend more than a minute or two should all look out on areas of 'life'." This pattern (which is supported by several



Figure 21-15.

pages of documented research) represents one of many patterns that are also given an identifying symbol. Figure 21-16 shows how several patterns are clustered together in a "language cascade." The syntax of pattern language requires that (1) patterns that influence other patterns are placed higher on the page, (2) related patterns of equal importance are grouped together horizontally, and (3) connecting arrows denote only important relationships. The abstract, visual, and flexible nature of pattern language makes it extremely useful as a device to express, to see, and to think about complex problems.

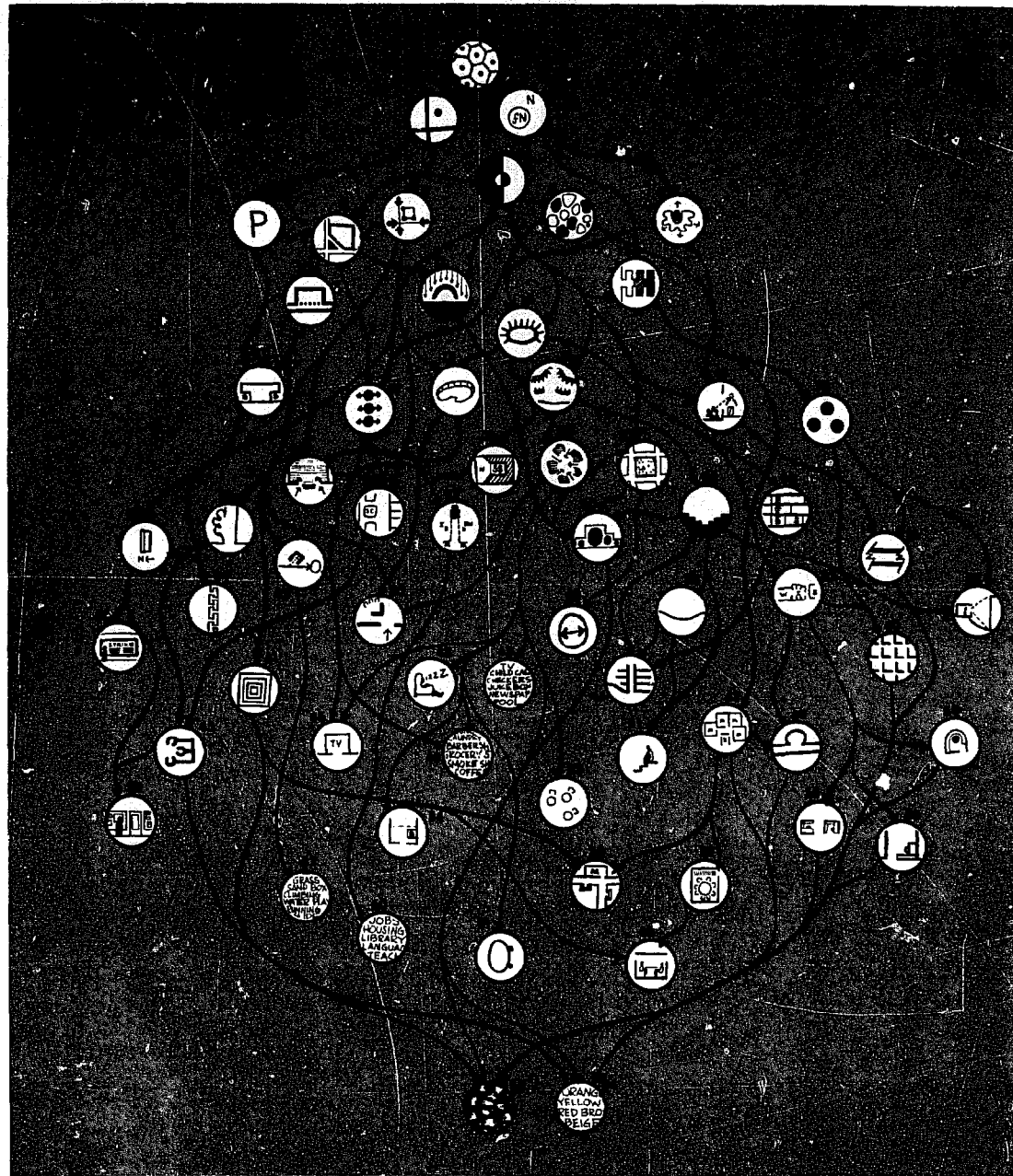


Figure 21-16.

## 21-2 / pattern language

1. On the blank side of separate file cards, describe each basic requirement of a given problem with an abstract graphic symbol. On the back, elaborate the requirement in writing.
2. Now place all of the file cards on a large table with graphic symbols up. Manipulate the symbolized requirements boldly, grouping and regrouping them into various relationships.
3. Using the grammar of pattern language, record the most idea-provoking arrangements.

*first preference* →  
*second* →  
*third* →

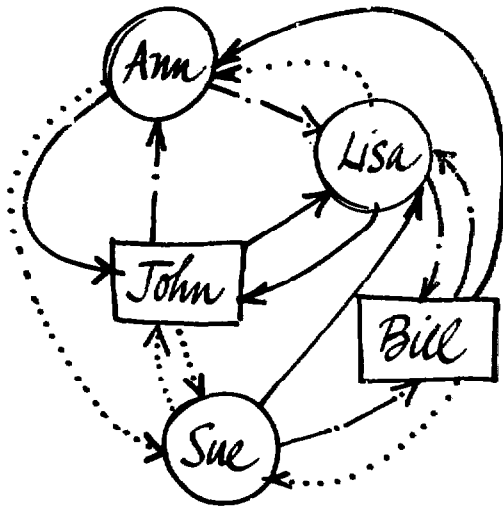


Figure 21-17.

Still another abstract graphic language is the *link-node diagram*, shown in the form of a "sociogram" in Figure 21-17. Here, direction and importance of relationships, or links, between people are represented by coded arrows.

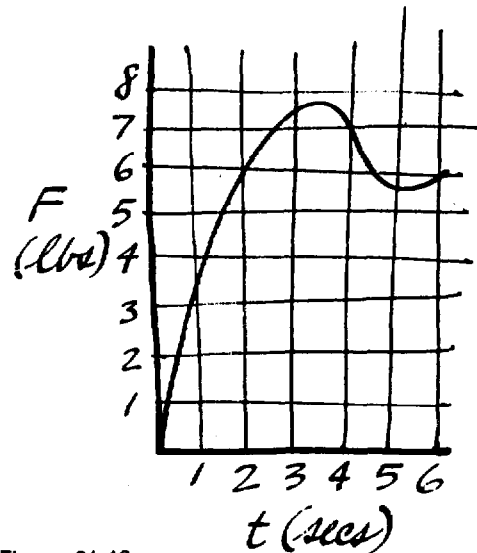
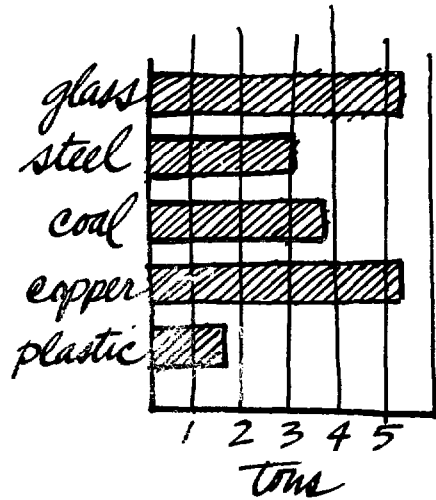


Figure 21-18.

The *bar chart* (top) and the *graph* in Figure 21-18 are perhaps more concrete than the previously described abstract graphic languages because they encode specific dimensions. Also more concrete are the *schematic drawing* and *circuit diagram* in

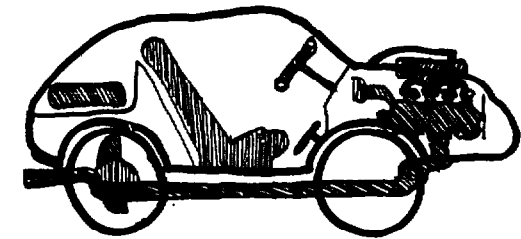
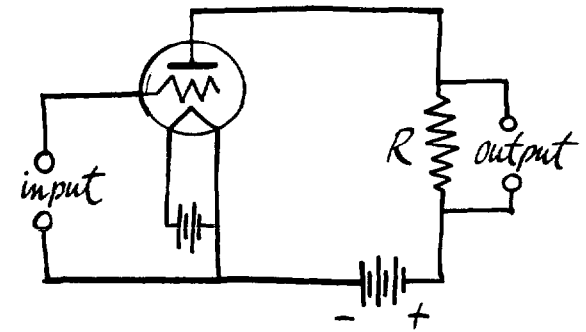
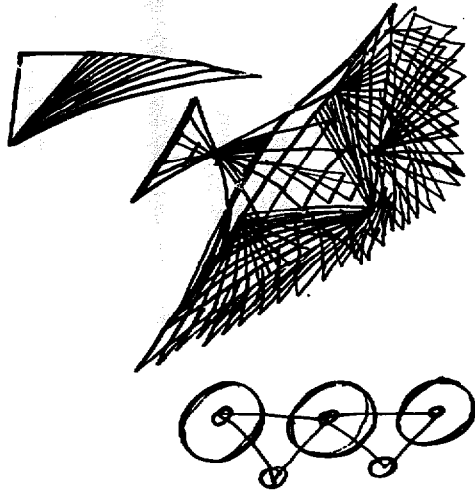


Figure 21-19.

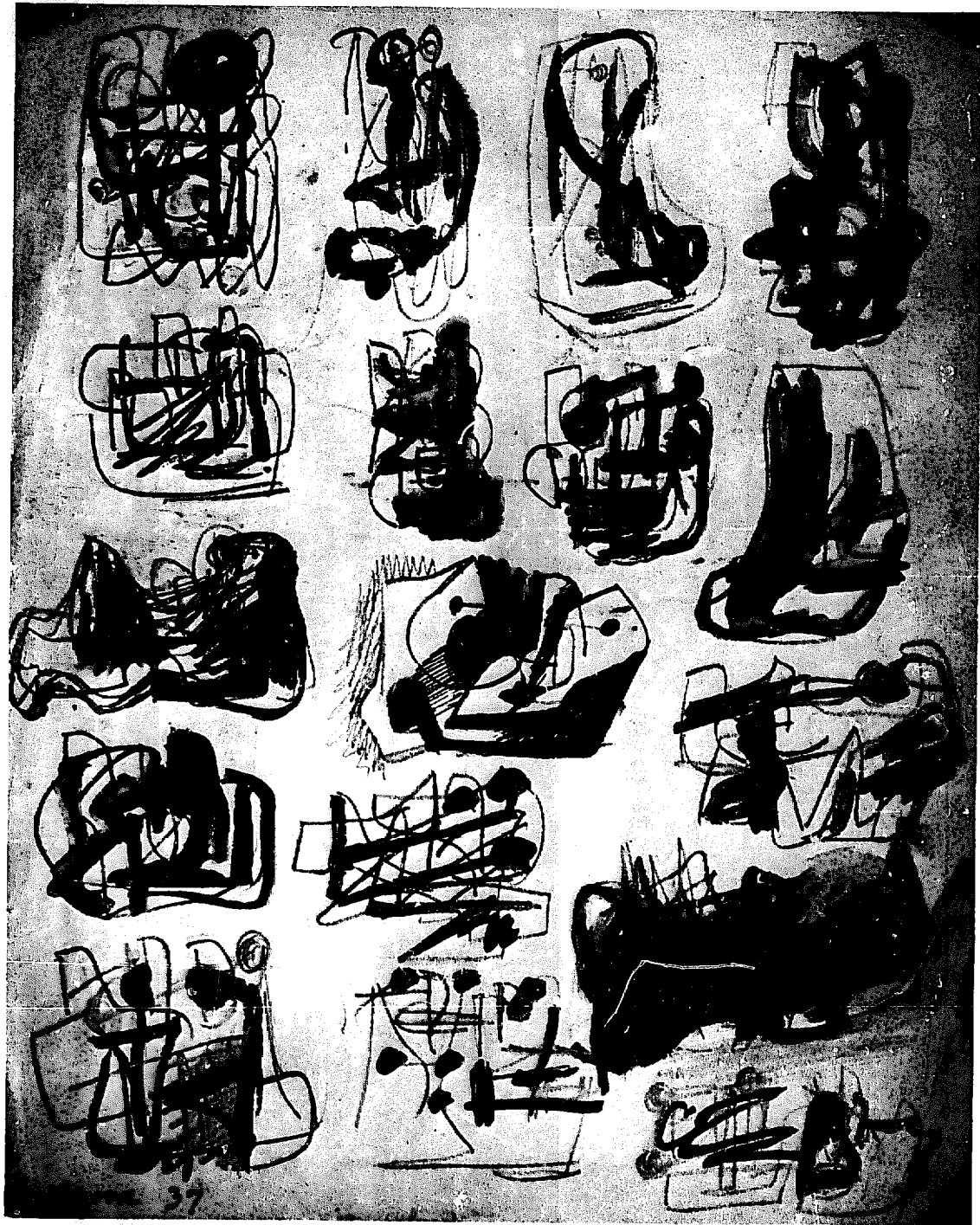
Figure 21-19, which describe functional and spatial relationships in nondimensional terms.

The following few pages are examples of idea-sketching in various abstract graphic languages. Notice how the use of abstract graphic languages fosters fluent ideation, encourages bold manipulation of basic relationships, and is generative of many concrete alternatives.



December 8<sup>th</sup> 1925

**Figure 21-20.** President Herbert Hoover's doodle does not represent a thing, but expresses a reverie, a feeling, or an aesthetic interest in structure. It is therefore "abstract" in the same sense that non-representational art is abstract.



**Figure 21-21.** Henry Moore's idea-sketches are concerned with the gestalt of a sculptural idea, with bold patterns of light and shadow, with overall relationships of line, volume, and space; abstraction permits him to explore many ideas quickly. Moore says that he sometimes begins sketching with nothing in mind, then discovers an idea in his doodlings. (See Exercise 10-4, "Da Vinci's Device.")





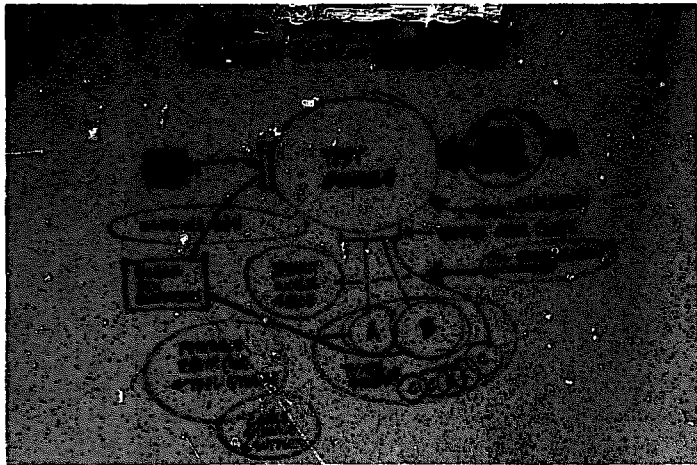


Figure 21-24. Designer Tony Chan drew this bubble diagram to view his design problem as a whole. Having abstracted basic relationships in the problem, he is now prepared to generate concrete solutions. His first solutions may not be correct, however; they may, in fact, reveal inadequacies in this initial bubble diagram. Repeated iteration from abstract to concrete, and back and forth again, will likely be necessary to solve the problem.

Scene 162-166

MONDAY 23  
26TH DAY • SEPTEMBER 1966

SERVICES PERFORMED TODAY

8:00 ✓ cuts of see (Silent) = Can there be printed decks Expense? ✓  
color negative of boat, (toyama house)

9:00 1. ✓ Taylor MCU, unmoving  
40mm except at end, moves head  
as if huddling a little

2. ✓ Taylor MCU, a bit closer,  
opens eyes, looks to side,  
turns head, as if sick  
at 28 FPS ✓

3. Taylor, MCU -- more or less a  
reaction shot, head turns a  
bit as door opens

4. ✓ Also use long lens on at least one of these

5. Med shot maid in hall,  
as she opens door = } BIT  
(Entire scene) HIGH

6. Interior shot of same  
room, dark now } LEVEL  
(Oh, pardon monsieur  
retracts (Entire scene))

Antoine: Sound of door

7. Tray on chair =  
Out of focus, in, out =

8. Sink + mirror, out, in, out =

9. P.A. same, watching,  
pin to bed reaches for  
early dawn, we find ~~him~~ watch  
his eyes open

Figure 21-25. Movie writer and director James Salter sketches to decide the content, composition, and action of each camera shot, and to organize imagery into a dramatic sequence. These sparse notations, subsequently developed into a script, took final form in the film *Three*, produced by United Artists.

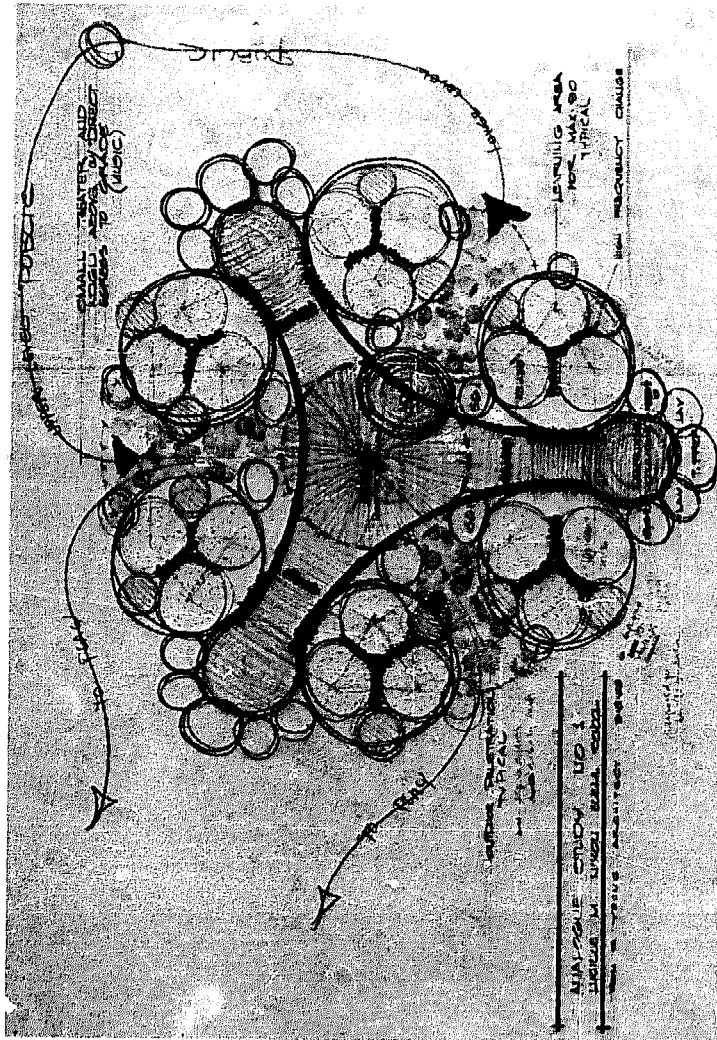
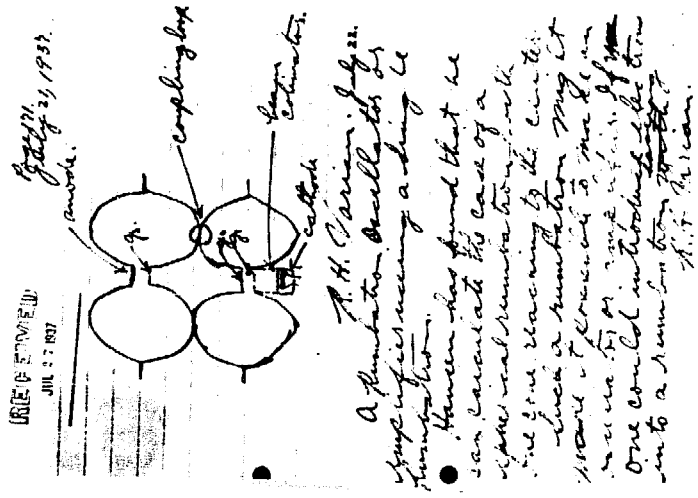
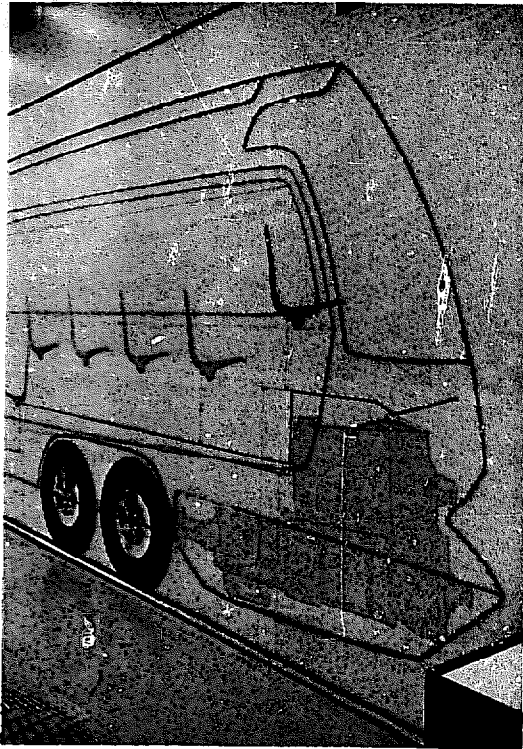


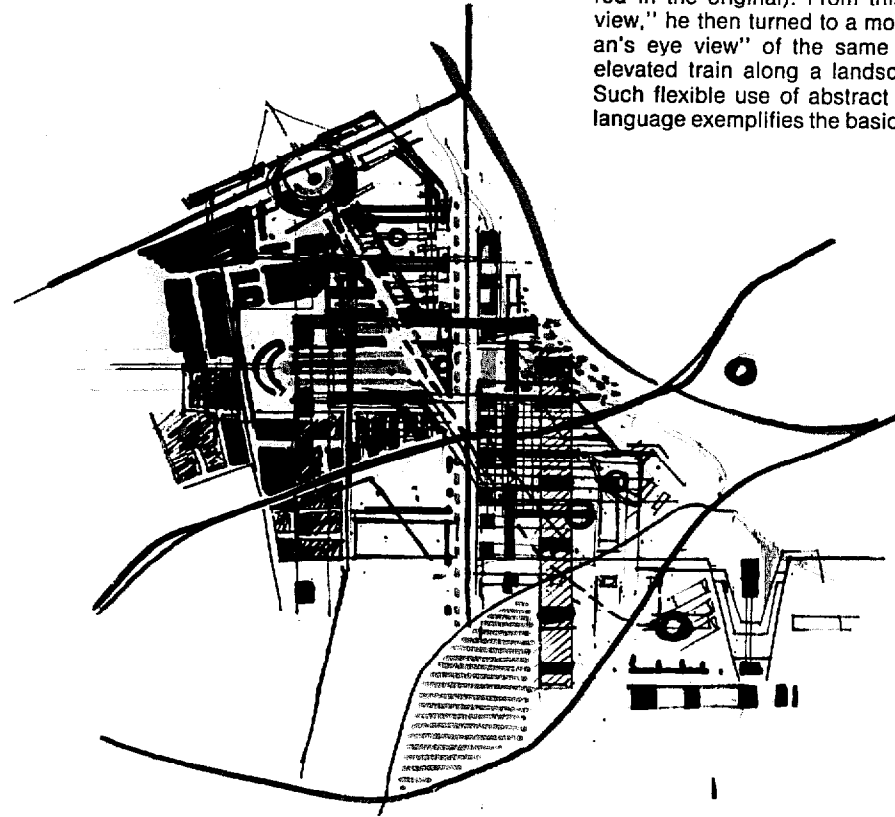
Figure 21-26. By its abstractness, this "analogue study" enabled architect Ron Young and his clients to agree upon fundamental functions and relationships to be provided by a new elementary school—without distraction by lesser details. (The sketch was drawn during a client conference.) Unlike the bubbles on the previous page, these are spatially located. Young goes directly from this study to a spatially analogous, but more concrete, floorplan.

Figure 21-27. Inventor Russell Varian captured his initial idea for the rumbatron oscillator, or "klystron," in this simple schematic. You could not build Varian's design from this sketch: it is too abstract. On the other hand, Varian's notation embodies specific spatial features and relationships: it is therefore more concrete than Ligeti's musical notation, Chan's bubble diagram, or Halprin's visualization of the creative process.





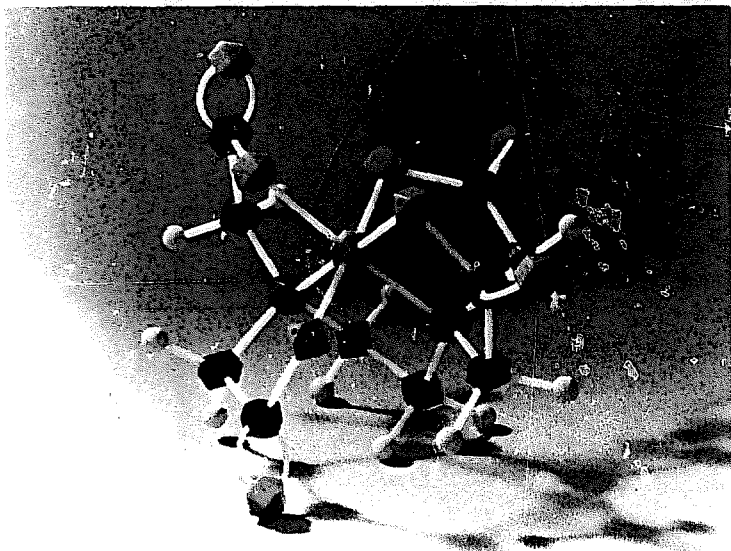
**Figure 21-28.** This full-scale schematic of a bus concept consists of shapes of colored paper (for the seats and engine) and lines of colored yarn—all held in place by large pull tacks. This mode of representation, developed by General Motors designers, is a boon to thinking because it is so easily manipulated.



**Figure 21-29.** To consider an urban transportation concept, designer Gil Born first abstracted the idea as a line of movement through the city (drawn in red in the original). From this abstract "bird's eye view," he then turned to a more concrete "pedestrian's eye view" of the same concept (seen as an elevated train along a landscaped shopping mall). Such flexible use of abstract and concrete graphic language exemplifies the basic theme of this chapter.



Figure 21-30. This Benjamin/Maruzen snap-together model of a calcium EDTA molecule is an example of a three-dimensional chemical diagram. Organic chemists who must deal with extremely complex molecular structures find such models invaluable tools for thinking and an essential alternative to two-dimensional diagramming.



Reproduced by permission of W. A. Benjamin, Inc., distributor of the Benjamin/Maruzen HGS Molecular Model Kits.

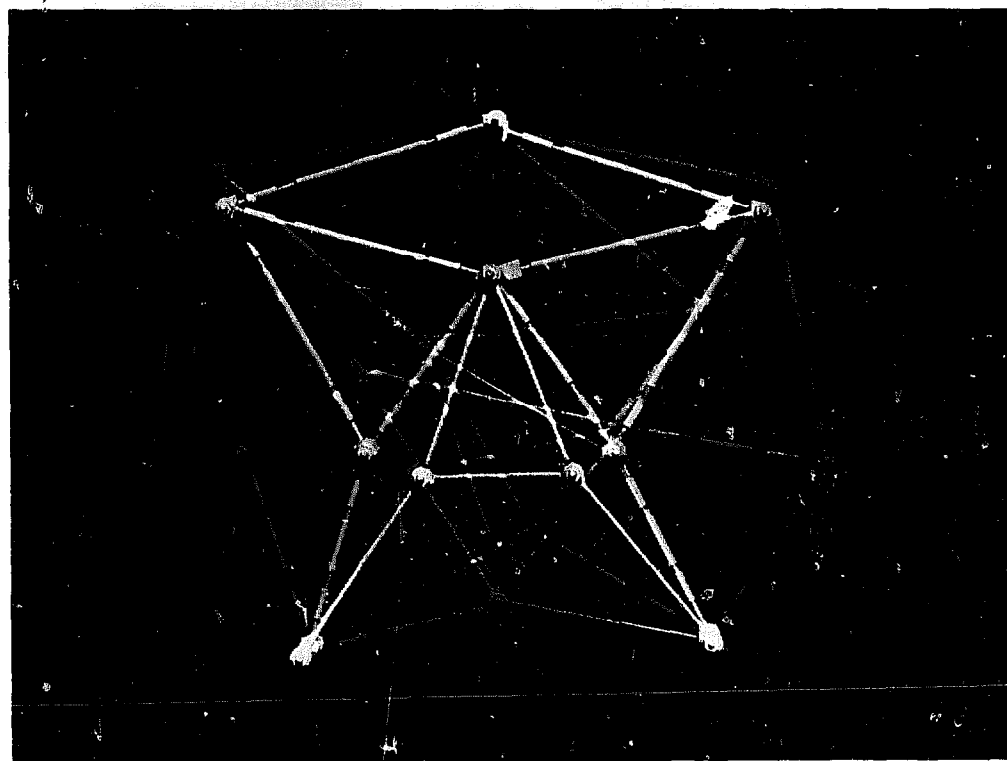


Figure 21-31. This dynamic three-dimensional diagram, constructed by a Stanford design student using the Swedish Mark Sylwan FAC X2 Construction Kit, actively demonstrates a basic theorem in mechanism kinematics. Almost as abstract as a line drawing, this model shows the action of this ten-link mechanism as no drawing could.

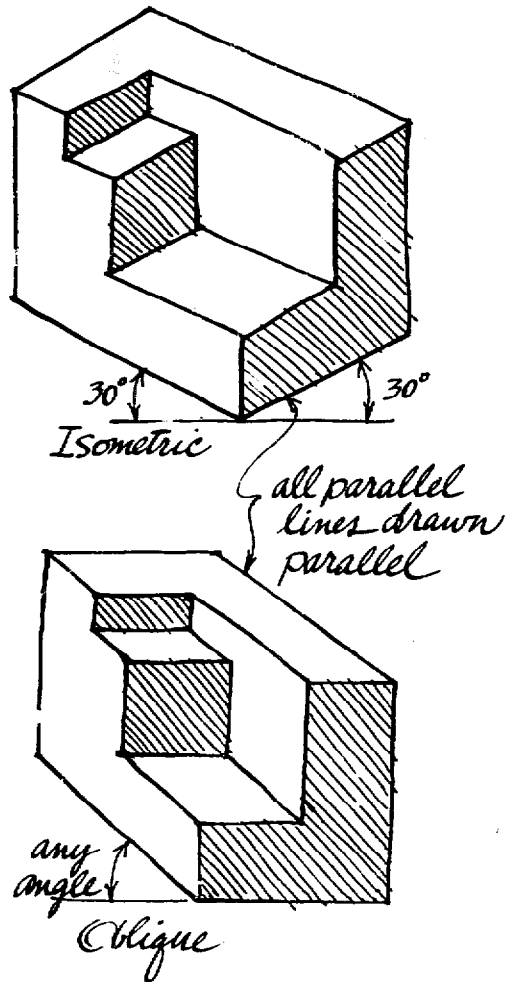


Figure 21-32.

### concrete graphic languages

The graphic languages of orthographic, isometric, oblique, and perspective projection are usually directed toward the description of an actual thing. Orthographic and perspective projection are described in Chapter 13; isometric and oblique projection, essentially perspective shortcuts, are shown in Figure 21-32.

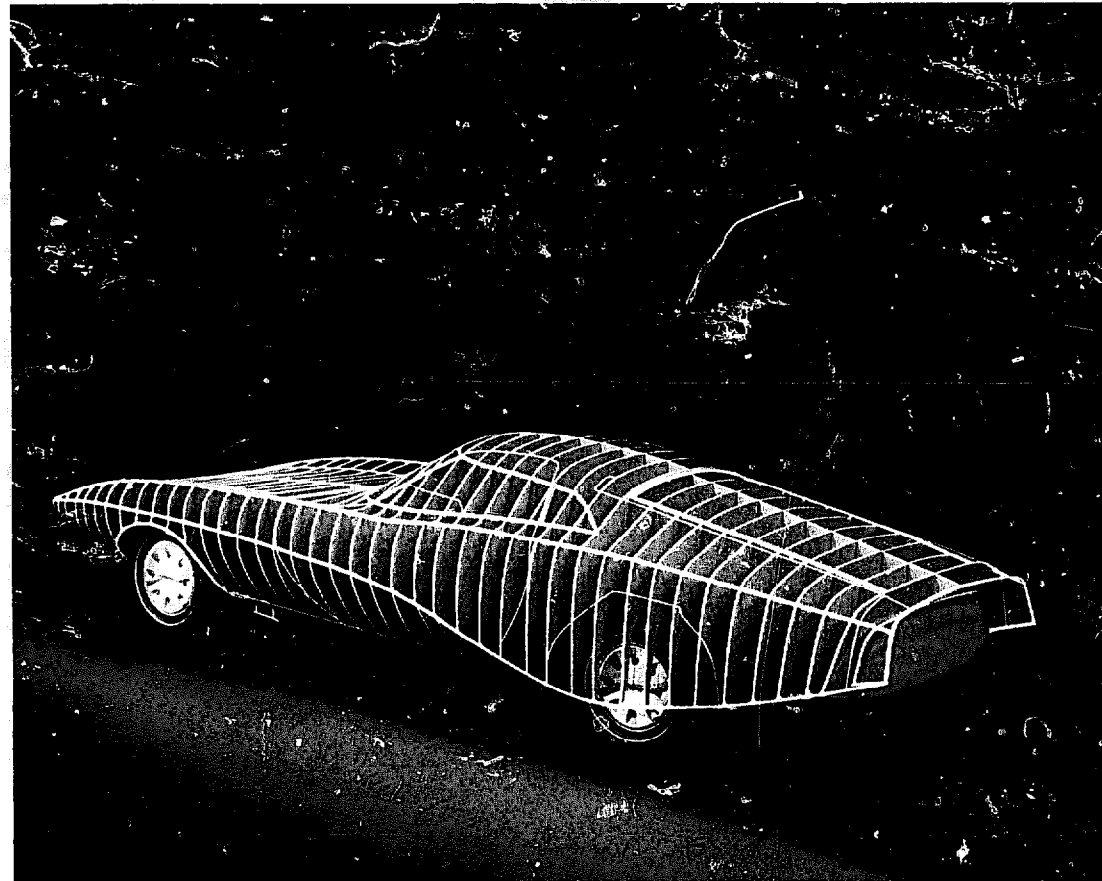


Figure 21-33.

An additional concrete way to represent ideas is by means of the *three-dimensional model* or *space sketch*, shown in Figure 21-33. Although not strictly a "language," three-dimensional modeling fits naturally at the end of the abstract-to-concrete continuum of graphic languages.

The next few pages are examples of ideation-sketching intended to show how concrete graphic languages and three-dimensional modeling can be elaborated. Notice, for example, how perspective drawings can be used to reveal structure by cross-sectioning, peeling away, exploding, and making transparent.

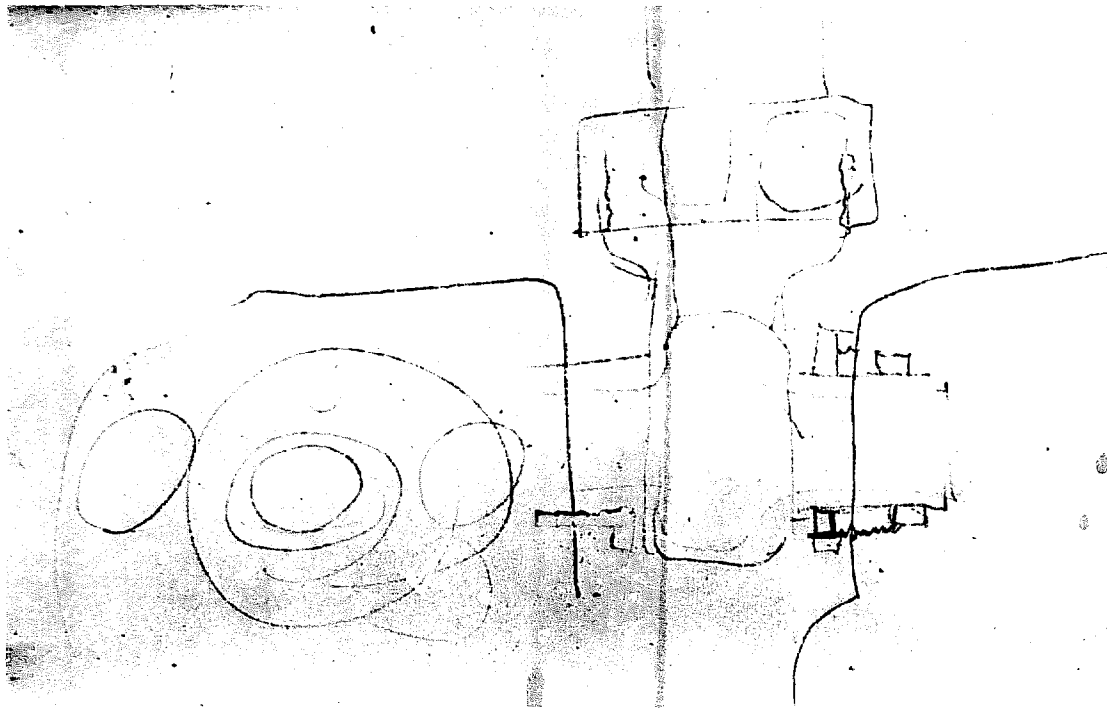


Figure 21-34. This drawing by Henry Ford appears to be abstract, but the screw thread on the right suggests that it depicts a concrete idea. Found in one of Ford's personal notebooks, it was not intended to communicate and it doesn't. The staff at the Ford Archives in Dearborn cannot identify what it represents.

Courtesy of the Ford Archives, Henry Ford Museum, Dearborn, Michigan.

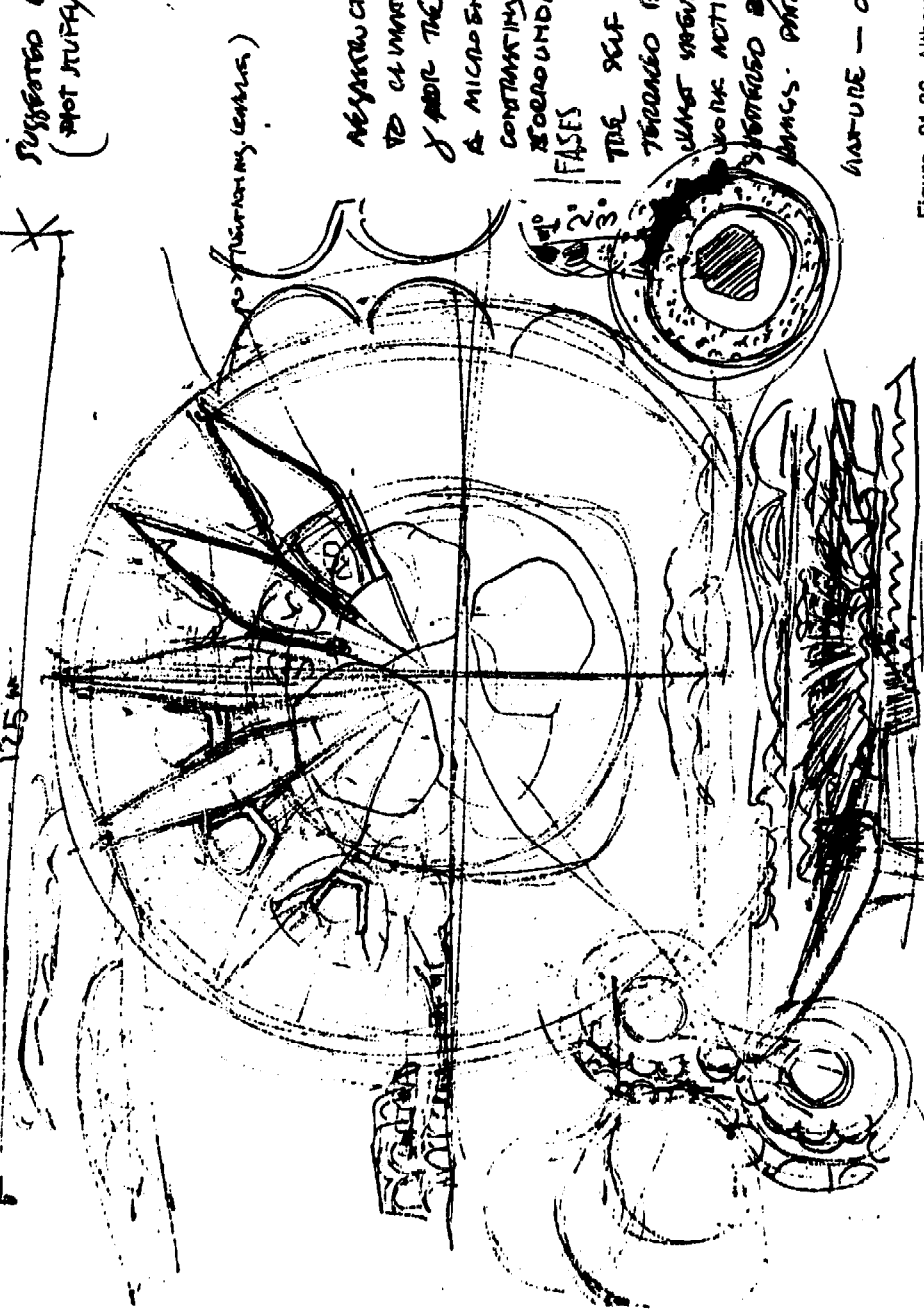


Figure 21-35. The demarcation between abstract and concrete graphic languages is of course arbitrary. This conceptual drawing for the *Grotto for Meditation* by architect Frederick Kiesler also seems, at first glance, to be quite abstract. However, notice that Kiesler's sketch resembles Figure 9-2 and Exercise 9-3, "Exploring the Object." Kiesler's marker is likely exploring a concrete idea.

RECENTS PROGRAMING OF DISCUS  
STOMS. WHAT PAPER  
PRESENTED BY ROUGH  
SHEPHERD DISCUSSION  
AT DIFFERENT OURS.



SUGGESTED ENRICHED INSTRUCTOR  
(NOT STUFFY BUT "INTUITIVE")



REGISTRATION AS A DEVICE  
TO CHANGE CONDITIONS  
FOR THE CONSTITUTION OF  
A MICROENVIRONMENT OF  
CONTINUING CHARACTER FROM  
SURROUNDING NATURE,  
PHASES

THE SELF CONTAINED AND  
TECHNOLOGICAL OFFERS A  
WHAT VENTURED AREA FOR  
WORK ACTIVITIES INDIVIDUALLY  
SPONSORED BY ADULTS, OVER  
LAPING. PARASOLS. ....

NATURE - CULTURE INTERPLAY

Figure 21-36. Although also loosely drawn, this sketch by visionary architect Paolo Soleri is a concrete graphic statement: it utilizes orthographic projection, it is dimensioned, it is concerned with a specific configuration. Observe the rhythm and grace with which Soleri conveys his ideas into sketch form.



Harry Bradley for the Museum, May 25, 1959

REAR WINDOWS  
WRAP UP OVER ENGINE  
BACK WINDOW OPENS  
GULL-WING STYLE FOL  
LENGING MAINTENANCE

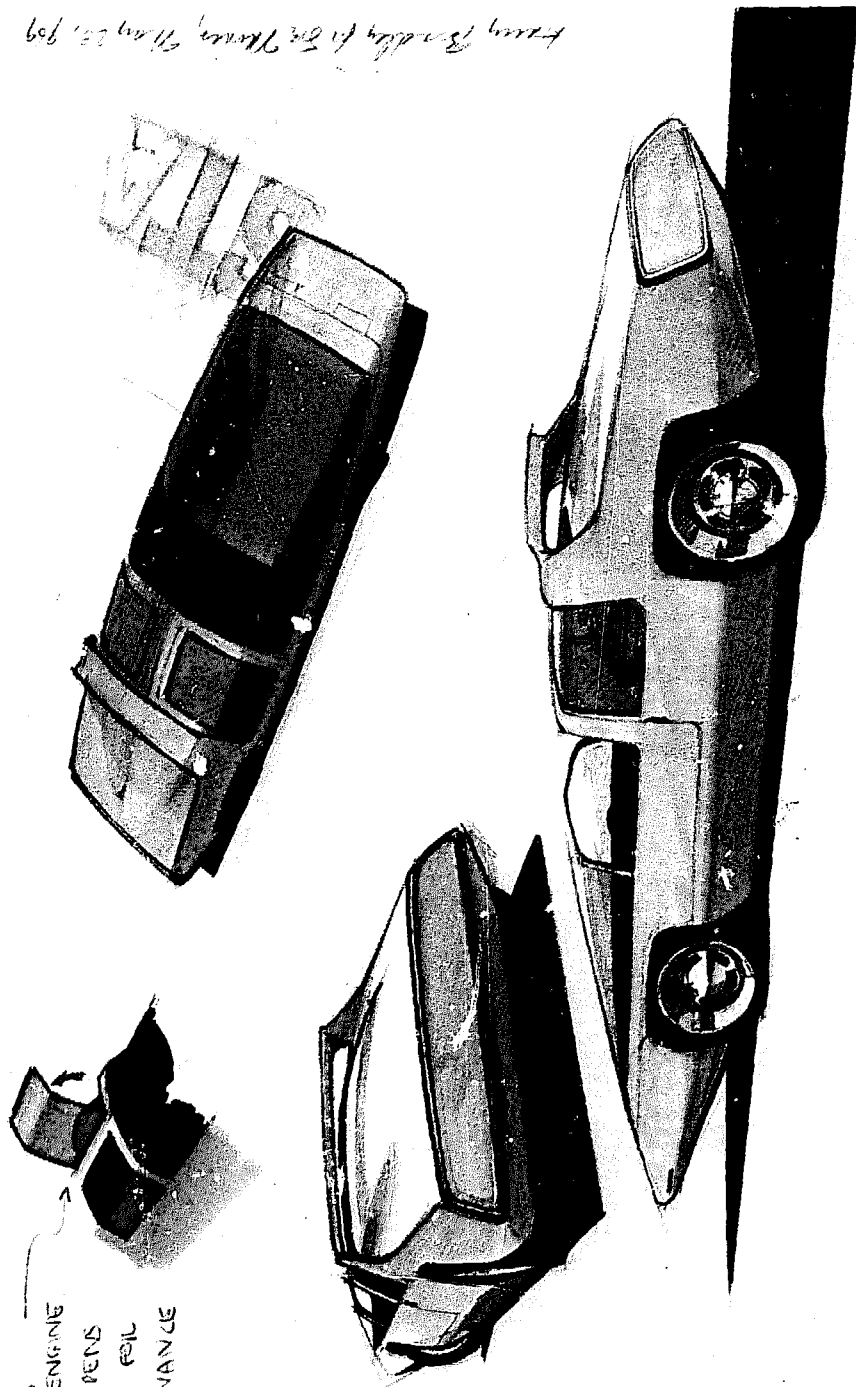


Figure 21-37. A dramatic use of concrete graphic language occurs when one explores appearance of an object of the mind without having to build it. Designer Harry Bradley examines a car concept from several angles this way, using one black and two gray felt-tip markers and a black Prismacolor pencil to portray its sculptural form.



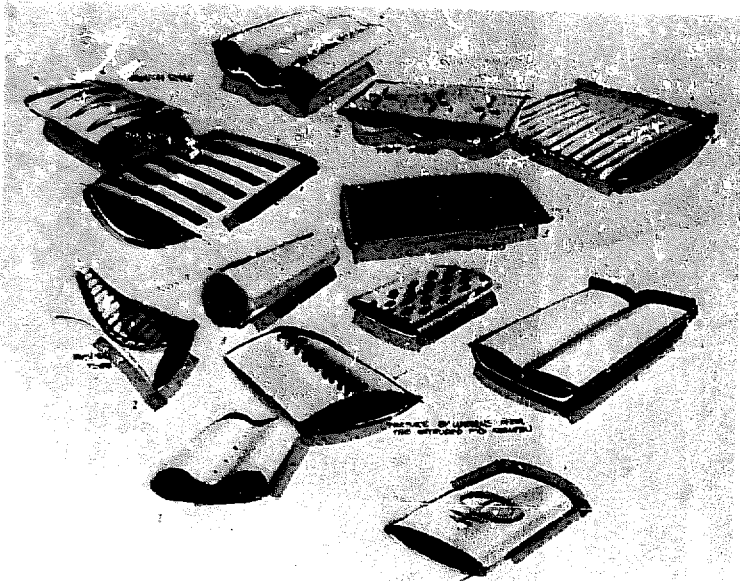


Figure 21-40. These sketches by designer Clair Samhammer are also concerned with visual appearance. The top drawing shows alternative configurations for the classic fig newton. Notice how both drawings reveal the designer's pleasure in taut, rhythmic lines. Like handwriting, idea-sketches are identifiable expressions of personality.

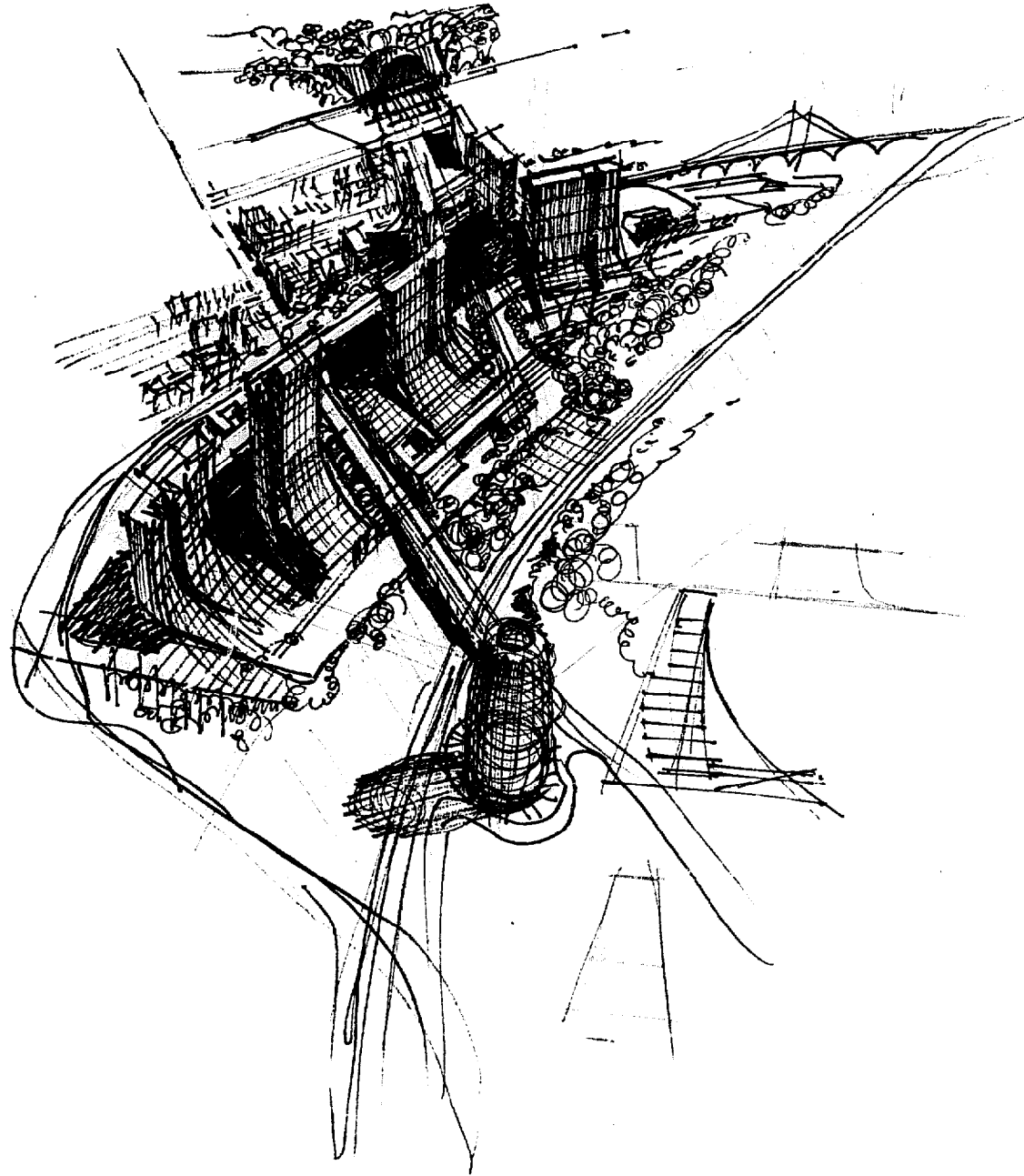


Figure 21-41. No camera, no airplane, and no buildings: Gil Born's thumbnail sketch is a snapshot of his predictive imagination. The value of making ideas visible on paper before building them is especially evident with projects of this size.

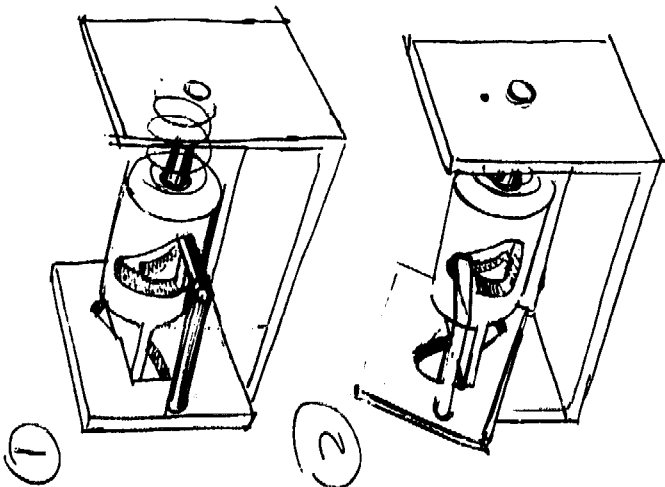


Figure 21-42. Designer Del Coates is not concerned primarily with appearance; he has chosen perspective for its ability to help him visualize this mechanism's spatial action. A single perspective view is superior to the flat, multiple images of orthographic projection for this kind of mental operation. Orthographic views will be used later to consider proportions and dimensions.

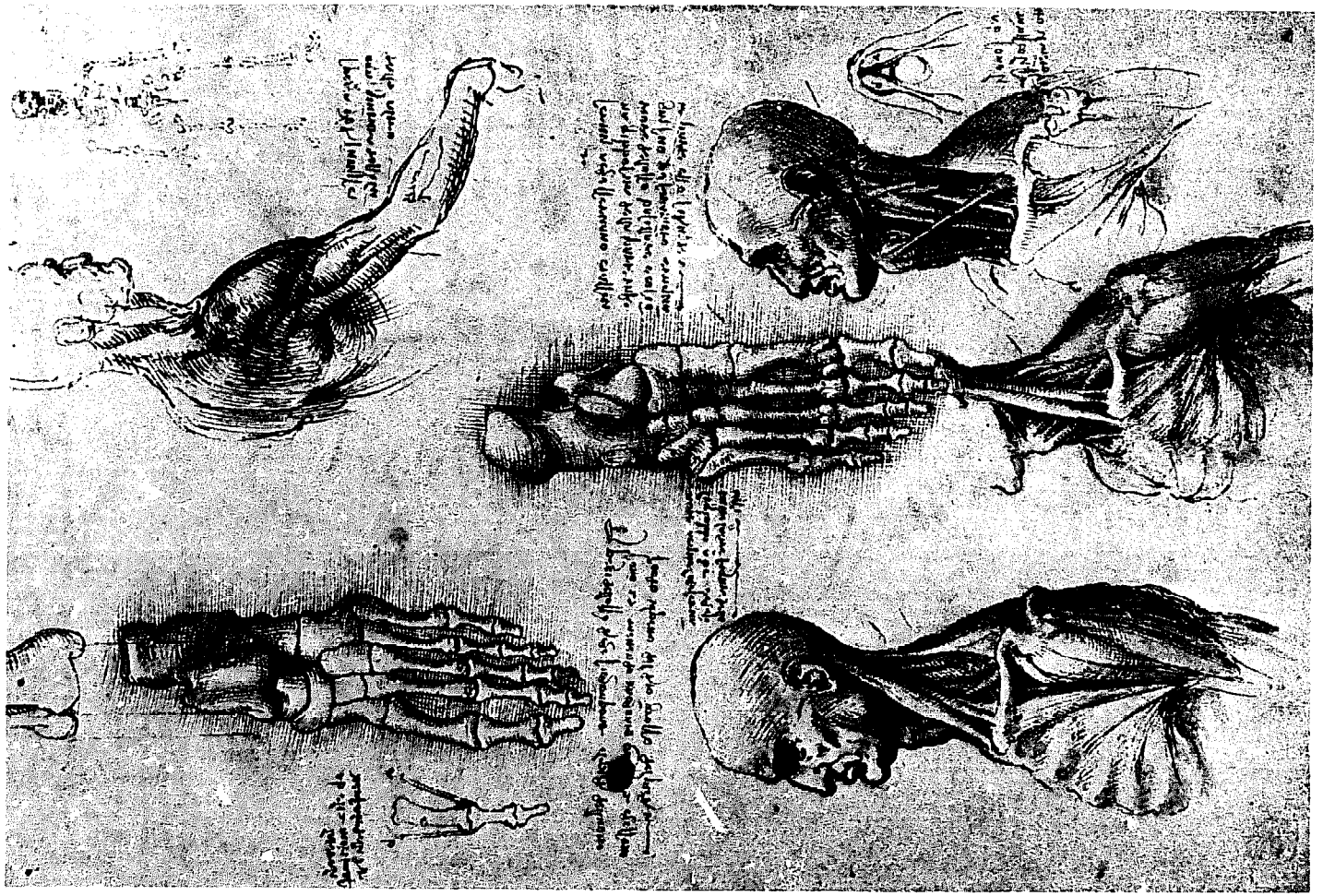


Figure 21-43. Thinking about external surfaces without also thinking about the internal structure is, by definition, superficial. The dissection capability of concrete graphic languages is illustrated in this drawing by Leonardo da Vinci.

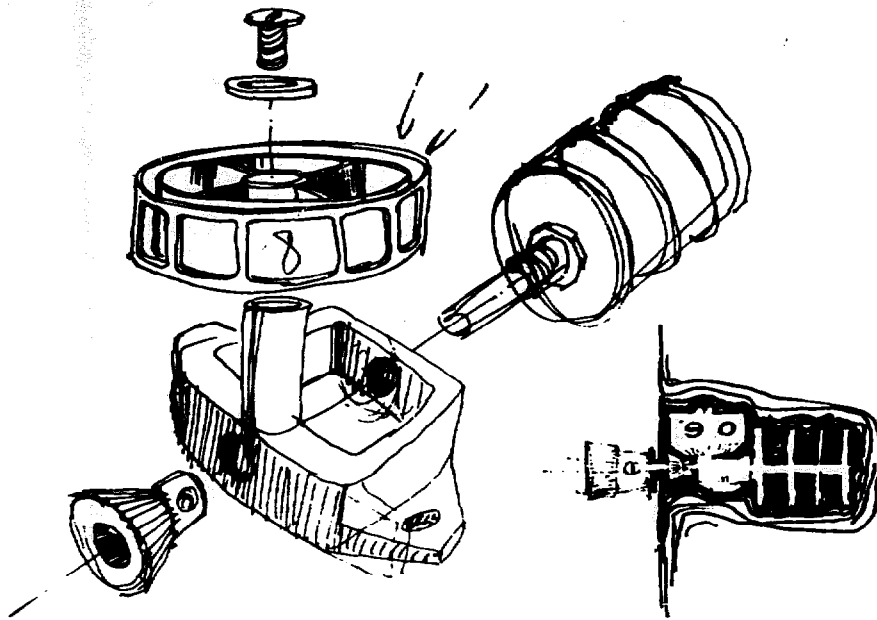
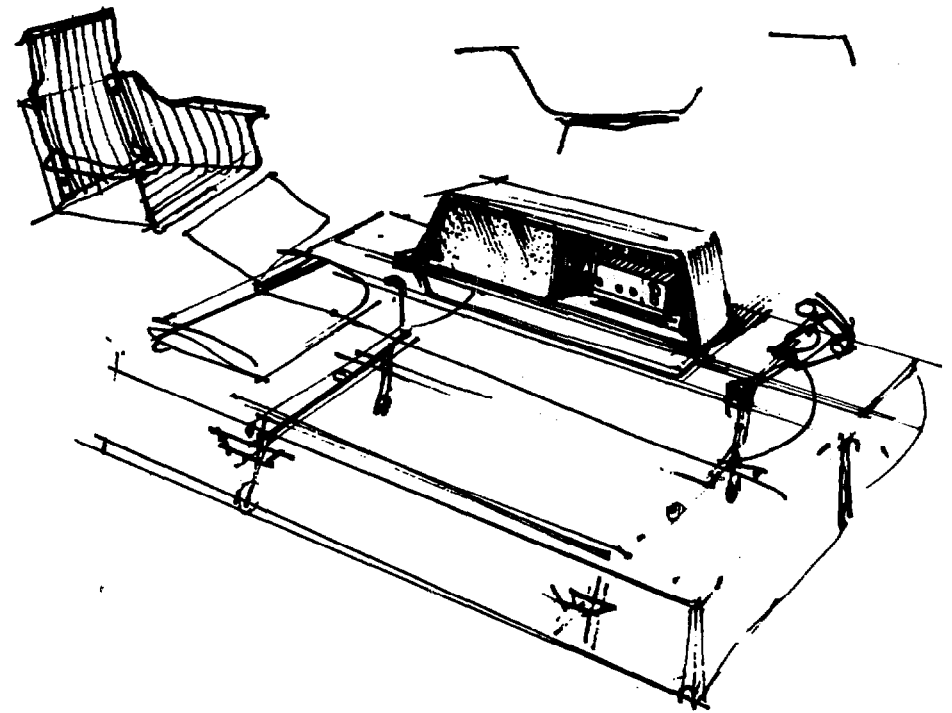


Figure 21-44. Tony Chan uses "exploded perspective" to visualize how the parts of this mechanism will be assembled. His ability to draw freehand enables him to clarify his thinking on this consideration quickly.

Figure 21-45. Gil Born uses perspective to make the structure of his furniture concept transparent. Cross-sectioning, peeling away, exploding, and transparentizing enable the visual thinker to explore a more complete reality than is portrayed by so-called "realistic" sketches of external appearance.



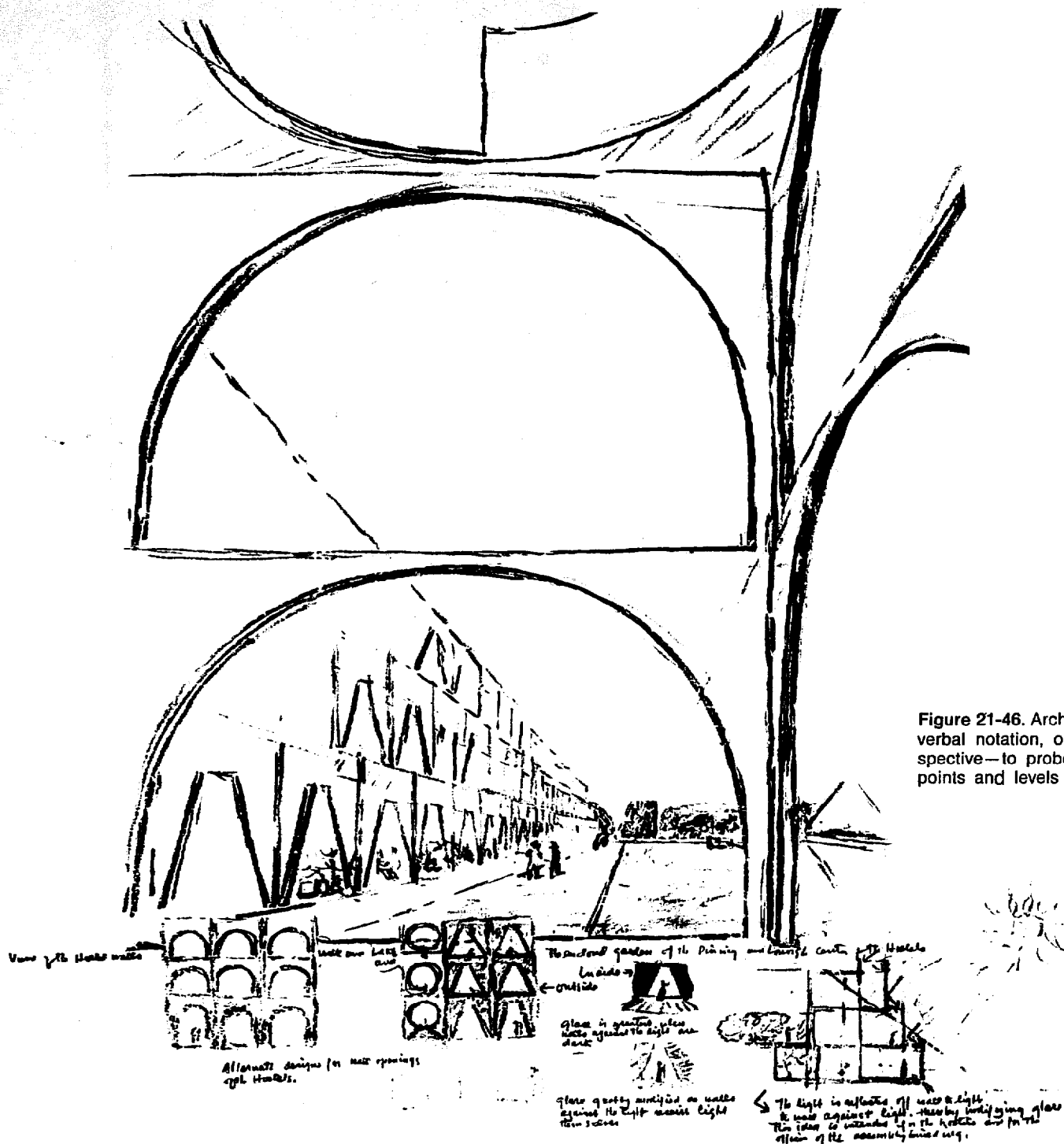


Figure 21-46. Architect Louis Kahn uses language—verbal notation, orthographic projection, and perspective—to probe his thinking from varied viewpoints and levels of abstraction.

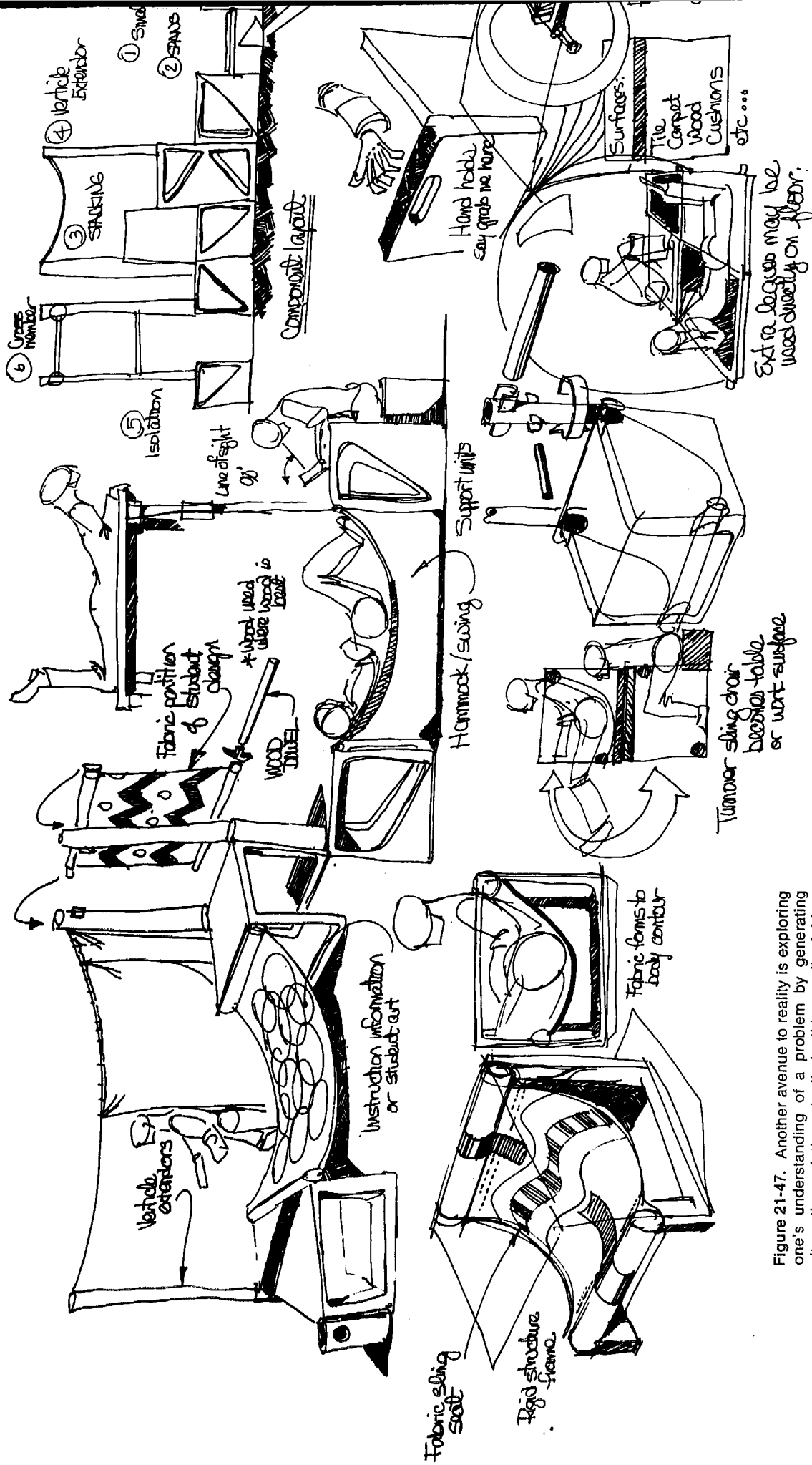
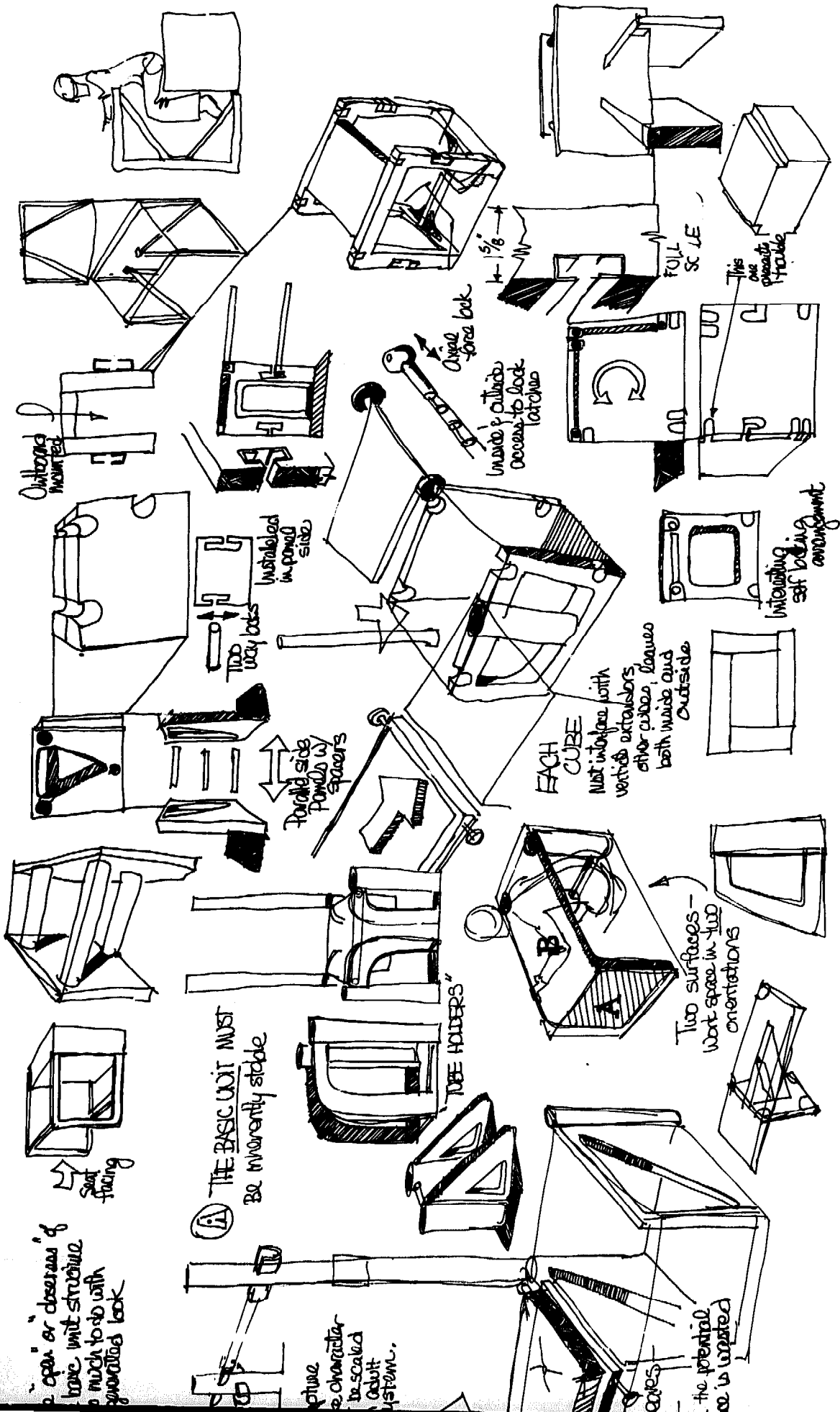


Figure 21-47. Another avenue to reality is exploring one's understanding of a problem by generating alternative solutions to it. In this scroll of idea-sketches, designer Brooks Stover is conceptualizing school furniture that will enable children to create their own learning environments. Each sketch represents a look at this problem from a different viewpoint.



open or obscured if frame unit structure is much to do with operations look

Ⓐ THE BASIC UNIT MUST BE INHERENTLY STABLE

The character is scaled to adult system.

SEE HOLDERS

EACH CUBE Must interface with vertical extenders, other cubes, bases both inside and outside

Two surfaces - Work space in two orientations

Interlocking self locking arrangement

FULL SCALE

This one prevents trouble

Inside & Outside Decides to lock catches

Fixed force lock

Childproof mounted

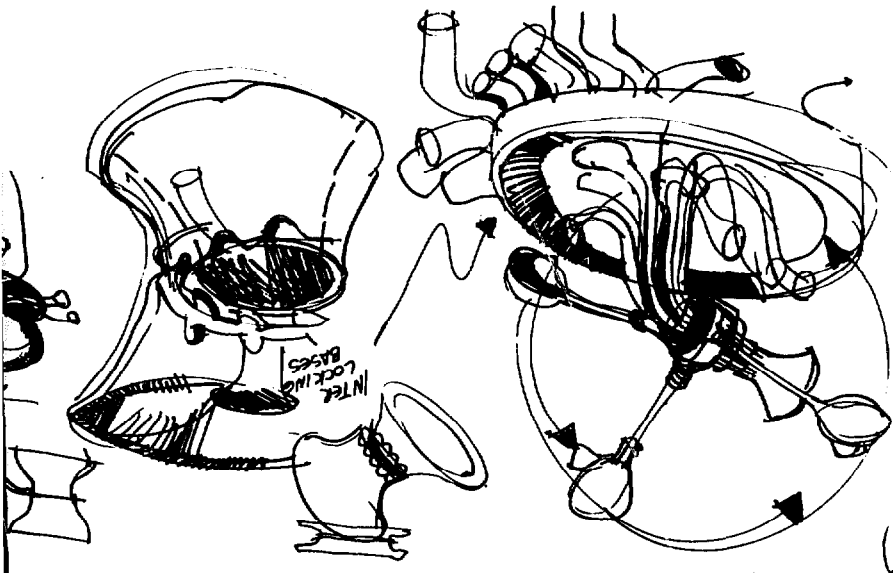
Installed in panel side

Top way locks

Facing side Panels w/ spacers

The potential use is unlimited





ENTR  
SECTION  
EXIT

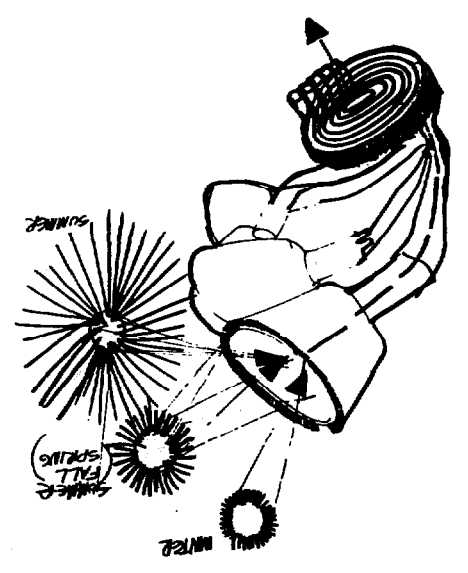
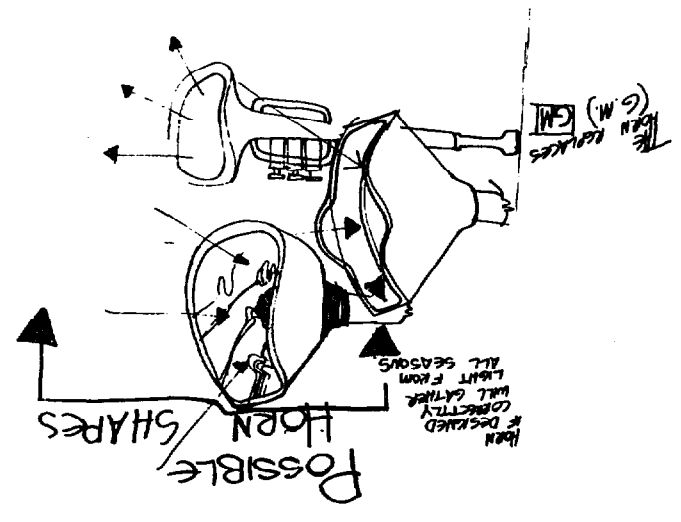
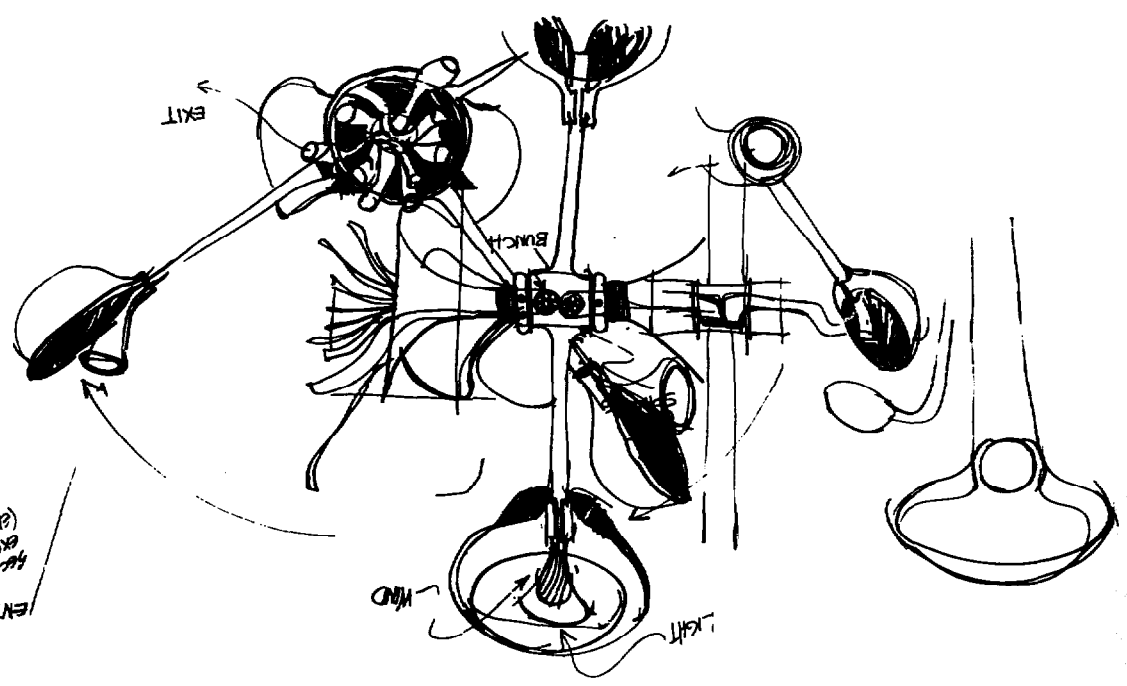
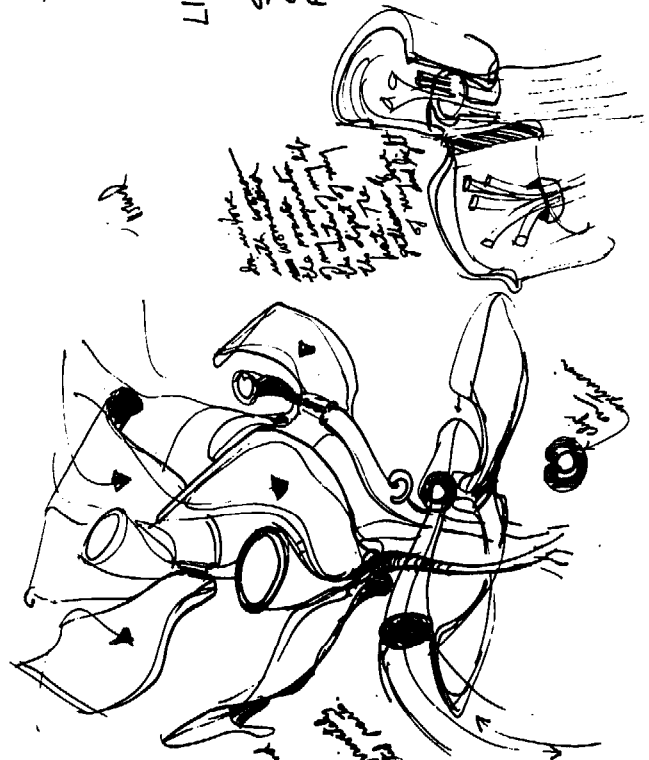
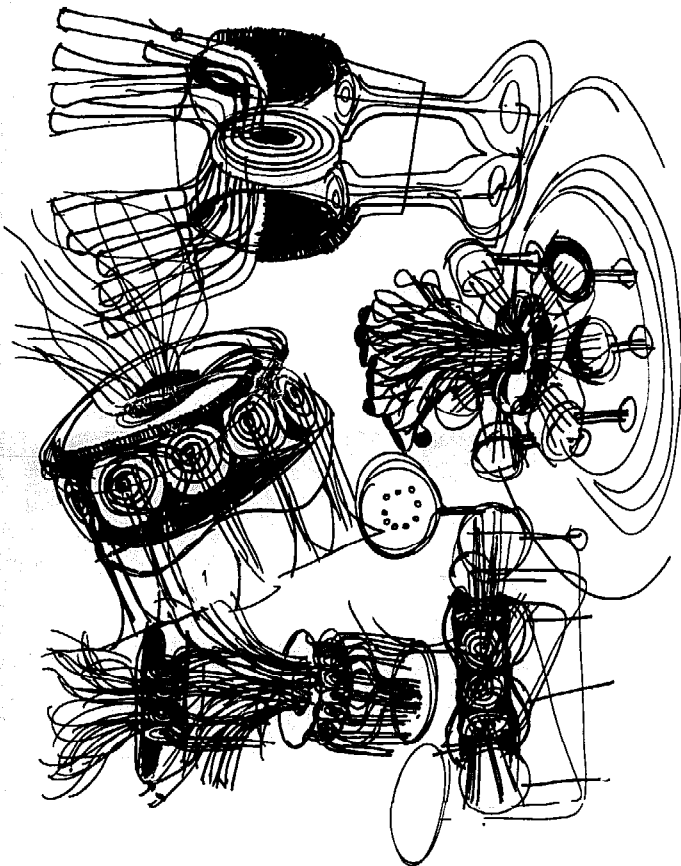


Figure 21-48. Drawing on long scrolls seems to encourage copious idea-sketching; perhaps the thought-stream flows more readily onto endless paper than onto single sheets. In this exceptionally fluid scroll, designer Ed Wittner is exploring ideas for a sun clock.

LIKE CABLES  
OF WIRE  
SO CABLES  
OF ACRYLIC  
PLASTIC  
WILL NOT  
SNAP THE  
IN THE  
WIND!!

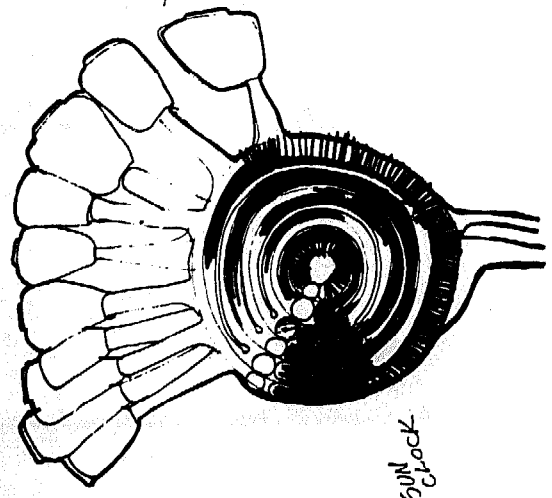


Be sure the  
assembly is  
secure and  
the plastic  
is not too  
tight. The  
plastic will  
snap if it  
is too tight.

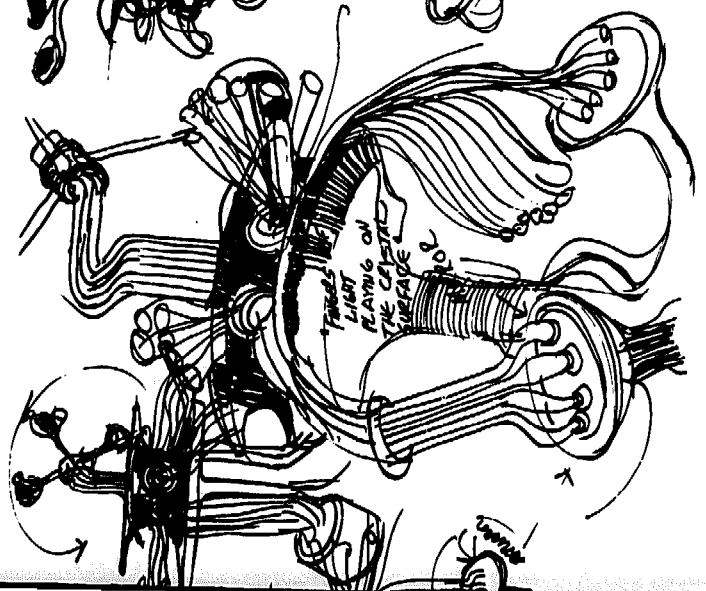


The  
plastic  
will  
snap  
if it  
is  
too  
tight.  
The  
plastic  
will  
snap  
if it  
is  
too  
tight.

the  
clock



SUN  
CLOCK

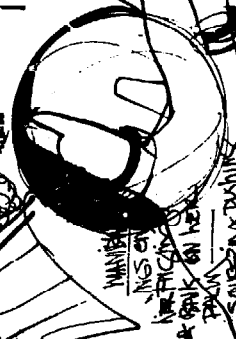


PLASTIC  
WILL  
SNAP  
IF IT  
IS  
TOO  
TIGHT





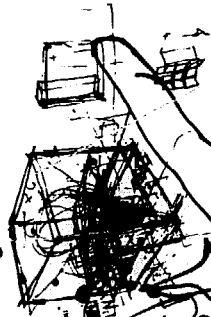
WINDS OF THE SANDS LEAD TO PLANKS, TO MANIPULATIONS



MANIPULATIONS OF THE FINGERS AND HANDS TO BRING ABOUT A STATE OF TENSION IN THE MUSCLES AND TENDONS



THESE MANIPULATIONS ARE NOT MEANT TO BRING ABOUT A STATE OF TENSION IN THE MUSCLES AND TENDONS



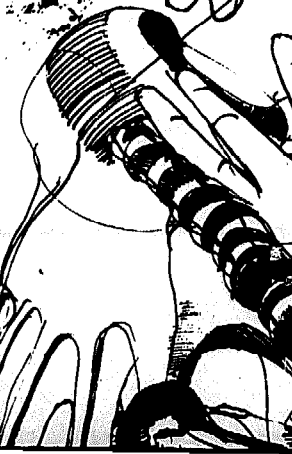
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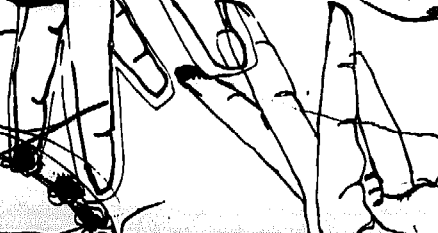
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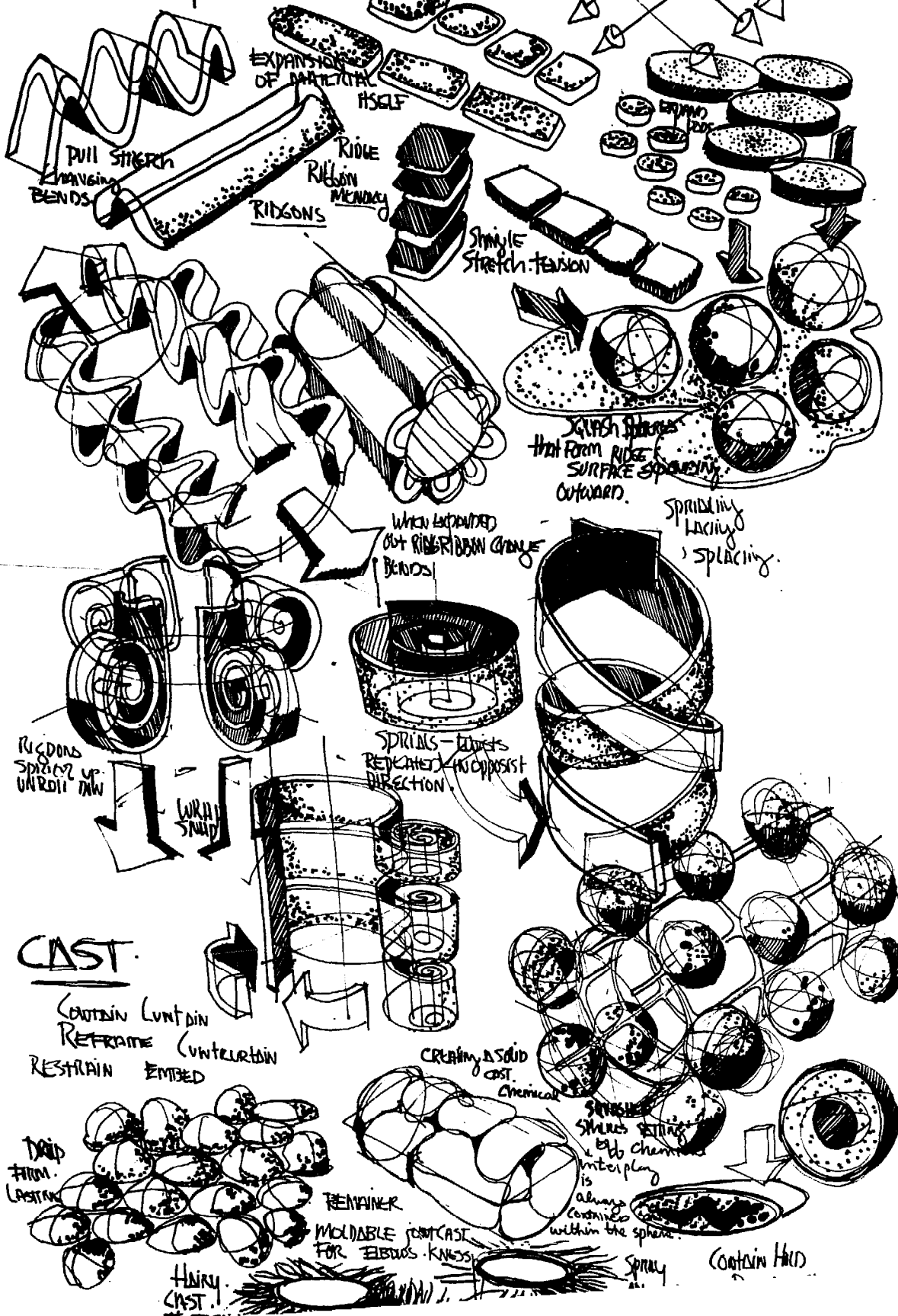
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THESE MANIPULATIONS ARE NOT MEANT TO BRING ABOUT A STATE OF TENSION IN THE MUSCLES AND TENDONS

# CAST TRACTION

TRACTION - TENSION - COMPRESSION  
 STRETCH  
 EXPAND. PULL - PUSH



## CAST

CONTAIN CONTAIN  
 REFRAME CONTURBIN  
 RESTRAIN EMBED

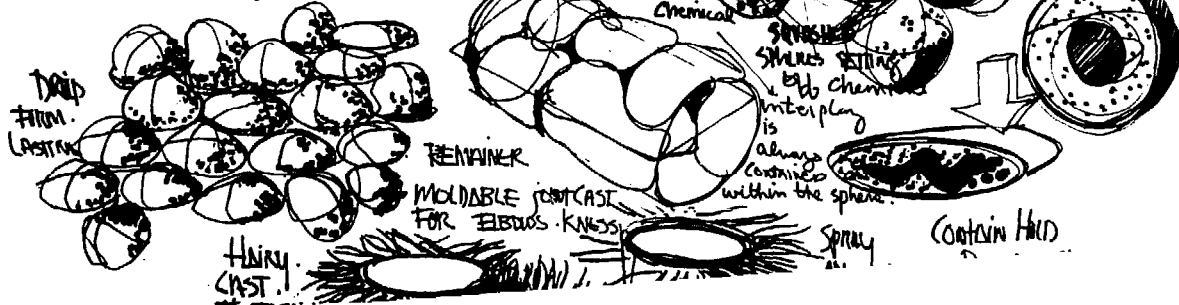
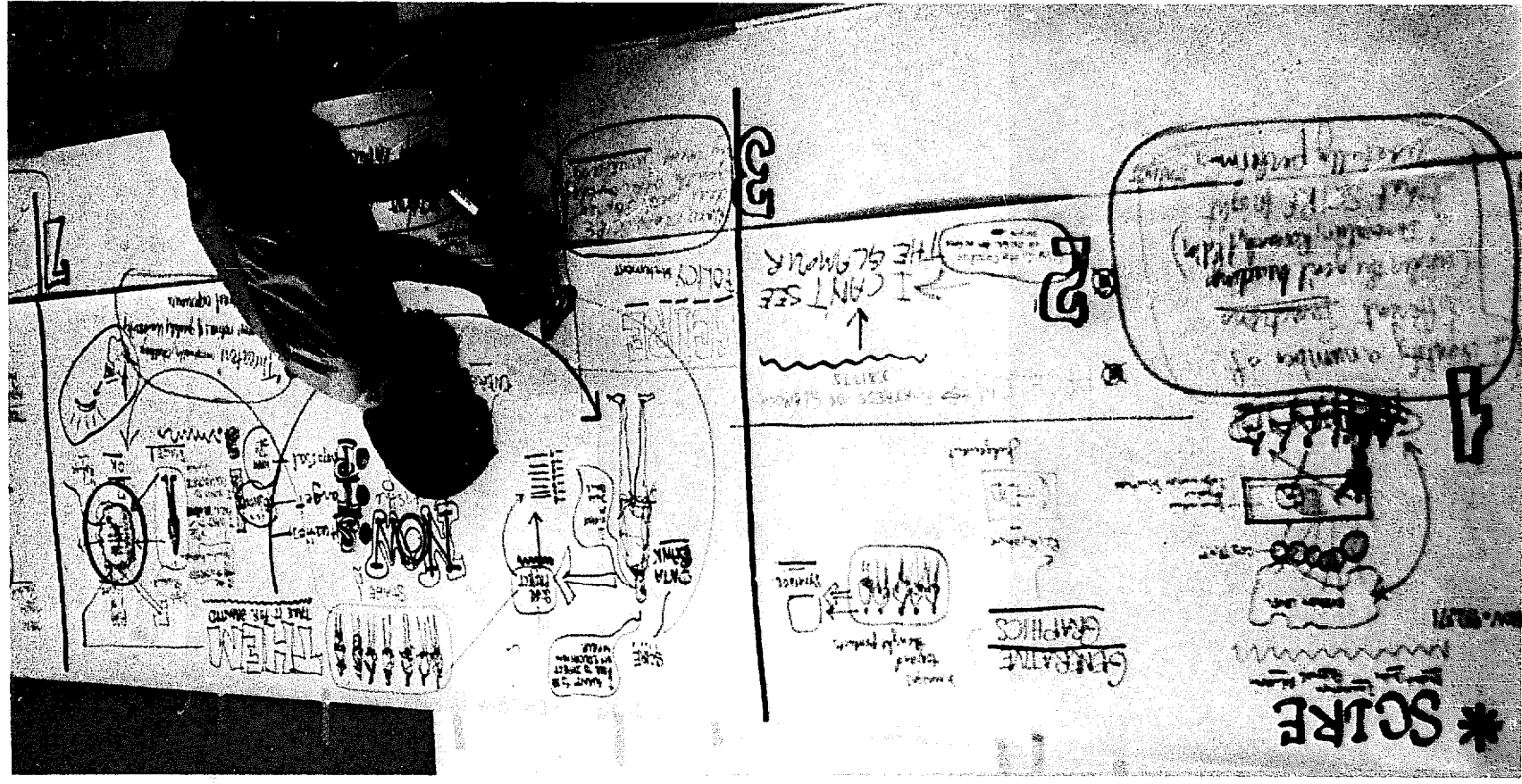


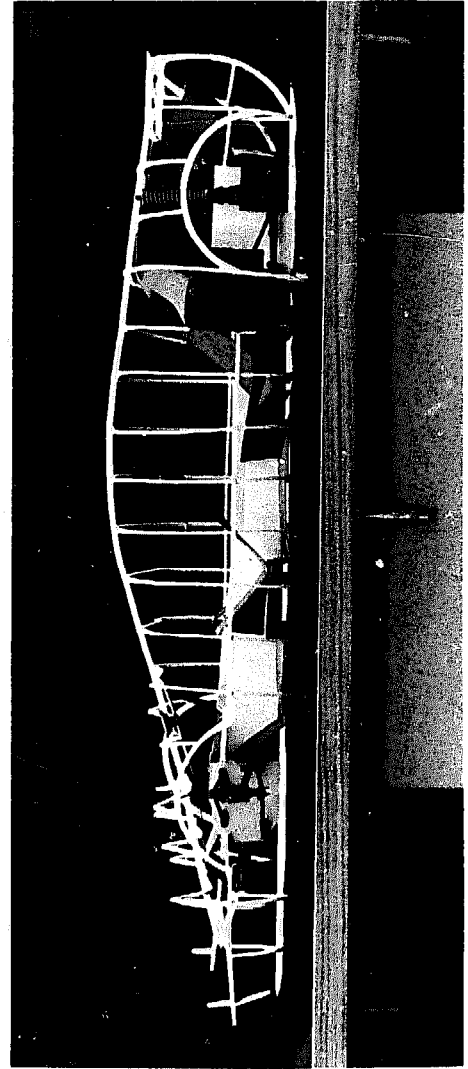
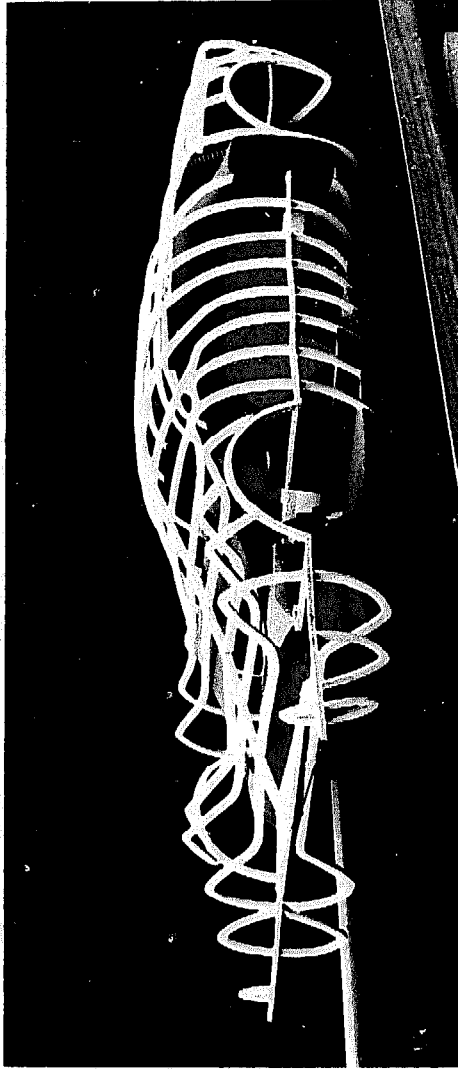


Figure 21-51. In a technique he calls *Generative Graphics*, architect Joseph Brunon uses large, wall-mounted scrolls to facilitate group problem-solving. As ideas are generated by a group, Brunon records them on the scroll with a cartoon, diagram, or written phrase, using large, colored felt-tip markers. He does not problem-solve himself, but works to represent ideas fairly, to stimulate full and energetic participation, and to find graphic ways to illustrate patterns that evolve in the group's thinking.





**Figure 21-52.** As visual thinking becomes increasingly concrete, it usually takes three-dimensional form. This model by designer Mike Golden still contains considerable abstraction: the outer shell of the car is symbolized by white lines in space, the seat by a bent plane, the engine by a solid block, and so on. Interior components are also brightly color-coded to denote their function.





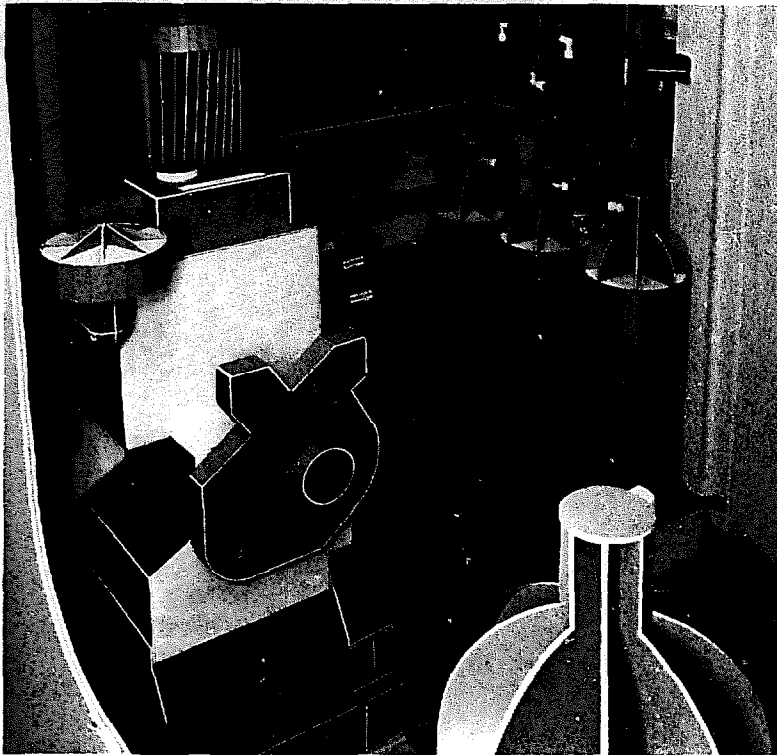
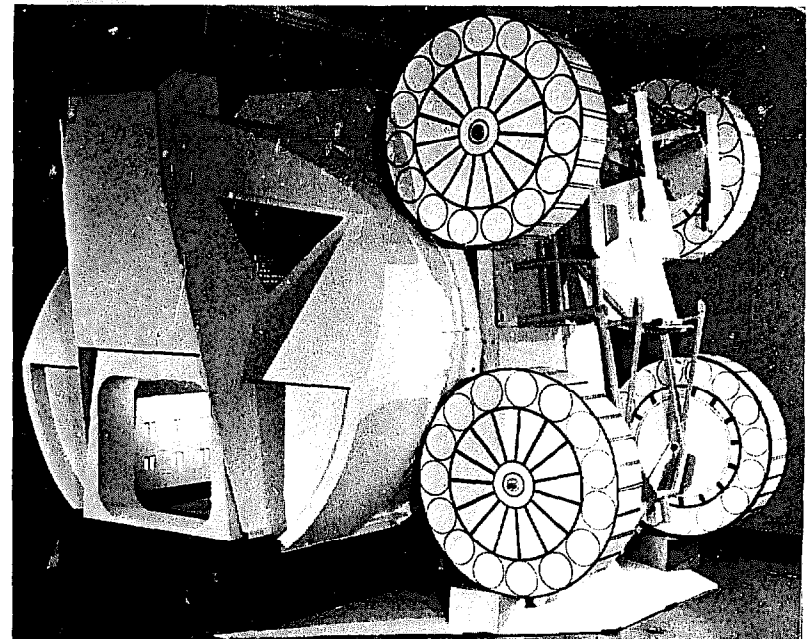


Figure 21-53. Designers of the proposed National Data Buoy used this "soft mockup" to determine optimum spatial arrangement of mechanical, electrical, electronic, and fluid subsystems within a compact hull. Soft mockup materials such as *Foamcore* facilitate rapid and inexpensive spatial organization and easy modification. Drawing is the difficult way to solve problems of this order.

Figure 21-54. Full-scale mockups were used extensively to develop and evaluate ways to transport the "Lunar Rover" vehicle on the Apollo 15 flight to the moon. This soft mockup revealed the feasibility of an early piggy-back version. The interior of this mockup was also detailed to permit designers to study the human-factor feasibility of the module's instrumentation and controls. Easily constructed mockups help to relate group efforts and to focus thinking on mutual problems. Three-dimensional sketching should not be left to the last; it is often useful at early stages of thinking (see Chapter 7, "Externalized Thinking").



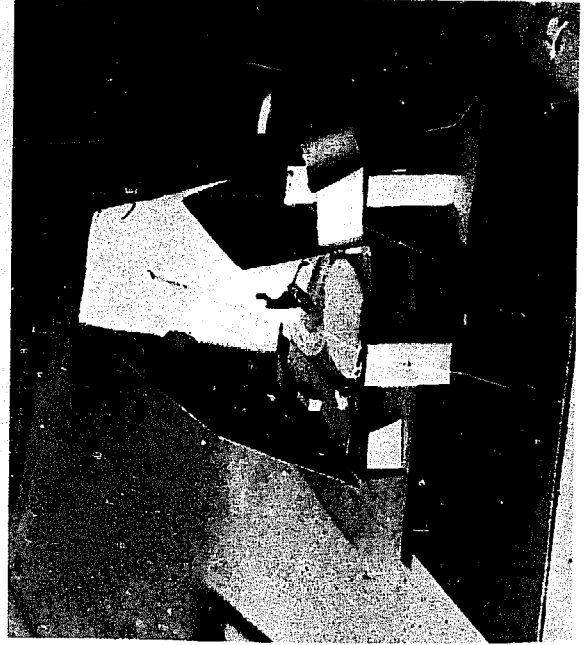
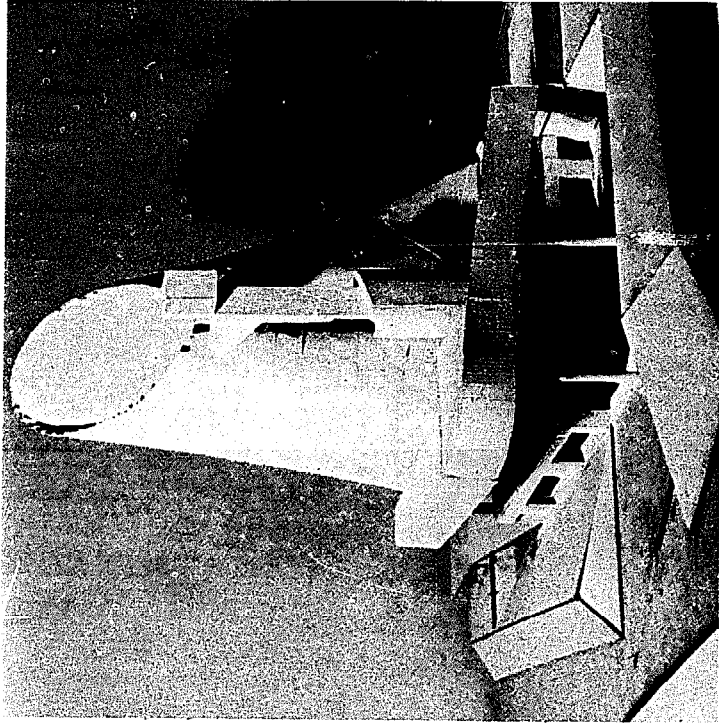


Figure 21-55. Le Corbusier's three-dimensional sketch for a church suggests that model-making precision is not requisite to productive visual thinking. Indeed, the very roughness of the sketch encourages modification, manipulation, and consequent evolution of the spatial concept.

Much as a writer often organizes and refines his thinking by writing several drafts, the visual thinker can manipulate and reform his ideas by drawing an evolving sequence of graphic images. Graphic images are easily developed in this way by using tracing paper.

### **21-3 / modify by overlay**

Tracing paper is extremely useful for developing ideas. Overlay an initial sketch with tracing paper; then:

1. Modify its proportions.
2. Change its details.
3. Add, subtract, or reposition its elements.
4. Simplify it.
5. Examine its internal structure.
6. Manipulate its elements: using different-colored markers, draw separate elements on each of several pieces of *very transparent tracing paper*, then stack and manipulate elements in relation to each other.
7. Experiment with color schemes.

Whatever graphic language you use, add color. Color brings excitement and clarity to idea-sketching, and it is reflected directly into *thinking* excitement and clarity.

### **21-4 / clarify with color**

Use color to:

1. Color-code similar functions (in a chart, in a schematic, in an orthographic cross-section).
2. Distinguish one part from another (especially useful in complex cross-sections).
3. Clarify elements that are confusedly overlapped in space (felt-tip markers provide an excellent effect of transparency).
4. Describe motion (such as traffic flow in a flow plan, or alternative positions of a moving part).
5. Dramatize critical areas.

6. Strengthen, by color contrast, a figure-ground relationship (for example, place a patch of color "behind" a line drawing).
7. Define, by shading, an otherwise ambiguous characteristic of form.

To obtain graphic-language flexibility, practice moving an idea through the varied viewpoints and mental operations of several graphic languages, as in the following exercise.

### **21-5 / abstract-to-concrete**

Using both abstract and concrete graphic languages, see how many ways you can think about a single theme. Take, for example, yourself. Here's a starter: a family tree, or a diagram showing your relationships within your immediate circle of friends. How many other graphic means can you devise to express your self?

Finally, the most concrete graphic languages lead very naturally into externalized thinking, as discussed in Chapter 7. The result of such three-dimensional thinking is often called a "space sketch."

### **21-6 / space sketch**

Using easily worked materials (as suggested in Chapter 7), form and manipulate your idea in three dimensions.

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# 22

## your strategy repertoire

### increasing your repertoire

This book is essentially an attempt to help you increase the ways you have to operate your thinking. I have called these different ways "thinking operations" or "strategies." In this sense, visual thinking is a "meta-strategy," a fundamental mode of thinking (and a major alternative to other modes such as verbal thinking). Included under visual thinking are the three interactive strategies of thinking by seeing, imagining, and drawing. And under each of these are many substrategies, which I have treated as chapters and experiences in this book. All of the thinking strategies that you can currently call forth and use are your present "repertoire" of strategies, comparable to the repertoire of songs that you can currently sing from memory. I will review the strategies that you have experienced in this book in a moment, with the intent of helping you increase your strategy repertoire.

David Straus' compares the acquisition and use of a strategy repertoire to a carpenter and his tools: "A carpenter has a tackboard full of tools in front of him. Each one has a range of uses and limitations. The carpenter has used them all in a variety of situations. When he bought a new spokeshave, it took him awhile to get used to it, to get the feel of it, and he did this by experimenting with it on many different kinds of woodworking problems. When he bought a new lathe, certain kinds of problems became easier for him. Problems that could be solved by round and symmetrical forms became simpler, [and] the lathe permitted him to do things he couldn't do before. Once he has mastered the use of a tool, it becomes almost an extension of

his hand. When a piece of wood is too rough, he reaches for the plane or sandpaper without consciously stopping to think about it. Given most problem situations, he can quickly decide what kinds of tools might be used to resolve it, and what the probable effect of each tool will be. In many situations he knows there is no one right tool, but he . . . is more comfortable with one over another . . . His knowledge and skill with his tools . . . determines a substantial part of his overall ability as a carpenter."

A carpenter does not, of course, become skillful in the use of his tools by merely looking through a hardware catalog. Nor does he usually try a new tool until he sees a need for it. Similarly, you will not increase your repertoire of visual-thinking strategies by passively reading this book; visual-thinking strategies are not "facts" that can be acquired passively. Nor will you likely expand your repertoire of thinking strategies until you feel the need to do so. *The way to use this book is to use it actively and repeatedly to help you solve problems that interest you.*

If you have not done so already, make a list of problems that interest you (see Exercise 4-1/*Challenge List*). From that list, select a problem that you'd like to solve—now.

### selecting a strategy

Now that you have chosen a problem, how should you select a strategy, or strategies, to solve it? One approach to strategy selection is essentially process-oriented. Just as a carpenter usually saws a log before he

sands it, so a thinker usually applies thinking tools in some logically ordered sequence. In Chapter 20, the sequence *Express/Test/Cycle* is a chronologically organized approach to graphic ideation. An excellent example of this use-the-saw-before-the-sandpaper approach is the following strategy, which is recommended to be used before any other.

### 22-1 / purge

At the onset of a problem, purge your mind of preconceived solutions by describing them in brief notes and quick sketches. One of these ideas may be a valuable hunch; most of the others will be misconceptions based on inadequate understanding. Once safely recorded, put purged ideas away (mentally and physically) so that you can view your problem without imaginative blinders imposed by premature solutions.

Another approach to strategy selection is to think "as usual" until usual strategies fail. A good time to learn a new strategy is when habitual strategies don't seem to be productive.

### 22-2 / try a new strategy

When usual strategies don't seem to be working, review the following list of strategies and select one that you don't normally use. Once you have chosen, apply the strategy *in depth*. Don't evaluate the strategy while you are using it.

### review of visual-thinking strategies

The following review will give you quick access to all of the strategies described in this book. Use this compilation as a checklist to remind yourself of alternative ways to think about problems such as the one you identified earlier in this chapter.

STRATEGY	REFER TO
RELAX	6-1 / Stretch
	6-2 / Relax Neck and Shoulders
	6-3 / Relax Arms and Hands
	6-4 / Palming
	6-5 / Facial and Eye Muscles
	6-6 / Deep Breathing
	6-7 / Deep Muscle Relaxation
	9-4 / Expressive Line
	10-4 / Da Vinci's Device
	DEVOTE ATTENTION
6-9 / Attention Follows Interest	
6-10 / Attention Is Dynamic	
6-11 / Attention Is Continual Aha!	
6-12 / Clearing the Ground	
9-2 / Disciplined Doodling	
9-3 / Exploring the Object	
9-5 / Interest Book	
EXPERIMENT WITH DRAWING MATERIALS	5-1 / Experimentation
	9-1 / Free Doodling
PURGE	22-1 / Purge
THINK DIRECTLY IN 3-D	1-1 / Pierced Block
	1-3 / Spaghetti Cantilever
	7-1 / Tower of Pulp
	7-3 / Soma Cube
	21-6 / Space Sketch (also see illustrations)
RECENTER (also see CYCLE)	1-1 / Pierced Block
	8-1 / Feeling the Actual
	8-2 / Topsy-Turvie
	8-3 / Rediture
	8-4 / A Rose Is a Cork
	8-5 / Visual Similes
	8-6 / Ceremonial Label Burning
	8-7 / Non-verbal Communication
	8-8 / Beyond Labels
	20-5 / Recenter
(Note: All chapters and exercises are concerned with alternative modes of visual thinking and, therefore, with recentering.)	

STRATEGY	REFER TO
PATTERN-SEEK (or ABSTRACT)	1-2 / Cards and Discards
	2-1 / Filling In
	2-2 / Finding
	2-3 / Matching
	2-4 / Categorizing
	2-5 / Pattern Completion
	2-14 / Visual Induction I
	2-15 / Visual Induction II
	10-1 / Fingerpaint Patterns
	10-2 / Grouping
	10-3 / T-scope Thumbnails
	10-4 / Da Vinci's Device
	10-5 / Incomplete Fret
	18-4 / Abstract Word-Images
	18-5 / Synectics Excursion
	21-5 / Abstract-to-Concrete (also see illustrations)
	DEFINE
21-2 / Pattern Language	
IMAGINE	14-1 / Where Are You?
	14-6 / Control of Mental Imagery
	17-1 / Breathing
	17-2 / Group Fantasy
	17-3 / Step-by-Step
	17-4 / Stop!
	17-5 / Flood
	17-6 / Worry-in-Reverse
	18-1 / X-ray Vision
	18-2 / Ratio
	18-3 / Changing Places
	18-4 / Abstract Word-Images
	18-5 / Synectics Excursion
19-1 / Look Ahead	
19-2 / Envision a Goal	
19-3 / Envision Consequences	
PROJECT	2-1 / Filling In
	2-2 / Finding
	10-4 / Da Vinci's Device
	13-11 / Perspective in Patterns
	14-4 / Phosphene Projections

STRATEGY	REFER TO
RECALL	2-6 / Memory for Designs
	14-5 / Clarity of Mental Imagery
	15-1 / Memory for Doodles
	15-2 / Childhood Home
	15-3 / Flashing
	15-4 / Memory Drawing
	15-5 / Mind's Eye Reading
	15-6 / Apple I
	15-7 / Apple II
	15-8 / Apple III
	15-9 / One Is A Bun
20-4 / Display Your Graphic Memory	
SEEK AN ANALOGY	2-13 / Spatial Analogy
	8-5 / Visual Similes
	18-5 / Synectics Excursion
DREAM OR DAYDREAM	16-1 / Hypnogogic Imagery
	16-2 / Lazin' Down the River
	16-3 / Dream Diary
	16-4 / Productive Dreaming
FORESEE	1-5 / With One Line
	19-1 / Look Ahead
	19-2 / Envision a Goal
	19-3 / Envision Consequences
SUBJECTIFY	6-9 / Attention Follows Interest
	9-4 / Expressive Line
	21-1 / Expressive Flexibility
ANALYZE	2-3 / Matching
	2-4 / Categorizing
	2-13 / Spatial Analogy
	2-14 / Visual Induction I
	2-15 / Visual Induction II
	10-2 / Grouping
	11-1 / Paint Chip Hunt
	11-2 / Feelies
	11-3 / Contour Drawing
11-4 / Verbal Seeing	

STRATEGY	REFER TO
REPROPORTION	12-1 / Proportion of Simple Shapes 12-2 / Multiple Squares 12-3 / Superimposed Grid 12-4 / Everyday Proportion 12-5 / Caricature 18-2 / Ratio
MODIFY (see also REPROPORTION)	21-3 / Modify by Overlay
CLARIFY	21-4 / Clarify with Color
ROTATE	2-7 / Inverse Drawing 2-8 / Rotating Dice 2-9 / From Another Viewpoint
MANIPULATE	2-10 / Folded Pattern 2-11 / Knots 2-12 / Pulleys 7-2 / Tangrams 18-3 / Changing Places 21-2 / Pattern Language
LOOK INSIDE	13-3 / Cross-Section 18-1 / X-Ray Vision (also see illustrations)
GENERATE ALTERNATIVES (or SYNTHESIZE)	20-1 / Thirty Circles 20-2 / Visual Brainstorming 20-3 / Idea-Log
TEST	20-4 / Display Your Graphic Memory 20-5 / Recenter 20-6 / Compare 20-7 / Colored Notations 20-8 / Criteria-Formulation
CYCLE (see also RECENTER)	20-9 / Cycling 22-2 / Try Another Strategy
REPEAT	(Do not change strategies; continue with present one)

STRATEGY	REFER TO
CHANGE IDIOM	3-1 / Internal Transfer 11-4 / Verbal Seeing 13-2 / Front, Top, and Side Views 13-4 / Perspective Picture Window 13-5 / Convergence 13-6 / Two-Point Perspective 13-8 / Foreshortening 13-9 / Translation 13-10 / Visual Rumor 15-5 / Mind's Eye Reading 21-5 / Abstract-to-Concrete
INCUBATE	19-3 / Incubate
INTUIT	19-5 / Leaping
STOP THINKING	6-12 / Clearing the Ground

### **perseverance or flexibility?**

When should you abandon one strategy to try another? When is perseverance a virtue, and when is flexibility? Sometimes dogged persistence in the use of a single strategy yields a solution: despite frustration and fatigue, the thinker rattles the same key in the door until it finally opens. On the other hand, it may simply be the wrong key. When staying with one strategy pays off, we call it "perseverance"; when it does not, we call it "stubborn inflexibility." Genius is often associated with the ability to persevere or, in Edison's terms, to persepire. Creativity is also linked to the ability to be flexible. Clearly, we are facing a paradox. Perseverance and flexibility are opposites that together form an important unity.

Flexibility is especially important at the onset of problem-solving. Flexibility permits recentering, thereby diminishing stereotyped ways of seeing the problem and increasing the opportunity for generating fresh solutions. However, flexibility can also be abused: the thinker who flits from one strategy to another, never using any strategy in depth, is comparable to the impatient carpenter who uses one tool after another to make a simple cut. As long as one tool, or one strategy, is clearly working, changing to another (simply to be flexible) is ill-advised. Perseverance is in order whenever a thinking strategy is yielding new viewpoints, new information, or additional alternatives in the exploratory stages of problem-solving. In developmental stages of thinking, perseverance is especially important. Once the basic idea has been discovered, often by exploratory flexibility, the hard work of giving that idea coherent form usually requires tremendous perseverance.

The proper balance of perseverance and flexibility becomes more evident with experience. Much as a carpenter learns by experience when to abandon one tool for another, the thinker learns when to recenter his thinking by means of another strategy, and when it is more appropriate to continue with the present one.

### **full circle**

In the Introduction, I suggested that a primary purpose of this book is to encourage flexibility in levels, vehicles, and operations of thinking. Now let's review how you've done. If you have experienced thinking below the level of waking consciousness in hypnagogic reveries and dreams and have also consciously expressed your thinking in the form of graphic language, you are certainly obtaining flexibility in levels of thinking. If you have experienced the three visual vehicles of thought and have related these to your other senses and to the symbolic vehicles of verbal or mathematical language, you are clearly acquiring flexibility in thinking vehicles. And, as this last chapter has suggested, if you have actively tried most of the experiences in this book, you are also attaining flexibility in thinking operations.

I hope that you are also experiencing the *value* of thinking flexibility. As suggested in Chapter 3 and elsewhere, the most profound sort of creative thinking requires transfer between unconscious and conscious levels of mental activity. However, reading about this is one thing and experiencing it is another. You will not be convinced until you experience it, perhaps by

gaining a valuable insight in a dream. Similarly, you have read that flexibility in thinking vehicles leads to flexibility in thinking operations—that visual thinking, for example, encourages thinking operations not possible or not readily performed in the verbal mode, and vice versa. Again, the value of such flexibility will escape you until you experience it. To experience the value of flexibility, you must persevere to the point where limitations are clearly experienced in one mode of thought and benefits clearly obtained by moving to another. In each section, I have discussed the limitations as well as benefits of thinking by seeing, imagining, and drawing. You will experience the value of flexibility when you experience how seeing advances your thinking to a point, then how imagining frees it to advance further, then how idea-sketching overcomes limits inherent in imagining, and so on.

We have come full circle. Since seeing, imagining, and idea-sketching are endlessly related, this could as well be the beginning as the end of the book. I hope that you will continue to use it, moving back and forth between experiences, obtaining greater competence in thinking skills. And I hope that these experiences will challenge you to invent new ones. When you find another useful way to educate visual thinking, would you please write to me about it, in care of the publisher? Books must end, even when curiosity grows.

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